

Legislative Oversight Committee

South Carolina House of Representatives

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Program Evaluation Report Agency Responses

Submitted by
Treasurer, S.C. Office of the

July 27, 2015

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked	15

Similar Information Information Requested below is also requested in...

Other Report:	N/A
Question # of the Other	N/A

Instructions: Below is the information included in the "goals, strategies, objectives and description" columns of the Strategic Planning template the agency submitted in its 2013-14 Accountability Report, if the agency was required to submit an Accountability Report. Update with any changes from 2014-15 and in the "Public Benefits" column, type the public benefit provided or public harm prevented by accomplishment of each goal, strategy and objective (i.e. tangible benefit realized by citizens).

From Strategic Planning Template agency submitted in its 2013-14 Accountability Report				
G#	S#	O#	Description	Public Benefits
G1			Treasury Management: Increase the number of payments Treasury Management processes electronically.	<i>Processing payments electronically are more secure, efficient and less costly than processing paper payments therefore saving taxpayer dollars by lowering processing costs.</i>
	S1.1		Continue to offer multiple electronic payment options to payees and vendors.	<i>Processing payments electronically are more secure, efficient and less costly than processing paper payments therefore saving payee's money by lowering processing costs.</i>
		O1.1.1	Reduce banking costs because the fees associated with checks are higher than those with electronic payments.	<i>Processing payments electronically are more secure, efficient and less costly than processing paper payments therefore saving taxpayer dollars by lowering processing costs.</i>
		O1.1.2	Increase the target percentage from 72% of electronic payments to checks to 75% over the previous fiscal year.	<i>Processing payments electronically are more secure, efficient and less costly than processing paper payments therefore saving payee's money by lowering processing costs.</i>
G2			Treasury Management: Complete the conversion of all General Deposit accounts to SC Enterprise Information System (SCEIS).	<i>Processing Treasurer Deposits and reconciling the General Deposit bank accounts in SCEIS will allow the STO to eliminate 30 year old FMS and STARS legacy systems.</i>

	S2.1		Continue to meet with SCEIS personnel and work collaboratively to convert the final related accounts from the legacy Financial Management System (FMS), Statewide Accounting and Reporting System (STARS) system to SCEIS.	<i>Converting the legacy FMS-STARS systems to SCEIS will allow the State to operate out of only one system instead of covering the costs of maintaining two unrelated systems.</i>
		02.1.1	Ensure the STO stays current and advances technologically with the ongoing changes in State accounting system and continue to develop SCEIS reports that can assist the STO in its day-to-day operations.	<i>Converting the legacy FMS-STARS reports to SCEIS reports will allow the State to operate out of only one system instead of covering the costs of maintaining two unrelated systems.</i>
G3			Treasury Management: Cross-training and expansion of knowledge between and among the internal sections of Treasury Management.	<i>To ensure the critical day to day operations for Accounts Payable, Banking and Payroll continue without interruption.</i>
	S3.1		Cross-train employees to learn the duties and key job functions of the respective internal sections of Treasury Management to prepare for absences, succession planning and educational opportunities.	<i>To ensure the critical day to day operations for Accounts Payable, Banking and Payroll continue without interruption.</i>
		03.1.1	To ensure that the day-to-day and long-term functions in Treasury Management are carried-out accurately and competently in the event of an absence of key employee(s).	<i>To ensure the critical day to day operations for Accounts Payable, Banking and Payroll continue without interruption.</i>
G4			Investments: Manage all investment programs in accordance with State law as applicable, in an effective manner while preserving capital, maintaining liquidity and obtaining the best return within the appropriate risk parameters.	Maximizing the efficient use of surplus tax dollars, or dollars yet to be spent, via interest paying (fixed income) investments. Tax revenues are being invested to earn additional funds.
	S4.1		Utilize the capital markets and existing relationships with the broker/dealers to facilitate the proper management of all investment funds for the State and its agencies, as well as the Local Government Investment Pool.	Relationships provide "best bid pricing", "advance notice supply access" and published market commentary.
		04.1.1	Obtain the best return possible within the prescribed parameters on a portfolio basis, while maintaining liquidity and meeting or exceeding the applicable benchmarks, all while preserving capital.	Investing based on appropriate investment objectives, ensures taxpayers that revenues will be invested appropriately, and funds will be available to meet State expenses.
		04.1.2	Provide professional investment services for all funds under management through efficient utilization of available services.	The Division utilizes an investment consultant and investment advisor to assist the Division in market analysis, security evaluation, and best practices.
		04.1.3	Meet or exceed the General Fund budget projection for investment earnings each year.	2013-2014 Actual \$19.53mm vs. \$19mm (Goal)
G5			Investments: Transition from the legacy Investment Management System (IMS) to a SCEIS compatible system.	Converting the legacy Investment Management System to SCEIS will allow the State to operate out of one system (SCEIS).

	S5.1		Evaluate multiple Enterprise Resource Planning systems to determine the best one for the STO Investment Management needs and begin implementation.	Converting the legacy Investment Management System to SCEIS will allow the State to operate out of one system (SCEIS).
		05.1.1	Upon selection of the SAP Enterprise Resource Planning system by the Treasurer, the goal is to be off the nearly three decade old legacy system and fully implemented on the SAP system by July 1, 2015.	SCEIS was selected to replace the legacy Investment Management System and when implemented it will lead to additional efficiencies.
		05.1.2	Complete blueprinting sessions by October 1, 2014.	SCEIS was selected to replace the legacy Investment Management System and when implemented it will lead to additional efficiencies.
		05.1.3	Work with internal STO staff, SCEIS personnel and SAP consultants to convert, integrate and improve all functionality and processes of the Investment Management Division.	SCEIS was selected to replace the legacy Investment Management System and when implemented it will lead to additional efficiencies.
G6			Investments: Reduce the roughly \$15 million liability to the Bank of New York Mellon which resulted from the Lehman Brothers bankruptcy in securities lending.	Realized losses were \$14mm. Unrealized losses are \$5mm. Once paid, the debt of the State will be eliminated. AAA States do not carry unnecessary debt. Unrealized losses from 2008/2009 should be paid.
	S6.1		Continually monitor and work with the custodian, Bank of New York Mellon, to resolve the realized losses from securities lending.	Realized losses were \$14mm. Unrealized losses are \$5mm. Once paid, the debt of the State will be eliminated. AAA States do not carry unnecessary debt. Unrealized losses from 2008/2009 should be paid.
		06.1.1	Reduce the outstanding liability.	Realized losses were \$14mm. Unrealized losses are \$5mm. Once paid, the debt of the State will be eliminated. AAA States do not carry unnecessary debt. Unrealized losses from 2008/2009 should be paid.
G7			Debt: Manage the debt for the State, its agencies, institutions and authorities, optimizing the debt structure and ensuring timely repayment of debt when it is due.	Through effective debt management, the State Treasurer's Office limits the risks, impacts, and costs associated with higher effective interest rates and other borrowing costs. Such an increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers; thus, potentially jeopardizing the mission of the issuers.

	S7.1		Provide guidance to the State, its institutions, agencies and authorities for the management and structure of their debt issuances and programs.	Through effective debt structuring, the State Treasurer's Office limits the risks that a borrower will default on a debt by failing to make required payments. Such a default would result in a greater financial need from the public.
		07.1.1	Ensure the State and its agencies, institutions and authorities receive proper guidance for the management and structure of debt issuances and programs.	Through proper guidance and consultation, the State Treasurer's Office limits the risks that a borrower will default on a debt by failing to make required payments. Such a default would result in a greater financial need from the public.
	S7.2		Analyze the capital markets to ensure the lowest borrowing cost is achieved under circumstances prevailing at the time the funds are needed.	Through effective capital market analysis, the State Treasurer's Office limits the risks, impacts, and costs associated with higher effective interest rates (borrowing costs). Such an increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers; thus, potentially jeopardizing the mission of the issuers.
		07.2.1	Ensure the lowest borrowing cost is achieved with each debt issuance.	The State Treasurer's Office limits the risks, impacts, and costs associated with higher effective interest rates and other borrowing costs. Such an increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers; thus, potentially jeopardizing the mission of the issuers.
	S7.3		Maximize, to the greatest extent possible, market exposure of debt offerings.	Through effective market analysis, debt structuring, and management, the State Treasurer's Office limits the market risks that could result in a borrower's default. Such a default would result in a greater financial need from the public.
		07.3.1	Maximize market exposure of debt offerings.	Through effective market analysis, the State Treasurer's Office gains enhanced market exposure for the State's borrowers. In doing so, the State Treasurer's Office limits the risks, impacts, and costs associated with higher effective interest rates and other borrowing costs. Lower borrowing costs result in less financial need from the public.

	S7.4		Ensure timely repayment of debt when due.	Eliminating the 30 year old legacy Debt Management System will allow for the effective debt management of existing credits thereby limiting the risks that a borrower will default on a debt by failing to make required payments. Such a default would result in a greater financial need from the public.
		07.4.1	Maintain and enhance technical systems to ensure timely and accurate calculation and execution of debt payments.	Eliminating the 30 year old legacy Debt Management System will allow for the effective debt management of existing credits thereby limiting the risks that a borrower will default on a debt by failing to make required payments. Such a default would result in a greater financial need from the public.
G8			Debt: Coordinate the relationship with the credit rating services so that the State's credit rating is maximized.	Through an established relationship with the credit rating services, the State Treasurer's Office limits the risks, impacts, and costs associated with higher effective interest rates and other borrowing costs. Such an increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers; thus, potentially jeopardizing the mission of the issuers.
	S8.1		Maintain regular contact and communication with the rating services through monthly reporting of revenue collections.	Through an established relationship with the credit rating services, the State Treasurer's Office limits the risks, impacts, and costs associated with higher effective interest rates and other borrowing costs. Such an increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers; thus, potentially jeopardizing the mission of the issuers.

	S8.2		Periodic reporting of budgetary results and legislative developments that will potentially affect fiscal policy.	The State Treasurer's Office periodically reports budgetary results and legislative developments that could potentially affect fiscal policy to the credit rating services as part of its role in fulfilling the disclosure mode needed to maintain an effective relationship with these services. Such disclosure ultimately limits the risks, impacts, and costs associated with higher effective interest rates and other borrowing costs. Such an increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers; thus, potentially jeopardizing the mission of the issuers.
	S8.3		Conduct an annual State review that contains State-specific information and peer group comparisons.	The State Treasurer's Office conducts an annual State review that contains State-specific information and peer group comparisons in an effort to limit the risks, impacts, and costs associated with higher effective borrowing costs. Such an increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers; thus, potentially jeopardizing the mission of the issuers.
	S8.4		Provide timely responses to requests for additional information.	The State Treasurer's Office provides timely responses to requests for additional information as part of its role in fulfilling the disclosure mode needed by others to make important financial decisions. Such disclosure ultimately limits the risks, impacts, and costs associated with higher effective interest rates and other borrowing costs. Such an increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers; thus, potentially jeopardizing the mission of the issuers.

	S8.5		Provide advice and guidance to policymakers and perform impact analysis and special studies as requested or warranted by circumstances or developments having potential consequence on the State's rating.	The State Treasurer's Office provides advice and guidance to policymakers as part of its role in assisting with the State's important fiscal decisions and the maintenance of the State's credit rating. Such guidance ultimately limits the risks, impacts, and costs associated with higher effective interest rates and other borrowing costs. Such an increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers; thus, potentially jeopardizing the mission of the issuers.
		08.5.1	Maintain the State's AAA credit rating.	The State Treasurer's Office provides advice and guidance to policymakers as part of its role in assisting with the State's important fiscal decisions and the maintenance of the State's credit rating. Such guidance ultimately limits the risks, impacts, and costs associated with higher effective interest rates and other borrowing costs. Such an increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers; thus, potentially jeopardizing the mission of the issuers.
		08.5.2	Maintain the STO's professional relationship with the three major credit rating agencies.	Through an established relationship with the credit rating services, the State Treasurer's Office limits the risks, impacts, and costs associated with higher effective interest rates and other borrowing costs. Such an increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers; thus, potentially jeopardizing the mission of the issuers.
G9			UPP: Increase holder companies' compliance with reporting by providing them with more informational and educational opportunities.	Increased awareness of holder reporting requirements ensures holders properly remit unclaimed funds to the State so that unclaimed property can be returned to their rightful owner(s).
	S9.1		Provide holder reporting training to various State associations.	Increased awareness of holder reporting requirements ensures holders properly remit unclaimed funds to the State so that unclaimed property can be returned to their rightful owner(s).

	S9.2		Develop a holder reporting webinar for the Unclaimed Property webpage.	Increased awareness of holder reporting requirements ensures holders properly remit unclaimed funds to the State so that unclaimed property can be returned to their rightful owner(s).
	S9.3		Modify reporting instructions on the webpage to make them simpler and easier to understand.	Increased awareness of holder reporting requirements ensures holders properly remit unclaimed funds to the State so that unclaimed property can be returned to their rightful owner(s).
	S9.4		Implement software to give holder companies the ability to report and pay electronically.	Increased awareness of holder reporting requirements ensures holders properly remit unclaimed funds to the State so that unclaimed property can be returned to their rightful owner(s).
	S9.5		Mail outreach letters to holder companies explaining the legal reporting requirements and how to file reports, as well as make onsite visits to provide hands-on assistance with reporting.	Increased awareness of holder reporting requirements ensures holders properly remit unclaimed funds to the State so that unclaimed property can be returned to their rightful owner(s).
		09.5.1	To increase the number of companies that comply with the South Carolina Uniformed Unclaimed Property Act.	Increased awareness of holder reporting requirements ensures holders properly remit unclaimed funds to the State so that unclaimed property can be returned to their rightful owner(s).
		09.5.2	To increase the number of unclaimed property reports filed.	Increased awareness of holder reporting requirements ensures holders properly remit unclaimed funds to the State so that unclaimed property can be returned to their rightful owner(s).
G10			UPP: Increase citizens' awareness of the Unclaimed Property Program through promotion in various media outlets.	State Treasurer's Office holds the money in trust and it's the office's obligation to increase awareness of unclaimed property. Increased awareness of unclaimed property returns money to individuals who are unaware of funds available to them. Increased inquiries ultimately ensure funds are returned to their rightful owner which can ultimately stimulate SC's economy.
	S10.1		Man phone banks on television stations throughout the State.	State Treasurer's Office holds the money in trust and it's the office's obligation to increase awareness of unclaimed property. Increased awareness of unclaimed property returns money to individuals who are unaware of funds available to them. Increased inquiries ultimately ensure funds are returned to their rightful owner which can ultimately stimulate SC's economy.

	S10.2		Partner with AARP to provide computerized name searches during Senior Day at the State Fair.	State Treasurer's Office holds the money in trust and it's the office's obligation to increase awareness of unclaimed property. Increased awareness of unclaimed property returns money to individuals who are unaware of funds available to them. Increased inquiries ultimately ensure funds are returned to their rightful owner which can ultimately stimulate SC's economy.
	S10.3		Work with media outlets to air stories featuring the Program to encourage citizens to search for accounts in their names.	State Treasurer's Office holds the money in trust and it's the office's obligation to increase awareness of unclaimed property. Increased awareness of unclaimed property returns money to individuals who are unaware of funds available to them. Increased inquiries ultimately ensure funds are returned to their rightful owner which can ultimately stimulate SC's economy.
	S10.4		Devote staff time to searching for unclaimed property owners, particularly those who are owed large sums.	State Treasurer's Office holds the money in trust and it's the office's obligation to increase awareness of unclaimed property. Increased awareness of unclaimed property returns money to individuals who are unaware of funds available to them. Increased inquiries ultimately ensure funds are returned to their rightful owner which can ultimately stimulate SC's economy.
		O10.4.1	Increase the number of rightful owners paid each fiscal year.	State Treasurer's Office holds the money in trust and it's the office's obligation to increase awareness of unclaimed property. Increased awareness of unclaimed property returns money to individuals who are unaware of funds available to them. Increased inquiries ultimately ensure funds are returned to their rightful owner which can ultimately stimulate SC's economy.
G11			UPP: Increase Program efficiency through the use of technology by developing or acquiring various hardware and software systems.	Provides an efficient and accurate method of capturing data so that information is made available to the public more quickly.
	S11.1		Improve workflow by revising procedures for importing reports.	Provides an efficient and accurate method of capturing data so that information is made available to the public more quickly.

	S11.2		Install new versions of the Wagers UPS2000 when available to improve system efficiency.	Provides an efficient and accurate method of capturing data so that information is made available to the public more quickly.
	S11.3		Install a state-of-the-art scanning system to more efficiently handle document scanning.	Provides an efficient and accurate method of capturing data so that information is made available to the public more quickly.
	S11.4		Implement UP Express allowing companies to file reports and remit funds electronically.	Provides an efficient and accurate method of capturing data so that information is made available to the public more quickly.
		O11.4.1	Take advantage of new technological developments that assure operational efficiency.	Provides an efficient and accurate method of capturing data so that information is made available to the public more quickly.
		O11.4.2	Streamline operations in order to be more responsive to holder companies and claimants.	Provides an efficient and accurate method of capturing data so that information is made available to the public more quickly.
		O11.4.3	Complete implementation of a paperless claim approval and payment process by June 2016.	Provides an efficient and accurate method of capturing data so that information is made available to the public more quickly.
G12			Future Scholar: Promote the importance of saving for college with the Future Scholar 529 Plan to South Carolina residents.	State Treasurer's Office holds the funds in trust and it's the office's obligation to provide public access to an easy, tax-advantaged way to save for the cost of future college expenses.
	S12.1		Review the grassroots marketing plan for the year and make changes as necessary.	Marketing the program in an effective manner ensures the public is made aware of an easy, tax-advantaged way to save for the cost of future college expenses.
	S12.2		Add value to in-state marketing efforts based on a market research study performed on the previous fiscal year's efforts.	Marketing the program in an effective manner ensures the public is made aware of an easy, tax-advantaged way to save for the cost of future college expenses. State Treasurer's Office's marketing efforts allows for us to address knowledge gaps or concerns in the public sector about saving for college.
		O12.2.1	Increase overall new accounts in the Future Scholar 529 Plan by 6% over the previous fiscal year.	Increase the number of South Carolina families actively saving for the cost of a loved ones education and in turn means that more South Carolinians will be attending college with less debt.
G13			Future Scholar: Increase utilization of web-based marketing to promote the Future Scholar 529 Plan.	Marketing the program in an effective manner ensures the public is made aware of an easy, tax-advantaged way to save for the cost of future college expenses.

	S13.1		Implement a social media and web-based marketing plan to target existing participants as well as potential participants.	Marketing the program in an effective manner ensures the public is made aware of an easy, tax-advantaged way to save for the cost of future college expenses.
		<i>O13.1.1</i>	Increase overall accounts opened online by 5% over the previous fiscal year.	Increase the number of South Carolina families actively saving for the cost of a loved ones education and in turn means that more South Carolinians will be attending college with less debt.
G14			Future Scholar: Implement a web-based and systemic tool for capturing in-state tuition caps for the Tuition Prepayment Program.	Provides a more efficient method of capturing data so that information is made available to the public more quickly.
	S14.1		Work with existing service providers to create an online portal through which data can be captured.	Provides a more efficient method of capturing data so that information is made available to the public more quickly.
	S14.2		Conduct outreach to other State agencies to capture similar data.	Provides a more efficient method of capturing data so that information is made available to the public more quickly.
		<i>O14.2.1</i>	Streamline the process of capturing in-state school tuition data and capped tuition increases so that the data is captured more quickly and the process is more cross-trainable.	Provides a more efficient method of capturing data so that information is made available to the public more quickly.

Agency Responding	Treasurer, S.C. Office of the
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Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked	15

Similar Information Information Requested below is also requested in...

Requested

Other Report:	N/A
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Instructions: Copy and paste the information from the agency's Strategically Planned Public Benefits Chart into the first four columns. In the remaining columns please provide information about the individual who has primary responsibility/accountability for each goal, strategy and objective (i.e. Responsible Lead). The Responsible Lead has employees and possibly even different teams of employees beneath him/her to help accomplish the objective. However, the Responsible Lead is the person who, in conjunction with his/her team and approval from higher level superiors, sets the performance measure targets and heads the gameplan for how to accomplish the goal, strategy or objective for which they are responsible. Under the "Position" column, type the Responsible Lead's position at the agency. Under "Office Address" column, type the address for the office from which the Responsible Lead works. Under the "Department/Division" column, type the department or division at the agency in which the Responsible Lead works. Under the "Department/Division Summary" column, type a brief summary (no more than 1-2 sentences) of what that department or division does in the agency.

From Strategic Planning Template agency submitted in its 2013-14 Accountability Report				Responsible Lead (i.e. Employee who has primary responsibility/accountability for ensuring goal, strategy or objective is met or exceeded)				
G#	S#	O#	Description	Name	Position	Office Address	Dept/Divison	Dept/Division Summary
G1			Treasury Management: Increase the number of payments Treasury Management processes electronically.	Paul Jarvis	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Treasury Management	The Treasury Management Division (TMD) is responsible for the day-to-day banking operations of the State, collection and remittance of court fines, over 150,000 account deposits, payroll processing for approximately 50,000 employees, distribution of 4.4 million electronic and paper checks and cash management activities for the State.
	S1.1		Continue to offer multiple electronic payment options to payees and vendors.	Paul Jarvis	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Treasury Management	The Treasury Management Division (TMD) is responsible for the day-to-day banking operations of the State, collection and remittance of court fines, over 150,000 account deposits, payroll processing for approximately 50,000 employees, distribution of 4.4 million electronic and paper checks and cash management activities for the State.
		01.1.1	Reduce banking costs because the fees associated with checks are higher than those with electronic payments.	Paul Jarvis	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Treasury Management	The Treasury Management Division (TMD) is responsible for the day-to-day banking operations of the State, collection and remittance of court fines, over 150,000 account deposits, payroll processing for approximately 50,000 employees, distribution of 4.4 million electronic and paper checks and cash management activities for the State.

		01.1.2	Increase the target percentage from 72% of electronic payments to checks to 75% over the previous fiscal year.	Paul Jarvis	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Treasury Management	The Treasury Management Division (TMD) is responsible for the day-to-day banking operations of the State, collection and remittance of court fines, over 150,000 account deposits, payroll processing for approximately 50,000 employees, distribution of 4.4 million electronic and paper checks and cash management activities for the State.
G2			Treasury Management: Complete the conversion of all General Deposit accounts to SCEIS.	Paul Jarvis	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Treasury Management	The Treasury Management Division (TMD) is responsible for the day-to-day banking operations of the State, collection and remittance of court fines, over 150,000 account deposits, payroll processing for approximately 50,000 employees, distribution of 4.4 million electronic and paper checks and cash management activities for the State.
	S2.1		Continue to meet with SCEIS personnel and work collaboratively to convert the final related accounts from the legacy FMS STARS system to SCEIS.	Paul Jarvis	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Treasury Management	The Treasury Management Division (TMD) is responsible for the day-to-day banking operations of the State, collection and remittance of court fines, over 150,000 account deposits, payroll processing for approximately 50,000 employees, distribution of 4.4 million electronic and paper checks and cash management activities for the State.
		02.1.1	Ensure the STO stays current and advances technologically with the ongoing changes in State accounting system and continue to develop SCEIS reports that can assist the STO in its day-to-day operations.	Paul Jarvis	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Treasury Management	The Treasury Management Division (TMD) is responsible for the day-to-day banking operations of the State, collection and remittance of court fines, over 150,000 account deposits, payroll processing for approximately 50,000 employees, distribution of 4.4 million electronic and paper checks and cash management activities for the State.
G3			Treasury Management: Cross-training and expansion of knowledge between and among the internal sections of Treasury Management.	Paul Jarvis	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Treasury Management	The Treasury Management Division (TMD) is responsible for the day-to-day banking operations of the State, collection and remittance of court fines, over 150,000 account deposits, payroll processing for approximately 50,000 employees, distribution of 4.4 million electronic and paper checks and cash management activities for the State.

	S3.1		Cross-train employees to learn the duties and key job functions of the respective internal sections of Treasury Management to prepare for absences, succession planning and educational opportunities.	Paul Jarvis	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Treasury Management	The Treasury Management Division (TMD) is responsible for the day-to-day banking operations of the State, collection and remittance of court fines, over 150,000 account deposits, payroll processing for approximately 50,000 employees, distribution of 4.4 million electronic and paper checks and cash management activities for the State.
		O3.1.1	To ensure that the day-to-day and long-term functions in Treasury Management are carried-out accurately and competently in the event of an absence of key employee(s).	Paul Jarvis	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Treasury Management	The Treasury Management Division (TMD) is responsible for the day-to-day banking operations of the State, collection and remittance of court fines, over 150,000 account deposits, payroll processing for approximately 50,000 employees, distribution of 4.4 million electronic and paper checks and cash management activities for the State.
G4			Investments: Manage all investment programs in accordance with State law as applicable, in an effective manner while preserving capital, maintaining liquidity and obtaining the best return within the appropriate risk parameters.	West Summers	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Investment Management	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.
	S4.1		Utilize the capital markets and existing relationships with the broker/dealers to facilitate the proper management of all investment funds for the State and its agencies, as well as the Local Government Investment Pool.	West Summers	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Investment Management	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.
		O4.1.1	Obtain the best return possible within the prescribed parameters on a portfolio basis, while maintaining liquidity and meeting or exceeding the applicable benchmarks, all while preserving capital.	West Summers	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Investment Management	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.
		O4.1.2	Provide professional investment services for all funds under management through efficient utilization of available services.	West Summers	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Investment Management	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.
		O4.1.3	Meet or exceed the General Fund budget projection for investment earnings each year.	West Summers	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Investment Management	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.

G5			Investments: Transition from the legacy Investment Management System to a SCEIS compatible system.	West Summers	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Investment Management	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.
	S5.1		Evaluate multiple Enterprise Resource Planning systems to determine the best one for the STO Investment Management needs and begin implementation.	West Summers	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Investment Management	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.
		05.1.1	Upon selection of the SAP Enterprise Resource Planning system by the Treasurer, the goal is to be off the nearly three decade old legacy system and fully implemented on the SAP system by July 1, 2015.	West Summers	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Investment Management	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.
		05.1.2	Complete blueprinting sessions by October1, 2014.	West Summers	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Investment Management	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.
		05.1.3	Work with internal STO staff, SCEIS personnel and SAP consultants to convert, integrate and improve all functionality and processes of the Investment Management Division.	West Summers	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Investment Management	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.
G6			Investments: Reduce the roughly \$15 million liability to the Bank of New York Mellon which resulted from the Lehman Brothers bankruptcy in securities lending.	West Summers	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Investment Management	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.
	S6.1		Continually monitor and work with the custodian, Bank of New York Mellon, to resolve the realized losses from securities lending.	West Summers	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Investment Management	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.
		06.1.1	Reduce the outstanding liability.	West Summers	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Investment Management	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.

G7			Debt: Manage the debt for the State, its agencies, institutions and authorities, optimizing the debt structure and ensuring timely repayment of debt when it is due.	Kevin Kibler	Program Manager II	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Debt Management	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy. The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.
	S7.1		Provide guidance to the State, its institutions, agencies and authorities for the management and structure of their debt issuances and programs.	Kevin Kibler	Program Manager II	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Debt Management	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy. The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.
		07.1.1	Ensure the State and its agencies, institutions and authorities receive proper guidance for the management and structure of debt issuances and programs.	Kevin Kibler	Program Manager II	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Debt Management	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy. The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.
	S7.2		Analyze the capital markets to ensure the lowest borrowing cost is achieved under circumstances prevailing at the time the funds are needed.	Kevin Kibler	Program Manager II	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Debt Management	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy. The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.

		07.2.1	Ensure the lowest borrowing cost is achieved with each debt issuance.	Kevin Kibler	Program Manager II	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Debt Management	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy. The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.
	S7.3		Maximize, to the greatest extent possible, market exposure of debt offerings.	Kevin Kibler	Program Manager II	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Debt Management	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy. The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.
		07.3.1	Maximize market exposure of debt offerings.	Kevin Kibler	Program Manager II	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Debt Management	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy. The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.
	S7.4		Ensure timely repayment of debt when due.	Kevin Kibler	Program Manager II	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Debt Management	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy. The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.

		07.4.1	Maintain and enhance technical systems to ensure timely and accurate calculation and execution of debt payments.	Kevin Kibler	Program Manager II	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Debt Management	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy. The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.
G8			Debt: Coordinate the relationship with the credit rating services so that the State's credit rating is maximized.	Kevin Kibler	Program Manager II	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Debt Management	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy. The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.
	S8.1		Maintain regular contact and communication with the rating services through monthly reporting of revenue collections.	Kevin Kibler	Program Manager II	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Debt Management	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy. The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.
	S8.2		Periodic reporting of budgetary results and legislative developments that will potentially affect fiscal policy.	Kevin Kibler	Program Manager II	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Debt Management	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy. The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.

	S8.3		Conduct an annual State review that contains State-specific information and peer group comparisons.	Kevin Kibler	Program Manager II	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Debt Management	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy. The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.
	S8.4		Provide timely responses to requests for additional information.	Kevin Kibler	Program Manager II	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Debt Management	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy. The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.
	S8.5		Provide advice and guidance to policymakers and perform impact analysis and special studies as requested or warranted by circumstances or developments having potential consequence on the State's rating.	Kevin Kibler	Program Manager II	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Debt Management	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy. The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.
		O8.5.1	Maintain the State's AAA credit rating.	Kevin Kibler	Program Manager II	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Debt Management	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy. The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.

		08.5.2	Maintain the STO's professional relationship with the three major credit rating agencies.	Kevin Kibler	Program Manager II	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Debt Management	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy. The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.
G9			UPP: Increase holder companies' compliance with reporting by providing them with more informational and educational opportunities.	Dayle Delong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
	S9.1		Provide holder reporting training to various State associations.	Dayle Delong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
	S9.2		Develop a holder reporting webinar for the Unclaimed Property webpage.	Dayle Delong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.

	S9.3		Modify reporting instructions on the webpage to make them simpler and easier to understand.	Dayle DeLong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
	S9.4		Implement software to give holder companies the ability to report and pay electronically.	Dayle DeLong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
	S9.5		Mail outreach letters to holder companies explaining the legal reporting requirements and how to file reports, as well as make onsite visits to provide hands-on assistance with reporting.	Dayle DeLong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.

		09.5.1	To increase the number of companies that comply with the South Carolina Uniformed Unclaimed Property Act.	Dayle DeLong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
		09.5.2	To increase the number of unclaimed property reports filed.	Dayle DeLong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
G10			UPP: Increase citizens' awareness of the Unclaimed Property Program through promotion in various media outlets.	Dayle DeLong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.

	S10.1		Man phone banks on television stations throughout the State.	Dayle DeLong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
	S10.2		Partner with AARP to provide computerized name searches during Senior Day at the State Fair.	Dayle DeLong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
	S10.3		Work with media outlets to air stories featuring the Program to encourage citizens to search for accounts in their names.	Dayle DeLong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.

	S10.4		Devote staff time to searching for unclaimed property owners, particularly those who are owed large sums.	Dayle DeLong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
		O10.4.1	Increase the number of rightful owners paid each fiscal year.	Dayle DeLong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
G11			UPP: Increase Program efficiency through the use of technology by developing or acquiring various hardware and software systems.	Dayle DeLong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.

	S11.1		Improve workflow by revising procedures for importing reports.	Dayle Delong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
	S11.2		Install new versions of the Wagers UPS2000 when available to improve system efficiency.	Dayle Delong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
	S11.3		Install a state-of-the-art scanning system to more efficiently handle document scanning.	Dayle Delong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.

	S11.4		Implement UPEXpress allowing companies to file reports and remit funds electronically.	Dayle DeLong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
		O11.4.1	Take advantage of new technological developments that assure operational efficiency.	Dayle DeLong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
		O11.4.2	Streamline operations in order to be more responsive to holder companies and claimants.	Dayle DeLong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.

		011.4.3	Complete implementation of a paperless claim approval and payment process by June 2016.	Dayle Delong	Program Manager III	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	Palmetto Payback	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
G12			Future Scholar: Promote the importance of saving for college with the Future Scholar 529 Plan to South Carolina residents.	Jenny McGill	Program Director	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	College Savings Program	SC Future Scholar 529 College Savings Plan is a tax advantaged education savings vehicle designed to help make saving for college easier allowing students to achieve college degrees with less debt. The \$2.3 billion program allows SC to use Future Scholar funds can be used to pay for tuition and qualified higher education expenses at eligible two and four-year public and private colleges, and graduate, professional and certain vocational programs assets.
	S12.1		Review the grassroots marketing plan for the year and make changes as necessary.	Jenny McGill	Program Director	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	College Savings Program	SC Future Scholar 529 College Savings Plan is a tax advantaged education savings vehicle designed to help make saving for college easier allowing students to achieve college degrees with less debt. The \$2.3 billion program allows SC to use Future Scholar funds can be used to pay for tuition and qualified higher education expenses at eligible two and four-year public and private colleges, and graduate, professional and certain vocational programs assets.
	S12.2		Add value to in-state marketing efforts based on a market research study performed on the previous fiscal year's efforts.	Jenny McGill	Program Director	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	College Savings Program	SC Future Scholar 529 College Savings Plan is a tax advantaged education savings vehicle designed to help make saving for college easier allowing students to achieve college degrees with less debt. The \$2.3 billion program allows SC to use Future Scholar funds can be used to pay for tuition and qualified higher education expenses at eligible two and four-year public and private colleges, and graduate, professional and certain vocational programs assets.

		012.2.1	Increase overall new accounts in the Future Scholar 529 Plan by 6% over the previous fiscal year.	Jenny McGill	Program Director	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	College Savings Program	SC Future Scholar 529 College Savings Plan is a tax advantaged education savings vehicle designed to help make saving for college easier allowing students to achieve college degrees with less debt. The \$2.3 billion program allows SC to use Future Scholar funds can be used to pay for tuition and qualified higher education expenses at eligible two and four-year public and private colleges, and graduate, professional and certain vocational programs assets.
G13			Future Scholar: Increase utilization of web-based marketing to promote the Future Scholar 529 Plan.	Jenny McGill	Program Director	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	College Savings Program	SC Future Scholar 529 College Savings Plan is a tax advantaged education savings vehicle designed to help make saving for college easier allowing students to achieve college degrees with less debt. The \$2.3 billion program allows SC to use Future Scholar funds can be used to pay for tuition and qualified higher education expenses at eligible two and four-year public and private colleges, and graduate, professional and certain vocational programs assets.
	S13.1		Implement a social media and web-based marketing plan to target existing participants as well as potential participants.	Jenny McGill	Program Director	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	College Savings Program	SC Future Scholar 529 College Savings Plan is a tax advantaged education savings vehicle designed to help make saving for college easier allowing students to achieve college degrees with less debt. The \$2.3 billion program allows SC to use Future Scholar funds can be used to pay for tuition and qualified higher education expenses at eligible two and four-year public and private colleges, and graduate, professional and certain vocational programs assets.
		013.1.1	Increase overall accounts opened online by 5% over the previous fiscal year.	Jenny McGill	Program Director	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	College Savings Program	SC Future Scholar 529 College Savings Plan is a tax advantaged education savings vehicle designed to help make saving for college easier allowing students to achieve college degrees with less debt. The \$2.3 billion program allows SC to use Future Scholar funds can be used to pay for tuition and qualified higher education expenses at eligible two and four-year public and private colleges, and graduate, professional and certain vocational programs assets.

G14			Future Scholar: Implement a web-based and systemic tool for capturing in-state tuition caps for the Tuition Prepayment Program.	Jenny McGill	Program Director	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	College Savings Program	SC Future Scholar 529 College Savings Plan is a tax advantaged education savings vehicle designed to help make saving for college easier allowing students to achieve college degrees with less debt. The \$2.3 billion program allows SC to use Future Scholar funds can be used to pay for tuition and qualified higher education expenses at eligible two and four-year public and private colleges, and graduate, professional and certain vocational programs assets.
	S14.1		Work with existing service providers to create an online portal through which data can be captured.	Jenny McGill	Program Director	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	College Savings Program	SC Future Scholar 529 College Savings Plan is a tax advantaged education savings vehicle designed to help make saving for college easier allowing students to achieve college degrees with less debt. The \$2.3 billion program allows SC to use Future Scholar funds can be used to pay for tuition and qualified higher education expenses at eligible two and four-year public and private colleges, and graduate, professional and certain vocational programs assets.
	S14.2		Conduct outreach to other State agencies to capture similar data.	Jenny McGill	Program Director	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	College Savings Program	SC Future Scholar 529 College Savings Plan is a tax advantaged education savings vehicle designed to help make saving for college easier allowing students to achieve college degrees with less debt. The \$2.3 billion program allows SC to use Future Scholar funds can be used to pay for tuition and qualified higher education expenses at eligible two and four-year public and private colleges, and graduate, professional and certain vocational programs assets.
		O14.2.1	Streamline the process of capturing in-state school tuition data and capped tuition increases so that the data is captured more quickly and the process is more cross-trainable.	Jenny McGill	Program Director	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201	College Savings Program	SC Future Scholar 529 College Savings Plan is a tax advantaged education savings vehicle designed to help make saving for college easier allowing students to achieve college degrees with less debt. The \$2.3 billion program allows SC to use Future Scholar funds can be used to pay for tuition and qualified higher education expenses at eligible two and four-year public and private colleges, and graduate, professional and certain vocational programs assets.

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested

Information Requested below is also requested in...

Other Report:	N/A
Question # of the Other Report:	N/A

Instructions: Please copy and paste the information from the Strategically Planned Public Benefits Chart into the first four columns of this chart. Under the column labeled, "Current Partner Entities" list all entities the agency is currently working with that help the agency accomplish the goal, strategy or objective. Under the "Ways Agency works with Current Partners," type in general terms the ways the agency works with the entity and projects/initiatives on which they work together. **List only one partner per row. If there are multiple partner entities for a particular goal, strategy or objective, copy and paste that row as many times as needed so each current partner entity is on a separate row.**

NOTE: *If a partner entity applies to an objective, should the agency list the entity again under the strategy that objective supports and goal that strategy supports?* No, since objectives are supposed to support strategies, the partner entities listed for an objective will technically also be a partner entity for the strategy that objective is under. HOWEVER, for purposes of this chart, list only the partner entities that are unique to each objective, strategy or goal. Therefore, partner entities that are listed by a strategy, relate to that strategy, but do not relate to any of the objectives under that strategy (because if it did, it would be listed beside that objective). In the same way, partner entities that are listed by a goal, relate to that goal, but do not relate to any of the strategies or objectives under that goal.

How should the agency handle entities it works with on multiple objectives or strategies? While the same partner entity should not be listed beside a strategy if it relates to an objective under the strategy or a goal if it relates to the strategy or objective under that goal; a partner entity may be listed multiple times if the agency works with it on multiple objectives that are under different strategies or on multiple strategies that are under different goals.

From Strategic Planning Template agency submitted in its 2013-14 Accountability Report

G#	S#	O#	Description	Current Partner Entities	Ways Agency works with Current Partners
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G1			Treasury Management: Increase the number of payments Treasury Management processes electronically.		
	S1.1		Continue to offer multiple electronic payment options to payees and vendors.		
		01.1.1	Reduce banking costs because the fees associated with checks are higher than those with electronic payments.	<i>Various Financial Institutions</i>	<i>Periodically meet with financial institutions to learn about new electronic payment methods.</i>
		01.1.2	Increase the target percentage from 72% of electronic payments to checks to 75% over the previous fiscal year.	<i>Various Financial Institutions</i>	<i>Work with all partner entities to increase electronic payments that vendor/payees prefer to receive.</i>
				<i>Various State Agencies</i>	<i>Work with all partner entities to increase electronic payments that vendor/payees prefer to receive.</i>
				<i>Various Vendors/Payees</i>	<i>Work with all partner entities to increase electronic payments that vendor/payees prefer to receive.</i>
G2			Treasury Management: Complete the conversion of all General Deposit accounts to SCEIS.		
	S2.1		Continue to meet with SCEIS personnel and work collaboratively to convert the final related accounts from the legacy FMS STARS system to SCEIS.		
		02.1.1	Ensure the STO stays current and advances technologically with the ongoing changes in State accounting system and continue to develop SCEIS reports that can assist the STO in its day-to-day operations.	<i>SCEIS Staff</i>	<i>Communication between all partner entities to ensure that system design and business requirements are met.</i>
				<i>Various Financial Institutions</i>	<i>Communication between all partner entities to ensure that system design and business requirements are met.</i>
G3			Treasury Management: Cross-training and expansion of knowledge between and among the internal sections of Treasury Management.		

	S3.1		Cross-train employees to learn the duties and key job functions of the respective internal sections of Treasury Management to prepare for absences, succession planning and educational opportunities.		
		03.1.1	To ensure that the day-to-day and long-term functions in Treasury Management are carried-out accurately and competently in the event of an absence of key employee(s).	N/A	
G4			Investments: Manage all investment programs in accordance with State law as applicable, in an effective manner while preserving capital, maintaining liquidity and obtaining the best return within the appropriate risk parameters.		
	S4.1		Utilize the capital markets and existing relationships with the broker/dealers to facilitate the proper management of all investment funds for the State and its agencies, as well as the Local Government Investment Pool.		
		04.1.1	Obtain the best return possible within the prescribed parameters on a portfolio basis, while maintaining liquidity and meeting or exceeding the applicable benchmarks, all while preserving capital.	Jamison, Eaton & Woods (JE&W)	JE&W serves as the Investment Advisor providing market insight, sector and security analysis to the State Treasurer's Office.
				Pension Consulting Alliance (PCA)	PCA serves as the Investment Consultant providing recommendations on Investment Policy and best practices as well as compliance by both the Division and Advisor.
		04.1.2	Provide professional investment services for all funds under management through efficient utilization of available services.	Jamison, Eaton & Woods (JE&W)	JE&W serves as the Investment Advisor providing market insight, sector and security analysis to the State Treasurer's Office.
				Pension Consulting Alliance (PCA)	PCA serves as the Investment Consultant providing recommendations on Investment Policy and best practices as well as compliance by both the Division and Advisor.

				Bloomberg	Bloomberg AIM is the Division's trading and investment information platform/system.
				BNYMellon	BNYMellon is the custody agent for STO.
				QED	QED is an external investment operating system for LGIP.
		04.1.3	Meet or exceed the General Fund budget projection for investment earnings each year.	Jamison, Eaton & Woods (JE&W)	JE&W serves as the Investment Advisor providing market insight, sector and security analysis to the State Treasurer's Office.
G5			Investments: Transition from the legacy Investment Management System to a SCEIS compatible system.		
	S5.1		Evaluate multiple Enterprise Resource Planning systems to determine the best one for the STO Investment Management needs and begin implementation.		
		05.1.1	Upon selection of the SAP Enterprise Resource Planning system by the Treasurer, the goal is to be off the nearly three decade old legacy system and fully implemented on the SAP system by July 1, 2015.	SCEIS Staff	<i>Communication between all partner entities to ensure that system design and business requirements are met.</i>
				Bloomberg	<i>Communication between all partner entities to ensure that system design and business requirements are met.</i>
		05.1.2	Complete blueprinting sessions by October1, 2014.	SCEIS Staff	<i>Communication between all partner entities to ensure that system design and business requirements are met.</i>
				Bloomberg	<i>Communication between all partner entities to ensure that system design and business requirements are met.</i>
		05.1.3	Work with internal STO staff, SCEIS personnel and SAP consultants to convert, integrate and improve all functionality and processes of the Investment Management Division.	SCEIS Staff	<i>Communication between all partner entities to ensure that system design and business requirements are met.</i>

				Bloomberg	<i>Communication between all partner entities to ensure that system design and business requirements are met.</i>
G6			Investments: Reduce the roughly \$15 million liability to the Bank of New York Mellon which resulted from the Lehman Brothers bankruptcy in securities lending.		
	S6.1		Continually monitor and work with the custodian, Bank of New York Mellon, to resolve the realized losses from securities lending.		
		06.1.1	Reduce the outstanding liability.	Various Entities	Communicates, coordinates and interacts with partner to achieve goal.
G7			Debt: Manage the debt for the State, its agencies, institutions and authorities, optimizing the debt structure and ensuring timely repayment of debt when it is due.		
	S7.1		Provide guidance to the State, its institutions, agencies and authorities for the management and structure of their debt issuances and programs.		
		07.1.1	Ensure the State and its agencies, institutions and authorities receive proper guidance for the management and structure of debt issuances and programs.	State Agencies	Coordinates and interacts with partners to achieve objective.
				Bond Counsel (various)	Coordinates and interacts with partners to achieve objective.
				Financial Advisor (various)	Coordinates and interacts with partners to achieve objective.
				Credit Rating Agencies (various)	Coordinates and interacts with partners to achieve objective.
				Underwriter (various)	Coordinates and interacts with partners to achieve goal.
				BLX Group (Arbitrage Consultant)	Coordinates and interacts with partners to achieve goal.
				Paying Agent/Registrar (various)	Coordinates and interacts with partners to achieve goal.
	S7.2		Analyze the capital markets to ensure the lowest borrowing cost is achieved under circumstances prevailing at the time the funds are needed.		

		07.2.1	Ensure the lowest borrowing cost is achieved with each debt issuance.	State Agencies	Coordinates and interacts with partners to achieve objective.
				Bond Counsel (various)	Coordinates and interacts with partners to achieve objective.
				Financial Advisor (various)	Coordinates and interacts with partners to achieve objective.
				Credit Rating Agencies (various)	Coordinates and interacts with partners to achieve objective.
				Underwriter (various)	Coordinates and interacts with partners to achieve goal.
				BLX Group (Arbitrage Consultant)	Coordinates and interacts with partners to achieve goal.
				Paying Agent/Registrar (various)	Coordinates and interacts with partners to achieve goal.
	S7.3		Maximize, to the greatest extent possible, market exposure of debt offerings.		
		07.3.1	Maximize market exposure of debt offerings.	State Agencies	Coordinates and interacts with partners to achieve objective.
				Bond Counsel (various)	Coordinates and interacts with partners to achieve objective.
				Financial Advisor (various)	Coordinates and interacts with partners to achieve objective.
				Credit Rating Agencies (various)	Coordinates and interacts with partners to achieve objective.
				Underwriter (various)	Coordinates and interacts with partners to achieve goal.
				BLX Group (Arbitrage Consultant)	Coordinates and interacts with partners to achieve goal.
				Paying Agent/Registrar (various)	Coordinates and interacts with partners to achieve goal.
	S7.4		Ensure timely repayment of debt when due.		
		07.4.1	Maintain and enhance technical systems to ensure timely and accurate calculation and execution of debt payments.	Paying Agent/Registrar (various)	Coordinates and interacts with partners to achieve objective.
				SCEIS Staff	Coordinates and interacts with partners to achieve objective.
G8			Debt: Coordinate the relationship with the credit rating services so that the State's credit rating is maximized.		

	S8.1		Maintain regular contact and communication with the rating services through monthly reporting of revenue collections.		
	S8.2		Periodic reporting of budgetary results and legislative developments that will potentially affect fiscal policy.		
	S8.3		Conduct an annual State review that contains State-specific information and peer group comparisons.		
	S8.4		Provide timely responses to requests for additional information.		
	S8.5		Provide advice and guidance to policymakers and perform impact analysis and special studies as requested or warranted by circumstances or developments having potential consequence on the State's rating.		
		O8.5.1	Maintain the State's AAA credit rating.	<i>Various State Agencies</i>	Coordinates and interacts with partners to achieve goal.
				Bond Counsel (various)	Coordinates and interacts with partners to achieve goal.
				Disclosure Counsel (various)	Coordinates and interacts with partners to achieve goal.
				Issuer's Counsel (various)	Coordinates and interacts with partners to achieve goal.
				Transaction Counsel (various)	Coordinates and interacts with partners to achieve goal.
				Tax Counsel (various)	Coordinates and interacts with partners to achieve goal.
				Financial Advisor (various)	Coordinates and interacts with partners to achieve goal.
				Credit Rating Agencies (various)	Coordinates and interacts with partners to achieve goal.
				Cash Flow Verification Agent (various)	Coordinates and interacts with partners to achieve goal.
				Paying Agent/Registrar (various)	Coordinates and interacts with partners to achieve goal.
				BLX Group (Arbitrage Consultant)	Coordinates and interacts with partners to achieve goal.
				Underwriter (various)	Coordinates and interacts with partners to achieve goal.

		08.5.2	Maintain the STO's professional relationship with the three major credit rating agencies.	<i>Various State Agencies</i>	Coordinates and interacts with partners to achieve objective.
				Bond Counsel (various)	Coordinates and interacts with partners to achieve objective.
				Disclosure Counsel (various)	Coordinates and interacts with partners to achieve objective.
				Issuer's Counsel (various)	Coordinates and interacts with partners to achieve objective.
				Transaction Counsel (various)	Coordinates and interacts with partners to achieve objective.
				Financial Advisor (various)	Coordinates and interacts with partners to achieve objective.
				Credit Rating Agencies (various)	Coordinates and interacts with partners to achieve objective.
				Structuring/Sizing Software (SS&C)	Coordinates and interacts with partners to achieve objective.
G9			UPP: Increase holder companies' compliance with reporting by providing them with more informational and educational opportunities.		
	S9.1		Provide holder reporting training to various State associations.		
	S9.2		Develop a holder reporting webinar for the Unclaimed Property webpage.		
	S9.3		Modify reporting instructions on the webpage to make them simpler and easier to understand.		
	S9.4		Implement software to give holder companies the ability to report and pay electronically.		
	S9.5		Mail outreach letters to holder companies explaining the legal reporting requirements and how to file reports, as well as make onsite visits to provide hands-on assistance with reporting.		
		09.5.1	To increase the number of companies that comply with the South Carolina Uniformed Unclaimed Property Act.	Holders (various)	Communicate and interact with partner entities to increase reporting of unclaimed property.
		09.5.2	To increase the number of unclaimed property reports filed.	Holders (various)	Communicate and interact with partner entities to increase reporting of unclaimed property.
G10			UPP: Increase citizens' awareness of the Unclaimed Property Program through promotion in various media outlets.		

	S10.1		Man phone banks on television stations throughout the State.		
	S10.2		Partner with AARP to provide computerized name searches during Senior Day at the State Fair.		
	S10.3		Work with media outlets to air stories featuring the Program to encourage citizens to search for accounts in their names.		
	S10.4		Devote staff time to searching for unclaimed property owners, particularly those who are owed large sums.		
		O10.4.1	Increase the number of rightful owners paid each fiscal year.	General Public	The citizens of our State help spread the word of our Unclaimed Property Program.
				Media	Varying media events and articles help spread the word of our Unclaimed Property Program.
				American Association of Retired Persons (AARP)	Partner with AARP to help spread the word of our Unclaimed Property Program.
G11			UPP: Increase Program efficiency through the use of technology by developing or acquiring various hardware and software systems.		
	S11.1		Improve workflow by revising procedures for importing reports.		
	S11.2		Install new versions of the Wagers UPS2000 when available to improve system efficiency.		
	S11.3		Install a state-of-the-art scanning system to more efficiently handle document scanning.		
	S11.4		Implement UPExpress allowing companies to file reports and remit funds electronically.		
		O11.4.1	Take advantage of new technological developments that assure operational efficiency.	Division of State Technology	DTO provides network support for the program and also hosts our application and web site.
				Xerox	DTO provides network support for the program and also hosts our application and web site.

				<i>Eagle Technology Management (ETM)</i>	ETM is a private company endorsed by the National Association of Unclaimed Property Administrators (NAUPA) to provide free reporting software to holder companies.
				Other potential vendors	DTO provides network support for the program and also hosts our application and web site.
		O11.4.2	Streamline operations in order to be more responsive to holder companies and claimants.	N/A	
		O11.4.3	Complete implementation of a paperless claim approval and payment process by June 2016.	N/A	
G12			Future Scholar: Promote the importance of saving for college with the Future Scholar 529 Plan to South Carolina residents.		
	S12.1		Review the grassroots marketing plan for the year and make changes as necessary.		
	S12.2		Add value to in-state marketing efforts based on a market research study performed on the previous fiscal year's efforts.		
		O12.2.1	Increase overall new accounts in the Future Scholar 529 Plan by 6% over the previous fiscal year.	Columbia Management	Columbia Management provides program management, investment, marketing and distribution services to the Agency.
G13			Future Scholar: Increase utilization of web-based marketing to promote the Future Scholar 529 Plan.		
	S13.1		Implement a social media and web-based marketing plan to target existing participants as well as potential participants.		
		O13.1.1	Increase overall accounts opened online by 5% over the previous fiscal year.	Columbia Management	Columbia Management provides program management, investment, marketing and distribution services to the Agency.
G14			Future Scholar: Implement a web-based and systemic tool for capturing in-state tuition caps for the Tuition Prepayment Program.		

	S14.1		Work with existing service providers to create an online portal through which data can be captured.		
	S14.2		Conduct outreach to other State agencies to capture similar data.		
		O14.2.1	Streamline the process of capturing in-state school tuition data and capped tuition increases so that the data is captured more quickly and the process is more cross-trainable.	Columbia Management	Columbia Management provides program management, investment, marketing and distribution services to the Agency.

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015
Resources utilized to Complete Chart	
Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15
Similar Information Requested	Information Requested below is also requested in:
Other Report:	N/A
Question # of the Other Report:	N/A
Instructions: For each of the Partner Entities listed in the Strategically Planned Partners Chart, provide the details requested below for each. Beside "Type of Entity" state the type of entity the partner entity falls within from the drop down menu. If the entity does not fall within of the categories that appears, select "other" and then describe the type of entity in the next row labeled, "Other Entity"	

Name of Partner Entity	Columbia Management	Financial Institutions (various)	State Agencies (various)	Vendors/Payees (various)	Bond Counsel (various)	Disclosure Counsel (various)	Issuer's Counsel (various)	Transaction Counsel (various)	Tax Counsel (various)	Financial Advisor (various)	Cash Flow Verification Agent (various)	Credit Rating Agencies (various)	Paying Agent/Registrar (various)	SCES Staff	BLX Group (Leverage Consultant)	Underwriter (various)	Structuring/Issuing Software (SSAC)	Jamson, Eaton & Woods (JEW)	Pension Consultant & Valence (PCA)	Various Entities	BNYMELLON	BLOOMBERG	QED	Division of State Technology	Xerox/Wagers	Eagle Technology Management (ETM)	Holders (various)	General Public	Media	AARP
What type of entity is the Partner entity?	Private Company	Private Company	State Agency	Private Company	Private Company	Private Company	Private Company	Private Company	Private Company	Private Company	Private Company	Private Company	Private Company	State Agency	Private Company	Private Company	Private Company	Private Company	Private Company	Other	Private Company	Private Company	Private Company	State Agency	Other	Other	Other	Other	Other	Private Company
Other Entity Explanation	Investment Manager/Broker				-	-	-	-	-	-	-	-	-	-	-	-	-			State and private entities				Contractor	Partner through National Association of Unclaimed Property Administrators (NAUPA)	Entities holding property				
# of years as Partner Entity to Agency	Since 2001 - 14 years	-	-	-	-	-	-	-	-	-	-	-	-	-	1992	-	-	28	1	-	3	25	15		19	2	0	N	N	N
Contribute Cash or In-Kind Services, Products? (Y or N)	N			N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Avg. Annual Value of Cash or In-Kind Contribution	N			N	N	N	N	N	N	N	N	N	N	N	N	N	N		N					N/A	N/A	N/A	N	N	N	N
Provide Volunteers? (Y or N)	N			N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N
Avg. Annual Volunteer Hours	N			N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N/A	N/A	N/A	N	N	N	N
Part of Mission to Help Agency? (Y or N)	N			N	N	N	N	N	N	N	N	N	N	N	N	N	N	Y	Y	N	Y	Y	Y	N	N	N	N	N	N	N
Created by Agency? (Y or N)	N			N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested Information Requested below is also requested in...

Other Report:	N/A
Question # of the Other Report:	N/A

Instructions: Below is the information from the Performance Measurement template the agency submitted in its 2013-14 Accountability Report, if it was required to submit an Accountability Report. **Please add performance measures the agency provided in its Accountability Reports for the last five (5) fiscal years into this format as well.** Also, add any additional performance measures the agency has adopted since submitting its 2013-2014 Accountability Report. Under the column titled, "Type of Measure," pick the classification of performance measure that best fits the performance measure from the drop down box. See the definitions below these instructions for information on what falls within each type of measure. Under the column, "Year Utilized," type the year the performance measure was utilized. **Include only one year on each row** (i.e. if the performance measure was used in 2011-12, 2012-13 and 2013-14, the performance measure would be listed on three (3) separate rows with 2011-12 on one row, 2012-13 on the second row and 2013-14 on the third row. The Committee asks for each year to be a separate row because this allows agencies flexibility to report all the information (i.e. if the agency used the same performance measure in multiple years, but the data source for the information changed (i.e. SCEIS) during the most recent year it was used, the agency could indicate this because the information related to the performance measure each year is on a separate row). NOTE: Responses are not limited to the number of rows below that have borders around them, please list all that are applicable.

Types of Measures: (description from Accountability Report)

Outcome Measure - A quantifiable indicator of the public and customer benefits from an agency's actions. Outcome measures are used to assess an agency's effectiveness in serving its key customers and in achieving its mission, goals and objectives. They are also used to direct resources to strategies with the greatest effect on the most valued outcomes. Outcome measures should be the first priority. Example - % of licensees with no violations.
Efficiency Measure - A quantifiable indicator of productivity expressed in unit costs, units of time, or other ratio-based units. Efficiency measures are used to assess the cost-efficiency, productivity, and timeliness of agency operations. Efficiency measures measure the efficient use of available resources and should be the second priority. Example - cost per inspection
Output Measure - A quantifiable indicator of the number of goods or services an agency produces. Output measures are used to assess workload and the agency's efforts to address demands. Output measures measure workload and efforts and should be the third priority. Example - # of business license applications processed.

					From Strategic Planning Template agency submits with Accountability Report			
Performance Measure Item #	Performance Measure	Associated Strategy(ies) and Objective(s) #	Type of Measure	Year Utilized (ONLY ONE PER ROW)	Time Applicable	Data Souce and Availability	Reporting Freq.	Calculation Method
1	Paper Checks	1.1.1, 1.1.2, 3.1.1	Output Measure	FY 2013-14	July 1 - June 30	Internal FMS System/Daily	Annual	Total physical paper checks printed
1	Paper Checks	1.1.1, 1.1.2, 3.1.1	Output Measure	FY 2012-13	July 1 - June 30	Internal FMS System/Daily	Annual	Total physical paper checks printed
1	Paper Checks	1.1.1, 1.1.2, 3.1.1	Output Measure	FY 2011-12	July 1 - June 30	Internal FMS System/Daily	Annual	Total physical paper checks printed
1	Paper Checks	1.1.1, 1.1.2, 3.1.1	Output Measure	FY 2010-11	July 1 - June 30	Internal FMS System/Daily	Annual	Total physical paper checks printed
1	Paper Checks	1.1.1, 1.1.2, 3.1.1	Output Measure	FY 2009-10	July 1 - June 30	Internal FMS System/Daily	Annual	Total physical paper checks printed
2	Electronic Checks	1.1.1, 1.1.2, 2.1.1, 3.1.1	Output Measure	FY 2013-14	July 1 - June 30	Internal FMS System/Daily	Annual	Total electronic checks sent
2	Electronic Checks	1.1.1, 1.1.2, 2.1.1, 3.1.1	Output Measure	FY 2012-13	July 1 - June 30	Internal FMS System/Daily	Annual	Total electronic checks sent
2	Electronic Checks	1.1.1, 1.1.2, 2.1.1, 3.1.1	Output Measure	FY 2011-12	July 1 - June 30	Internal FMS System/Daily	Annual	Total electronic checks sent
2	Electronic Checks	1.1.1, 1.1.2, 2.1.1, 3.1.1	Output Measure	FY 2010-11	July 1 - June 30	Internal FMS System/Daily	Annual	Total electronic checks sent
2	Electronic Checks	1.1.1, 1.1.2, 2.1.1, 3.1.1	Output Measure	FY 2009-10	July 1 - June 30	Internal FMS System/Daily	Annual	Total electronic checks sent

3	Total deposits	2.1.1, 3.1.1	Output Measure	FY 2013-14	July 1 - June 30	Internal FMS System/Annual	Annual	Total deposits made during the fiscal year
3	Total deposits	2.1.1, 3.1.1	Output Measure	FY 2012-13	July 1 - June 30	Internal FMS System/Annual	Annual	Total deposits made during the fiscal year
3	Total deposits	2.1.1, 3.1.1	Output Measure	FY 2011-12	July 1 - June 30	Internal FMS System/Annual	Annual	Total deposits made during the fiscal year
3	Total deposits	2.1.1, 3.1.1	Output Measure	FY 2010-11	July 1 - June 30	Internal FMS System/Annual	Annual	Total deposits made during the fiscal year
3	Total deposits	2.1.1, 3.1.1	Output Measure	FY 2009-10	July 1 - June 30	Internal FMS System/Annual	Annual	Total deposits made during the fiscal year
4	Total disbursements	2.1.1, 3.1.1	Output Measure	FY 2013-14	July 1 - June 30	Internal FMS System/Daily	Annual	Total disbursements made during the fiscal year
4	Total disbursements	2.1.1, 3.1.1	Output Measure	FY 2012-13	July 1 - June 30	Internal FMS System/Daily	Annual	Total disbursements made during the fiscal year
4	Total disbursements	2.1.1, 3.1.1	Output Measure	FY 2011-12	July 1 - June 30	Internal FMS System/Daily	Annual	Total disbursements made during the fiscal year
4	Total disbursements	2.1.1, 3.1.1	Output Measure	FY 2010-11	July 1 - June 30	Internal FMS System/Daily	Annual	Total disbursements made during the fiscal year
4	Total disbursements	2.1.1, 3.1.1	Output Measure	FY 2009-10	July 1 - June 30	Internal FMS System/Daily	Annual	Total disbursements made during the fiscal year
5	Net accrued income	4.1.1, 4.1.2, 4.1.3	Outcome Measure	FY 2013-14	July 1 - June 30	Internal IMS System/Daily	Monthly/FYTD	Total accrued income generated from all portfolios
6	Cash income	4.1.1, 4.1.2, 4.1.3	Outcome Measure	FY 2013-14	July 1 - June 30	Internal IMS System/Daily	Monthly/FYTD	Total cash income in all portfolios
7	Average daily balance	4.1.1, 4.1.2, 4.1.3	Outcome Measure	FY 2013-14	July 1 - June 30	Internal IMS System/Mothly	Monthly/FYTD	Average balance of portfolios under STO management
8	Number of trades	4.1.2, 5.1.3	Output Measure	FY 2013-14	July 1 - June 30	Internally maintained/Daily	Monthly/Annual	Total investment trades made per year
8	Number of trades	4.1.2, 5.1.3	Output Measure	FY 2012-13	July 1 - June 30	Internally maintained/Daily	Monthly/Annual	Total investment trades made per year
8	Number of trades	4.1.2, 5.1.3	Output Measure	FY 2011-12	July 1 - June 30	Internally maintained/Daily	Monthly/Annual	Total investment trades made per year
8	Number of trades	4.1.2, 5.1.3	Output Measure	FY 2010-11	July 1 - June 30	Internally maintained/Daily	Monthly/Annual	Total investment trades made per year
8	Number of trades	4.1.2, 5.1.3	Output Measure	FY 2009-10	July 1 - June 30	Internally maintained/Daily	Monthly/Annual	Total investment trades made per year
9	Securities lending income	6.1.1	Outcome Measure	FY 2013-14	July 1 - June 30	Bank of New York Mellon/Monthly	Monthly/YTD	Income generated through the securities lending program

10	Net Market Value of SCRS Portfolio	n/a	Outcome Measure	FY 2013-14	July 1 - June 30	Bank of New York Mellon/Monthly	Monthly	Market value of the State pension funds for which the STO is custodian
11	LGIP Accounts	4.1.2	Output Measure	FY 2013-14	July 1 - June 30	Internally maintained/Daily	Monthly/YTD	Number of Local Government Investment Pool participants
11	LGIP Accounts	4.1.2	Output Measure	FY 2012-13	July 1 - June 30	Internally maintained/Daily	Monthly/YTD	Number of Local Government Investment Pool participants
11	LGIP Accounts	4.1.2	Output Measure	FY 2011-12	July 1 - June 30	Internally maintained/Daily	Monthly/YTD	Number of Local Government Investment Pool participants
11	LGIP Accounts	4.1.2	Output Measure	FY 2010-11	July 1 - June 30	Internally maintained/Daily	Monthly/YTD	Number of Local Government Investment Pool participants
11	LGIP Accounts	4.1.2	Output Measure	FY 2009-10	July 1 - June 30	Internally maintained/Daily	Monthly/YTD	Number of Local Government Investment Pool participants
12	Investment Balances	4.1.1, 4.1.2, 4.1.3	Outcome Measure	FY 2013-14	July 1 - June 30	Internal IMS System & Bank of New York Mellon/Daily	Quarterly	Total Bank Balance plus CD Balance
13	STO investment services costs	4.1.2	Outcome Measure	FY 2013-14	July 1 - June 30	Internal IMS System/Daily	Annual	Costs assessed to provide investment services
14	Total Debt Issuance	7.1.1	Output Measure	FY 2013-14	July 1 - June 30	Internally maintained/as issued	Annual	Amount of debt issued in a fiscal year
15	Cost of debt issuance	7.1.1, 7.2.1, 7.3.1	Outcome Measure	FY 2013-14	July 1 - June 30	Municipal Market Data /as issued	Annual	Municipal Market Data/interest rate on debt payments
15	Cost of debt issuance	7.1.1, 7.2.1, 7.3.1	Outcome Measure	FY 2012-13	July 1 - June 30	Municipal Market Data /as issued	Annual	Municipal Market Data/interest rate on debt payments
15	Cost of debt issuance	7.1.1, 7.2.1, 7.3.1	Outcome Measure	FY 2011-12	July 1 - June 30	Municipal Market Data /as issued	Annual	Municipal Market Data/interest rate on debt payments
15	Cost of debt issuance	7.1.1, 7.2.1, 7.3.1	Outcome Measure	FY 2010-11	July 1 - June 30	Municipal Market Data /as issued	Annual	Municipal Market Data/interest rate on debt payments
15	Cost of debt issuance	7.1.1, 7.2.1, 7.3.1	Outcome Measure	FY 2009-10	July 1 - June 30	Municipal Market Data /as issued	Annual	Municipal Market Data/interest rate on debt payments
16	Debt issuance & refinancing savings	7.1.1, 7.2.1, 7.3.1	Outcome Measure	FY 2013-14	July 1 - June 30	Municipal Market Data /as issued and refinanced	Annual	Municipal Market Data
16	Debt issuance & refinancing savings	7.1.1, 7.2.1, 7.3.1	Outcome Measure	FY 2012-13	July 1 - June 30	Municipal Market Data /as issued and refinanced	Annual	Municipal Market Data
16	Debt issuance & refinancing savings	7.1.1, 7.2.1, 7.3.1	Outcome Measure	FY 2011-12	July 1 - June 30	Municipal Market Data /as issued and refinanced	Annual	Municipal Market Data
16	Debt issuance & refinancing savings	7.1.1, 7.2.1, 7.3.1	Outcome Measure	FY 2010-11	July 1 - June 30	Municipal Market Data /as issued and refinanced	Annual	Municipal Market Data

17	State's credit rating	7.1.1, 7.4.1, 8.1-5.1, 8.1-5.2	Outcome Measure	FY 2013-14	July 1 - June 30	Fitch, Moody's and S&P credit rating agencies	Annual	Credit rating agencies determination after analyzing multiple criteria and financial data
18	Total annual debt service requirement	7.4.1	Outcome Measure	FY 2013-14	July 1 - June 30	Internally maintained as debt is issued and retired	Annual	Required annual debt payments to bondholders/STO Debt Management V04 appropriation
19	UPP Funds paid through staff outreach	10.1-4.1, 11.1-2.2	Outcome Measure	FY 2013-14	July 1 - June 30	Wagers/Monthly	Monthly	"Outreach" means staff identifying and locating claimants with unclaimed funds
20	UPP Phone calls received	10.1-4.1	Output Measure	FY 2013-14	July 1 - June 30	Spirit Telecom/Daily	Monthly	Volume of calls placed and connected to the Unclaimed Property Division
21	UPP Claims generated	11.1-4.1, 1.4.1	Outcome Measure	FY 2013-14	July 1 - June 30	Wagers/Daily	Monthly	Total claim forms requested by and sent to potential claimants
22	UPP Claims received	10.1-4.1, 11.1-4.1, 11.4.1	Outcome Measure	FY 2013-14	July 1 - June 30	Wagers/Daily	Monthly	Total claim forms sent to the Unclaimed Property Division
23	UPP Number of accounts paid	10.1-4.1, 11.1-4.1, 11.1-2.1	Outcome Measure	FY 2013-14	July 1 - June 30	Wagers/Daily	Monthly	Total number of accounts paid to claimants
24	UPP Amount of accounts paid	10.1-4.1, 11.1-4.1, 11.1-2.1	Outcome Measure	FY 2013-14	July 1 - June 30	Wagers/Daily	Monthly	Total funds returned to claimants
25	UPP Shares paid	10.1-4.1	Outcome Measure	FY 2013-14	July 1 - June 30	Wagers/Daily	Monthly	Total shares sold for and paid to claimants
26	UPP Additional evidence requested	10.1-4.1	Output Measure	FY 2013-14	July 1 - June 30	Wagers/Daily	Monthly	Total letters sent to claimants requesting additional evidence to verify ownership
27	UPP Claims denied	10.1-4.1	Output Measure	FY 2013-14	July 1 - June 30	Wagers/Daily	Monthly	Total claims denied
28	Unclaimed property receipts	10.1-4.1	Outcome Measure	FY 2013-14	July 1 - June 30	TD Bank & Wells Fargo/Monthly	Monthly	Total funds received from holder companies
29	UPP Number of accounts reported	9.1-5.1, 9.1-5.2, 11.1-4.1	Outcome Measure	FY 2013-14	July 1 - June 30	Wagers/Weekly	Monthly	Total number of accounts received from holder companies
30	Future Scholar 529 Plan Assets	12.1-2.1, 13.1.1	Outcome Measure	FY 2013-14	July 1 - June 30	Columbia Management/Weekly	Quarterly	Total assets in the Future Scholar Plan
31	Future Scholar 529 Accounts	12.1-2.1, 13.1.1	Outcome Measure	FY 2013-14	July 1 - June 30	Columbia Management/Weekly	Monthly	Total number of Future Scholar accounts
31	Future Scholar 529 Accounts	12.1-2.1, 13.1.1	Outcome Measure	FY 2012-13	July 1 - June 30	Columbia Management/Weekly	Monthly	Total number of Future Scholar accounts

31	Future Scholar 529 Accounts	12.1-2.1, 13.1.1	Outcome Measure	FY 2011-12	July 1 - June 30	Columbia Management/Weekly	Monthly	Total number of Future Scholar accounts
31	Future Scholar 529 Accounts	12.1-2.1, 13.1.1	Outcome Measure	FY 2010-11	July 1 - June 30	Columbia Management/Weekly	Monthly	Total number of Future Scholar accounts
31	Future Scholar 529 Accounts	12.1-2.1, 13.1.1	Outcome Measure	FY 2009-10	July 1 - June 30	Columbia Management/Weekly	Monthly	Total number of Future Scholar accounts
32	Future Scholar 529 Average Account Size	12.1-2.1	Outcome Measure	FY 2013-14	July 1 - June 30	Columbia Management/Weekly	Monthly	Total assets divided by total number of accounts
33	Future Scholar 529 Sales	12.1-2.1	Outcome Measure	FY 2013-14	July 1 - June 30	Columbia Management/Monthly	Monthly	Total funds deposited into Future Scholar accounts
34	Future Scholar 529 accounts opened online	13.1.1	Outcome Measure	FY 2013-14	July 1 - June 30	Columbia Management	Monthly	Total accounts opened on the Future Scholar website
35	TPP total accounts	n/a	Output Measure	FY 2013-14	July 1 - May 31	Columbia Management	Annual	Actual number
36	TPP beneficiaries with paid invoices	n/a	Output Measure	FY 2013-14	July 1 - May 31	Columbia Management	Annual	Actual number
37	TPP total amount paid out	n/a	Output Measure	FY 2013-14	July 1 - May 31	Columbia Management	Annual	Actual number
38	TPP market value gross of fees	n/a	Output Measure	FY 2013-14	July 1 - June 30	Columbia Management	Annual	Actual number

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested Information Requested below is also requested in...

Other Report:	N/A
Question # of the Other Report:	N/A

Instructions: Please copy and paste the information from the Performance Measures - Explained Chart into the first five columns of this chart. Under the "Target Value for that Year" column, type the target or value the agency wanted to reach for the performance measure for the year stated in the "Year Utilized" column. Under the "Actual Value for that Year" column, type the actual value the agency had for that performance measure at the end of the year stated in the "Year Utilized" column. Finally, go back through and **copy and paste any rows necessary so that each row has only one associated strategy or objective per row**. (i.e. if the performance measure had 3 associated objectives one year, the performance measure for that year would be listed on three (3) separate rows with each associated objective on a different row.) NOTE: Responses are not limited to the number of rows below that have borders around them, please list all that are applicable.

Performance Measure Item #	Performance Measure	Associated Strategy or Objective # (ONLY ONE PER ROW)	Type of Measure	Year Utilized	Target Value for that Year	Actual Value for that Year
1	Paper Checks	1.1.1	Output Measure	FY 2013-14	25%	1,172,342
1	Paper Checks	1.1.2	Output Measure	FY 2013-14	25%	1,172,342
1	Paper Checks	3.1.1	Output Measure	FY 2013-14	25%	1,172,342
1	Paper Checks	1.1.1	Output Measure	FY 2012-13	n/a	1,253,551
1	Paper Checks	1.1.2	Output Measure	FY 2012-13	n/a	1,253,551
1	Paper Checks	3.1.1	Output Measure	FY 2012-13	n/a	1,253,551
1	Paper Checks	1.1.1	Output Measure	FY 2011-12	n/a	1,298,031
1	Paper Checks	1.1.2	Output Measure	FY 2011-12	n/a	1,298,031
1	Paper Checks	3.1.1	Output Measure	FY 2011-12	n/a	1,298,031
1	Paper Checks	1.1.1	Output Measure	FY 2010-11	n/a	1,676,033
1	Paper Checks	1.1.2	Output Measure	FY 2010-11	n/a	1,676,033
1	Paper Checks	3.1.1	Output Measure	FY 2010-11	n/a	1,676,033
1	Paper Checks	1.1.1	Output Measure	FY 2009-10	n/a	2,007,508

1	Paper Checks	1.1.2	Output Measure	FY 2009-10	n/a	2,007,508
1	Paper Checks	3.1.1	Output Measure	FY 2009-10	n/a	2,007,508
2	Electronic Checks	1.1.1	Output Measure	FY 2013-14	75%	2,975,524
2	Electronic Checks	1.1.2	Output Measure	FY 2013-14	75%	2,975,524
2	Electronic Checks	2.1.1	Output Measure	FY 2013-14	75%	2,975,524
2	Electronic Checks	3.1.1	Output Measure	FY 2013-14	75%	2,975,524
2	Electronic Checks	1.1.1	Output Measure	FY 2012-13	n/a	2,849,014
2	Electronic Checks	1.1.2	Output Measure	FY 2012-13	n/a	2,849,014
2	Electronic Checks	2.1.1	Output Measure	FY 2012-13	n/a	2,849,014
2	Electronic Checks	3.1.1	Output Measure	FY 2012-13	n/a	2,849,014
2	Electronic Checks	1.1.1	Output Measure	FY 2011-12	n/a	2,887,198
2	Electronic Checks	1.1.2	Output Measure	FY 2011-12	n/a	2,887,198
2	Electronic Checks	2.1.1	Output Measure	FY 2011-12	n/a	2,887,198
2	Electronic Checks	3.1.1	Output Measure	FY 2011-12	n/a	2,887,198
2	Electronic Checks	1.1.1	Output Measure	FY 2010-11	n/a	2,555,066
2	Electronic Checks	1.1.2	Output Measure	FY 2010-11	n/a	2,555,066
2	Electronic Checks	2.1.1	Output Measure	FY 2010-11	n/a	2,555,066
2	Electronic Checks	3.1.1	Output Measure	FY 2010-11	n/a	2,555,066
2	Electronic Checks	1.1.1	Output Measure	FY 2009-10	n/a	2,201,511
2	Electronic Checks	1.1.2	Output Measure	FY 2009-10	n/a	2,201,511
2	Electronic Checks	2.1.1	Output Measure	FY 2009-10	n/a	2,201,511
2	Electronic Checks	3.1.1	Output Measure	FY 2009-10	n/a	2,201,511
3	Total deposits	2.1.1	Output Measure	FY 2013-14	n/a	186,654
3	Total deposits	3.1.1	Output Measure	FY 2013-14	n/a	186,654
3	Total deposits	2.1.1	Output Measure	FY 2012-13	n/a	184,536
3	Total deposits	3.1.1	Output Measure	FY 2012-13	n/a	184,536
3	Total deposits	2.1.1	Output Measure	FY 2011-12	n/a	185,136
3	Total deposits	3.1.1	Output Measure	FY 2011-12	n/a	185,136
3	Total deposits	2.1.1	Output Measure	FY 2010-11	n/a	185,091
3	Total deposits	3.1.1	Output Measure	FY 2010-11	n/a	185,091
3	Total deposits	2.1.1	Output Measure	FY 2009-10	n/a	187,400
3	Total deposits	3.1.1	Output Measure	FY 2009-10	n/a	187,400
4	Total disbursements	2.1.1	Output Measure	FY 2013-14	n/a	4,147,866
4	Total disbursements	3.1.1	Output Measure	FY 2013-14	n/a	4,147,866
4	Total disbursements	2.1.1	Output Measure	FY 2012-13	n/a	4,102,565
4	Total disbursements	3.1.1	Output Measure	FY 2012-13	n/a	4,102,565
4	Total disbursements	2.1.1	Output Measure	FY 2011-12	n/a	4,185,229
4	Total disbursements	3.1.1	Output Measure	FY 2011-12	n/a	4,185,229
4	Total disbursements	2.1.1	Output Measure	FY 2010-11	n/a	4,231,099
4	Total disbursements	3.1.1	Output Measure	FY 2010-11	n/a	4,231,099
4	Total disbursements	2.1.1	Output Measure	FY 2009-10	n/a	4,209,019
4	Total disbursements	3.1.1	Output Measure	FY 2009-10	n/a	4,209,019
5	Net accrued income	4.1.1	Outcome Measure	FY 2013-14	n/a	\$138,467,711

5	Net accrued income	4.1.2	Outcome Measure	FY 2013-14	n/a	\$138,467,711
5	Net accrued income	4.1.3	Outcome Measure	FY 2013-14	n/a	\$138,467,711
6	Cash income	4.1.1	Outcome Measure	FY 2013-14	n/a	\$138,283,311
6	Cash income	4.1.2	Outcome Measure	FY 2013-14	n/a	\$138,283,311
6	Cash income	4.1.3	Outcome Measure	FY 2013-14	n/a	\$138,283,311
7	Average daily balance	4.1.1	Outcome Measure	FY 2013-14	n/a	\$13,077,391,194
7	Average daily balance	4.1.2	Outcome Measure	FY 2013-14	n/a	\$13,077,391,194
7	Average daily balance	4.1.3	Outcome Measure	FY 2013-14	n/a	\$13,077,391,194
8	Number of trades	4.1.2	Output Measure	FY 2013-14	n/a	4,516
8	Number of trades	5.1.3	Output Measure	FY 2013-14	n/a	4,516
8	Number of trades	4.1.2	Output Measure	FY 2012-13	n/a	3,755
8	Number of trades	5.1.3	Output Measure	FY 2012-13	n/a	3,755
8	Number of trades	4.1.2	Output Measure	FY 2011-12	n/a	3,570
8	Number of trades	5.1.3	Output Measure	FY 2011-12	n/a	3,570
8	Number of trades	4.1.2	Output Measure	FY 2010-11	n/a	2,663
8	Number of trades	5.1.3	Output Measure	FY 2010-11	n/a	2,663
8	Number of trades	4.1.2	Output Measure	FY 2009-10	n/a	1,943
8	Number of trades	5.1.3	Output Measure	FY 2009-10	n/a	1,943
9	Securities lending income	6.1.1	Outcome Measure	FY 2013-14	n/a	\$186,963
10	Net Market Value of SCRS Portfolio	n/a	Outcome Measure	FY 2013-14	n/a	\$29,586,503,966
11	LGIP Accounts	4.1.2	Output Measure	FY 2013-14	n/a	608
11	LGIP Accounts	4.1.2	Output Measure	FY 2012-13	n/a	585
11	LGIP Accounts	4.1.2	Output Measure	FY 2011-12	n/a	606
11	LGIP Accounts	4.1.2	Output Measure	FY 2010-11	n/a	593
11	LGIP Accounts	4.1.2	Output Measure	FY 2009-10	n/a	565
12	Investment Balances	4.1.1	Outcome Measure	FY 2013-14	n/a	\$9,173,678,235
12	Investment Balances	4.1.2	Outcome Measure	FY 2013-14	n/a	\$9,173,678,235
12	Investment Balances	4.1.3	Outcome Measure	FY 2013-14	n/a	\$9,173,678,235
13	STO investment services costs	4.1.2	Outcome Measure	FY 2013-14	Below industry averages	0.02%
14	Total Debt Issuance	7.1.1	Output Measure	FY 2013-14	n/a	\$363 million
15	Cost of debt issuance	7.1.1	Outcome Measure	FY 2013-14	At or below market rates for equivalently rated debt	1.57%
15	Cost of debt issuance	7.2.1	Outcome Measure	FY 2013-14	At or below market rates for equivalently rated debt	1.57%

15	Cost of debt issuance	7.3.1	Outcome Measure	FY 2013-14	At or below market rates for equivalently rated debt	1.57%
15	Cost of debt issuance	7.1.1	Outcome Measure	FY 2012-13	n/a	n/a
15	Cost of debt issuance	7.2.1	Outcome Measure	FY 2012-13	n/a	n/a
15	Cost of debt issuance	7.3.1	Outcome Measure	FY 2012-13	n/a	n/a
15	Cost of debt issuance	7.1.1	Outcome Measure	FY 2011-12	n/a	\$19.1 million
15	Cost of debt issuance	7.2.1	Outcome Measure	FY 2011-12	n/a	\$19.1 million
15	Cost of debt issuance	7.3.1	Outcome Measure	FY 2011-12	n/a	\$19.1 million
15	Cost of debt issuance	7.1.1	Outcome Measure	FY 2010-11	n/a	\$87.3 million
15	Cost of debt issuance	7.2.1	Outcome Measure	FY 2010-11	n/a	\$87.3 million
15	Cost of debt issuance	7.3.1	Outcome Measure	FY 2010-11	n/a	\$87.3 million
15	Cost of debt issuance	7.1.1	Outcome Measure	FY 2009-10	n/a	\$161.2 million
15	Cost of debt issuance	7.2.1	Outcome Measure	FY 2009-10	n/a	\$161.2 million
15	Cost of debt issuance	7.3.1	Outcome Measure	FY 2009-10	n/a	\$161.2 million
16	Debt issuance & refinancing savings	7.1.1	Outcome Measure	FY 2013-14	Maximize savings generated by timing the market	\$9 million
16	Debt issuance & refinancing savings	7.2.1	Outcome Measure	FY 2013-14	Maximize savings generated by timing the market	\$9 million
16	Debt issuance & refinancing savings	7.3.1	Outcome Measure	FY 2013-14	Maximize savings generated by timing the market	\$9 million
16	Debt issuance & refinancing savings	7.1.1	Outcome Measure	FY 2012-13	n/a	Approximately \$160 million
16	Debt issuance & refinancing savings	7.2.1	Outcome Measure	FY 2012-13	n/a	Approximately \$160 million
16	Debt issuance & refinancing savings	7.3.1	Outcome Measure	FY 2012-13	n/a	Approximately \$160 million
16	Debt issuance & refinancing savings	7.1.1	Outcome Measure	FY 2011-12	n/a	Approximately \$50million
16	Debt issuance & refinancing savings	7.2.1	Outcome Measure	FY 2011-12	n/a	Approximately \$50million

16	Debt issuance & refinancing savings	7.3.1	Outcome Measure	FY 2011-12	n/a	Approximately \$50million
16	Debt issuance & refinancing savings	7.1.1	Outcome Measure	FY 2010-11	n/a	\$24million
16	Debt issuance & refinancing savings	7.2.1	Outcome Measure	FY 2010-11	n/a	\$24million
16	Debt issuance & refinancing savings	7.3.1	Outcome Measure	FY 2010-11	n/a	\$24million
17	State's credit rating	7.1.1	Outcome Measure	FY 2013-14	AAA/Aaa/AA+ A	AAA/Aaa/AA+ A
17	State's credit rating	7.4.1	Outcome Measure	FY 2013-14	AAA/Aaa/AA+ A	AAA/Aaa/AA+ A
17	State's credit rating	8.1-5.1	Outcome Measure	FY 2013-14	AAA/Aaa/AA+ A	AAA/Aaa/AA+ A
17	State's credit rating	8.1-5.2	Outcome Measure	FY 2013-14	AAA/Aaa/AA+ A	AAA/Aaa/AA+ A
18	Total annual debt service requirement	7.4.1	Outcome Measure	FY 2013-14	As required by the Constitution and statute	\$182,135,964
19	UPP Funds paid through staff outreach	10.1-4.1	Outcome Measure	FY 2013-14	Increase by 5%	\$ 3,375,017
19	UPP Funds paid through staff outreach	11.1-2.2	Outcome Measure	FY 2013-14	Increase by 5%	\$ 3,375,017
20	UPP Phone calls received	10.1-4.1	Output Measure	FY 2013-14	n/a	19,947
21	UPP Claims generated	11.1-4.1	Outcome Measure	FY 2013-14	Increase by 5%	28,627
21	UPP Claims generated	1.4.1	Outcome Measure	FY 2013-14	Increase by 5%	28,627
22	UPP Claims received	10.1-4.1	Outcome Measure	FY 2013-14	n/a	10,933
22	UPP Claims received	11.1-4.1	Outcome Measure	FY 2013-14	n/a	10,933
22	UPP Claims received	11.4.1	Outcome Measure	FY 2013-14	n/a	10,933
23	UPP Number of accounts paid	10.1-4.1	Outcome Measure	FY 2013-14	Increase by 5%	26,768
23	UPP Number of accounts paid	11.1-4.1	Outcome Measure	FY 2013-14	Increase by 5%	26,768
23	UPP Number of accounts paid	11.1-2.1	Outcome Measure	FY 2013-14	Increase by 5%	26,768
24	UPP Amount of accounts paid	10.1-4.1	Outcome Measure	FY 2013-14	Increase by 5%	\$ 15,955,543
24	UPP Amount of accounts paid	11.1-4.1	Outcome Measure	FY 2013-14	Increase by 5%	\$ 15,955,543
24	UPP Amount of accounts paid	11.1-2.1	Outcome Measure	FY 2013-14	Increase by 5%	\$ 15,955,543
25	UPP Shares paid	10.1-4.1	Outcome Measure	FY 2013-14	n/a	149,776
26	UPP Additional evidence requested	10.1-4.1	Output Measure	FY 2013-14	n/a	2,164

27	UPP Claims denied	10.1-4.1	Output Measure	FY 2013-14	n/a	946
28	Unclaimed property receipts	10.1-4.1	Outcome Measure	FY 2013-14	n/a	\$ 50,643,694
29	UPP Number of accounts reported	9.1-5.1	Outcome Measure	FY 2013-14	Increase by 5%	464,431
29	UPP Number of accounts reported	9.1-5.2	Outcome Measure	FY 2013-14	Increase by 5%	464,431
29	UPP Number of accounts reported	10.1-4.1	Outcome Measure	FY 2013-14	Increase by 5%	464,431
30	Future Scholar 529 Plan Assets	12.1-2.1	Outcome Measure	FY 2013-14	Maximize growth baed on market value and incoming contributions	\$2,143,699,062
30	Future Scholar 529 Plan Assets	13.1.1	Outcome Measure	FY 2013-14	Maximize growth baed on market value and incoming contributions	\$2,143,699,062
31	Future Scholar 529 Accounts	12.1-2.1	Outcome Measure	FY 2013-14	Increase by 6%	108,464 (10%)
31	Future Scholar 529 Accounts	13.1.1	Outcome Measure	FY 2013-14	Increase by 6%	108,464 (10%)
31	Future Scholar 529 Accounts	12.1-2.1	Outcome Measure	FY 2012-13	n/a	99,317
31	Future Scholar 529 Accounts	13.1.1	Outcome Measure	FY 2012-13	n/a	99,317
31	Future Scholar 529 Accounts	12.1-2.1	Outcome Measure	FY 2011-12	n/a	92,700
31	Future Scholar 529 Accounts	13.1.1	Outcome Measure	FY 2011-12	n/a	92,700
31	Future Scholar 529 Accounts	12.1-2.1	Outcome Measure	FY 2010-11	n/a	89,528
31	Future Scholar 529 Accounts	13.1.1	Outcome Measure	FY 2010-11	n/a	89,528
31	Future Scholar 529 Accounts	12.1-2.1	Outcome Measure	FY 2009-10	n/a	84,568
31	Future Scholar 529 Accounts	13.1.1	Outcome Measure	FY 2009-10	n/a	84,568
32	Future Scholar 529 Average Account Size	12.1-2.1	Outcome Measure	FY 2013-14	At or above industry average	\$19,764
33	Future Scholar 529 Sales	12.1-2.1	Outcome Measure	FY 2013-14	Increase by 8%	\$154,696,578 (15%)
34	Future Scholar 529 accounts opened online	13.1.1	Outcome Measure	FY 2013-14	Increase by 5%	4,832 (35%)
35	TPP total accounts	n/a	Output Measure	FY 2013-14	n/a	7,321
36	TPP beneficiaries with paid invoices	n/a	Output Measure	FY 2013-14	n/a	3,554

37	TPP total amount paid out	n/a	Output Measure	FY 2013-14	n/a	\$99,512,053
38	TPP market value gross of fees	n/a	Output Measure	FY 2013-14	n/a	\$104,475,456

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested Information Requested below is also requested in...

Other Report:	N/A
Question # of the Other Report:	N/A

Instructions: Please list all sources of funding available in all bank accounts at the end of fiscal year 2013-14, all currently available to the agency and all anticipated funding sources in 2015-16. Examples of funding sources include, but are not limited to, Foundations, Non-Profits, General Assembly, Federal Government, grants, sales, fines, outside contracts, interest from bank accounts holding restricted or any other type of funds, etc. NOTE: Responses are not limited to the number of rows below that have borders around them, please list all that are applicable.

Source of Funds	Restrictions on use of funds (List any restrictions; If no restrictions, type "None")	Amount available at end of 2013-14	Amount currently available (i.e. cash on hand)	Bank Account in which funds are held	Additional funds reasonably anticipated from source in 2015-16
General Assembly	Section 98	-	-	General Deposit	1,655,842.00
Gen Assembly - Identity Theft Reimbursement Fund	Proviso 98.12	200,000.00	400,000.00	General Deposit	-
TOTAL GENERAL FUND		200,000.00	400,000.00		1,655,842.00
Investments	Proviso 98.5			General Deposit	2,800,047.00
Debt	Proviso 98.6			General Deposit	1,360,000.00
Unclaimed Property Program	SC Code of Law 27-18-2408 & Proviso 98.4			General Deposit	1,225,000.00
College Savings Program	SC Code of Law 27-18-2408 & Proviso 98.4			General Deposit	533,960.00
Court Fines	SC Code of Law 14-1-206(C8); 14-1-207(C8); 14-1-208(C12); 14-1-210E			General Deposit	75,000.00
Conviction Surcharge	SC Code of Law 14-1-212(81)			General Deposit	40,000.00
Decommissioning Trust	SC Code of Law 13-7-30			General Deposit	15,000.00
Local Government Investment Pool	Proviso 98.4			General Deposit	180,000.00
TOTAL EARMARKED FUND		22,552.00	20,406.00	General Deposit	6,229,007.00
TOTAL AGENCY FUNDS		222,552.00	420,406.00	General Deposit	7,884,849.00

[illegible]

	S14.1		Work with existing service providers to create an online portal through which data can be captured.									
	S14.2		Conduct outreach to other State agencies to capture similar data.									
		O14.2.1	Streamline the process of capturing in-state school tuition data and capped tuition increases so that the data is captured more quickly and the process is more cross-trainable.									
College Savings Expenditure Total											\$ 498,711	\$ 498,711
All Other Items			Support Systems of the office include Administration, Information Technology, Legislative and Constituent Services		\$ 914,392							\$ 914,392
Total Spent per Budget Category					\$ 1,655,842	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,223,722	\$ 7,879,564

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested Information Requested below is also requested in...

Other Report:	N/A
Question # of the Other Report:	N/A

Instructions: Please type in the statute, regulation and proviso which provides a basis for the agency to pursue each goal, strategy and objective. Next to each statute, regulation and proviso type a short summary of the statute (if they agency previously listed the law in the Legal Standards Chart of the Restructuring Act, the agency can copy and paste the summary from there, which is also found in the Laws to Further Evaluate Chart of this Report). **Type only one law per row. If multiple laws apply to a particular goal, strategy or objective, copy and paste that row as many times as needed to ensure you list each law that applies on a separate row.**

From Strategic Planning Template agency submits with Accountability Report					
G#	S#	O#	Description	Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
G1			Treasury Management: Increase the number of payments Treasury Management processes electronically.	11-3-130	All payments by State Treasurer made on warrants drawn by Comptroller General
G1			Treasury Management: Increase the number of payments Treasury Management processes electronically.	11-3-140	After installation of electronic data processing system to serve office of Comptroller General and State Treasurer, Comptroller General shall present warrants for payment of State obligations to State Treasurer who shall then make payment of obligation by check
G1			Treasury Management: Increase the number of payments Treasury Management processes electronically.	11-3-185	Expenditure of money appropriated by General Assembly is by warrant requisitions directed to Comptroller General who subsequently issues warrant on State Treasurer to payee designated in requisition.
G1			Treasury Management: Increase the number of payments Treasury Management processes electronically.	11-5-200	Treasurer may make periodic payroll payments by Electronic Funds Transfer Systems when requested by payee
G1			Treasury Management: Increase the number of payments Treasury Management processes electronically.	Proviso 98.4, 2015-16 Appropriations Act	State Treasurer is authorized to charge fee for operating and management costs associated with certain programs
		O1.1.1	Reduce banking costs because the fees associated with checks are higher than those with electronic payments.		
		O1.1.2	Increase the target percentage from 72% of electronic payments to checks to 75% over the previous fiscal year.		
G2			Treasury Management: Complete the conversion of all General Deposit accounts to SCEIS.	11-53-20	General Assembly mandates SCEIS implementation for all agencies
G2			Treasury Management: Complete the conversion of all General Deposit accounts to SCEIS.	Proviso 98.2, 2015-16 Appropriations Act	Decisions relating to Statewide Accounting and Reporting System (STARS) and the South Carolina Enterprise Information System (SCEIS) which involve the State Treasurer's Banking Operations and other functions of the State Treasurer's Office shall require the approval of the State Treasurer

	S2.1		Continue to meet with SCEIS personnel and work collaboratively to convert the final related accounts from the legacy FMS STARS system to SCEIS.		
		O2.1.1	Ensure the STO stays current and advances technologically with the ongoing changes in State accounting system and continue to develop SCEIS reports that can assist the STO in its day-to-day operations.		
G3			Treasury Management: Cross-training and expansion of knowledge between and among the internal sections of Treasury Management.	§ 11-5-10	State Treasurer may employ such personnel as may be provided by law.
G3			Treasury Management: Cross-training and expansion of knowledge between and among the internal sections of Treasury Management.	Proviso 98.10, 2015-16 Appropriations Act	State Treasurer authorized to designate certain employees to sign payments for current fiscal year to meet ordinary expenses of the State
	S3.1		Cross-train employees to learn the duties and key job functions of the respective internal sections of Treasury Management to prepare for absences, succession planning and educational opportunities.		
		O3.1.1	To ensure that the day-to-day and long-term functions in Treasury Management are carried-out accurately and competently in the event of an absence of key employee(s).		
G4			Investments: Manage all investment programs in accordance with State law as applicable, in an effective manner while preserving capital, maintaining liquidity and obtaining the best return within the appropriate risk parameters.	11-9-660	Grants State Treasurer full power to invest and reinvest all funds of the State, prescribes types of investments State Treasurer can make, and expressly authorizes State Treasurer to contract to lend securities pursuant to this section
G4			Investments: Manage all investment programs in accordance with State law as applicable, in an effective manner while preserving capital, maintaining liquidity and obtaining the best return within the appropriate risk parameters.	§ 11-13-30	Grants State Treasurer exclusive authority within state government to invest and deposit funds, such funds to draw best rate of interest obtainable
G4			Investments: Manage all investment programs in accordance with State law as applicable, in an effective manner while preserving capital, maintaining liquidity and obtaining the best return within the appropriate risk parameters.	§ 6-6-10	Notwithstanding any other provision of law, the State Treasurer may establish and maintain a common trust fund to be known as the South Carolina Pooled Investment Fund in which may be deposited public monies in excess of current needs which are under the custody of any county treasurer or the governing body of any municipality, county, school district, regional council of government, or any other political subdivision of the State.
G4			Investments: Manage all investment programs in accordance with State law as applicable, in an effective manner while preserving capital, maintaining liquidity and obtaining the best return within the appropriate risk parameters.	12-45-220(C)	State Treasurer may assist local governments in investing funds that are temporarily in excess of operating needs
G4			Investments: Manage all investment programs in accordance with State law as applicable, in an effective manner while preserving capital, maintaining liquidity and obtaining the best return within the appropriate risk parameters.	Proviso 98.3, 2015-16 Appropriations Act	State Treasurer may pool funds from accounts for investment purposes and may invest all monies in the same types of investments as set forth in Section 11-9-660
G4			Investments: Manage all investment programs in accordance with State law as applicable, in an effective manner while preserving capital, maintaining liquidity and obtaining the best return within the appropriate risk parameters.	Proviso 98.5, 2015-16 Appropriations Act	Allows STO to charge a fee for operating and management costs associated with the investment management and support operations of various state funds and programs which does not exceed actual cost of services

		04.1.1	Obtain the best return possible within the prescribed parameters on a portfolio basis, while maintaining liquidity and meeting or exceeding the applicable benchmarks, all while preserving capital.		
		04.1.2	Provide professional investment services for all funds under management through efficient utilization of available services.		
		04.1.3	Meet or exceed the General Fund budget projection for investment earnings each year.		
G5			Investments: Transition from the legacy Investment Management System to a SCEIS compatible system.	11-9-660	Grants State Treasurer full power to invest and reinvest all funds of the State, prescribes types of investments State Treasurer can make, and expressly authorizes State Treasurer to contract to lend securities pursuant to this section
G5			Investments: Transition from the legacy Investment Management System to a SCEIS compatible system.	§ 11-13-30	Grants State Treasurer exclusive authority within state government to invest and deposit funds, such funds to draw best rate of interest obtainable
G5			Investments: Transition from the legacy Investment Management System to a SCEIS compatible system.	Proviso 98.2, 2015-16 Appropriations Act	Decisions relating to Statewide Accounting and Reporting System (STARS) and the South Carolina Enterprise Information System (SCEIS) which involve the State Treasurer's Banking Operations and other functions of the State Treasurer's Office shall require the approval of the State Treasurer
		05.1.1	Upon selection of the SAP Enterprise Resource Planning system by the Treasurer, the goal is to be off the nearly three decade old legacy system and fully implemented on the SAP system by July 1, 2015.		
		05.1.2	Complete blueprinting sessions by October1, 2014.		
		05.1.3	Work with internal STO staff, SCEIS personnel and SAP consultants to convert, integrate and improve all functionality and processes of the Investment Management Division.		
G6			Investments: Reduce the roughly \$15 million liability to the Bank of New York Mellon which resulted from the Lehman Brothers bankruptcy in securities lending.	11-9-660	Grants State Treasurer full power to invest and reinvest all funds of the State, and expressly authorizes State Treasurer to contract to lend securities pursuant to this section
	S6.1		Continually monitor and work with the custodian, Bank of New York Mellon, to resolve the realized losses from securities lending.		
		06.1.1	Reduce the outstanding liability.		
G7			Debt: Manage the debt for the State, its agencies, institutions and authorities, optimizing the debt structure and ensuring timely repayment of debt when it is due.	S.C. Const. Art. X, § 13(4)	If payment due as the principal of or interest on any general obligation debt shall not be paid as and when the same become due and payable, the State Comptroller General shall forthwith levy and the State Treasurer shall collect an ad valorem tax upon all taxable property in the State sufficient to meet the payment of principal and interest of such general obligation then due.

G7			Debt: Manage the debt for the State, its agencies, institutions and authorities, optimizing the debt structure and ensuring timely repayment of debt when it is due.	§ 11-29-10	With respect to the issuance of additional state capital improvement bonds pursuant to the provisions of Act 1377 of 1968 and with respect to the issuance of state school bonds pursuant to Article 5, Chapter 71, Title 59 of the 1976 Code, which bonds are henceforth to be secured solely by a pledge of the full faith, credit and taxing power of the State, the State Treasurer is hereby directed to set aside from the tax revenues received in each fiscal year in which the interest on and principal of state capital improvement bonds or state school bonds will become due so much of such tax revenues as may be necessary in order to pay the principal of and interest on all state capital improvement bonds and all state school bonds due and falling due in such fiscal year and the State Treasurer shall thereafter apply such monies to the punctual payment of such principal and interest as the same respectively fall due.
G7			Debt: Manage the debt for the State, its agencies, institutions and authorities, optimizing the debt structure and ensuring timely repayment of debt when it is due.	§ 11-29-20	With respect to the issuance of additional state highway bonds pursuant to the provisions of Article 3, Chapter 11, Title 57 of the 1976 Code, which bonds are to be henceforth secured by a pledge of the full faith, credit and taxing power of the State and are to be additionally secured by a pledge of the revenues derived from the "sources of revenue" as such term is defined in subparagraph (a), paragraph (6), Section 13, Article X of the Constitution, the State Treasurer is hereby directed to set aside from the revenues derived from such sources of revenue received in each fiscal year in which the interest on and principal of state highway bonds are due or will become due so much of the revenues derived from such "sources of revenue" as may be necessary in order to pay the interest on and principal of all state highway bonds due and falling due in such fiscal year and the State Treasurer shall thereafter apply such monies to the punctual payment of such principal and interest as the same respectively fall due. In the event that the revenues derived from such sources of revenues so pledged prove insufficient to meet the payments of the interest on principal of such state highway bonds in such fiscal year, then the State Treasurer is authorized and directed to set aside from the general tax revenues received in such fiscal year so much of such general tax revenues as become needed for such purpose and to apply such revenues to the punctual payment of the interest on and principal of all state highway bonds due or to become due in such fiscal year.
G7			Debt: Manage the debt for the State, its agencies, institutions and authorities, optimizing the debt structure and ensuring timely repayment of debt when it is due.	§ 11-29-30	With respect to the issuance of additional state institution bonds pursuant to the provisions of Chapter 107, Title 59 of the 1976 Code, which bonds are to be henceforth secured by a pledge of the full faith, credit and taxing power of the State and are to be additionally secured by a pledge of the revenues derived from the tuition fees received by the particular state institution of higher learning for which such state institution bonds are issued, the State Treasurer is hereby directed to set aside from such tuition fees received in each fiscal year in which the interest on and principal of state institution bonds for such state institution are due or will become due so much of the revenues derived from such tuition fees as may be necessary in order to pay the interest on and principal of all state institution bonds for such institution due and falling due in such fiscal year and the State Treasurer shall thereafter apply such monies to the punctual payment of such principal and interest as the same respectively fall due. In the event that the revenues derived from the tuition fees so pledged prove insufficient to meet the payments of the interest on and principal of such state institution bonds in such fiscal year, then the State Treasurer is authorized and directed to set aside from the general tax revenues received in such fiscal year so much of such general tax revenues as become needed for such purpose and to apply the same to the punctual payment of the interest on and principal of such state institution bonds due or to become due in such fiscal year.

G7			Debt: Manage the debt for the State, its agencies, institutions and authorities, optimizing the debt structure and ensuring timely repayment of debt when it is due.	11-38-20	(A) The State Fiscal Accountability Authority is authorized to provide for the issuance of capital improvement bonds in denominations of less than one thousand dollars.(B) Capital improvement bonds in denominations of less than \$1,000 are authorized to be sold at a price less than par, plus accrued interest from their date to the date of their delivery.(C) For the purpose of computing the principal amount of capital improvement bonds issued, the amount of capital improvement bonds issued in denominations less than \$1,000 must be determined by the amount the State receives from the issuance of such bonds, rather than the par amount of such bonds.(D) Capital improvement bonds issued in denominations of less than \$1,000 shall mature in such amounts and at such times as the State Treasurer shall determine.(E) The requirement that capital improvement bonds be sold upon sealed proposals, after publication of notice of sale one or more times at least ten days before such sale, in a newspaper of general circulation in the State and also a financial paper published in New York City, shall not apply to the sale of capital improvement bonds in denominations of less than \$1,000. The State Treasurer is authorized to develop and implement a program for the sale of capital improvement bonds in denominations of less than \$1,000, including the advertisement of it, that will ensure an opportunity for citizens of the State to purchase these capital improvement bonds.(F) The State Treasurer shall determine the rate or rates of interest that capital improvement bonds issued in denominations of less than \$1,000 shall bear.
G7			Debt: Manage the debt for the State, its agencies, institutions and authorities, optimizing the debt structure and ensuring timely repayment of debt when it is due.	11-41-140	[State General Obligation Economic Development} Bonds must be sold by the Governor and the State Treasurer upon sealed proposals, after publication of notice of the sale one or more times at least seven days before the sale, in a financial paper published in New York City which regularly publishes notices of sale of state or municipal bonds. The bonds may be awarded upon the terms and in the manner as prescribed by the State Treasurer. The right is reserved to reject all bids and to readvertise the bonds for sale. For the purpose of bringing about successful sales of the bonds, the State Treasurer may do all things ordinarily and customarily done in connection with the sale of state or municipal bonds. All expenses incident to the sale of the bonds must be paid from the proceeds of the sale of the bonds.
G7			Debt: Manage the debt for the State, its agencies, institutions and authorities, optimizing the debt structure and ensuring timely repayment of debt when it is due.	11-41-150	The proceeds of the sale of [State General Obligation Economic] bonds must be received by the State Treasurer and applied by the State Treasurer to the purposes for which issued, except that the accrued interest, if any, may be used to discharge in part the first interest to become due on the bonds, but the purchasers of the bonds in no way are liable for the proper application of the proceeds to the purposes for which they are intended.
G7			Debt: Manage the debt for the State, its agencies, institutions and authorities, optimizing the debt structure and ensuring timely repayment of debt when it is due.	11-43-620	Transportation infrastructure bonds must be sold by the Governor and the State Treasurer upon sealed proposals, after publication of notice of such sale one or more times at least seven days before such sale, in a newspaper of general circulation in the State and also in a financial paper published in New York City which regularly publishes notices of sale of state or municipal bonds. The bonds must be awarded to the bidder offering to purchase the transportation infrastructure bonds at the lowest net interest cost to the State at a price of not less than ninety-nine percent of par and accrued interest to the date of delivery, but the right is reserved to reject all bids and to readvertise the bonds for sale and to waive technicalities in the bidding.
G7			Debt: Manage the debt for the State, its agencies, institutions and authorities, optimizing the debt structure and ensuring timely repayment of debt when it is due.	§ 11-9-90	Whenever Governor and State Treasurer are empowered by law to issue general obligation bonds of State, they may receive bids on more than one issue at same time, and may impose condition to bidding a requirement that proposals submitted for all bonds offered are submitted as though bonds constituted a single issue. Under such circumstances, fact that more than one issue of bonds is offered, and maturity schedules of issues are dissimilar, shall be disregarded and bonds shall be awarded to bidder whose bid prescribes lowest interest cost, calculated on basis that all bonds issued constituted single issue of bonds.
G7			Debt: Manage the debt for the State, its agencies, institutions and authorities, optimizing the debt structure and ensuring timely repayment of debt when it is due.	Proviso 98.6, 2015-16	State Treasurer may charge actual costs associated with administration and management of indebtedness of the State, its agencies and institutions

		07.1.1	Ensure the State and its agencies, institutions and authorities receive proper guidance for the management and structure of debt issuances and programs.		
	S7.2		Analyze the capital markets to ensure the lowest borrowing cost is achieved under circumstances prevailing at the time the funds are needed.		
		07.2.1	Ensure the lowest borrowing cost is achieved with each debt issuance.		
	S7.3		Maximize, to the greatest extent possible, market exposure of debt offerings.		
		07.3.1	Maximize market exposure of debt offerings.		
	S7.4		Ensure timely repayment of debt when due.		
		07.4.1	Maintain and enhance technical systems to ensure timely and accurate calculation and execution of debt payments.		
G8			Debt: Coordinate the relationship with the credit rating services so that the State's credit rating is maximized.	11-5-240	In order to maintain high credit rating of the State, State Treasurer shall furnish rating services, from time to time, such reports and data as may be required and he considers appropriate
	S8.1		Maintain regular contact and communication with the rating services through monthly reporting of revenue collections.		
	S8.2		Periodic reporting of budgetary results and legislative developments that will potentially affect fiscal policy.		
	S8.3		Conduct an annual State review that contains State-specific information and peer group comparisons.		
	S8.4		Provide timely responses to requests for additional information.		
	S8.5		Provide advice and guidance to policymakers and perform impact analysis and special studies as requested or warranted by circumstances or developments having potential consequence on the State's rating.		
		08.5.1	Maintain the State's AAA credit rating.		
		08.5.2	Maintain the STO's professional relationship with the three major credit rating agencies.		
G9			UPP: Increase holder companies' compliance with reporting by providing them with more informational and educational opportunities.	27-18-20	Identifies State Treasurer - or his agents or representatives - as Administrator of the Uniform Unclaimed Property Act
G9			UPP: Increase holder companies' compliance with reporting by providing them with more informational and educational opportunities.	27-18-310	Authorizes STO: to require any person who has not filed a verified report stating whether or not he or she is holding unclaimed property to do so; to examine records of any person, at reasonable times and upon reasonable notice, to determine compliance with unclaimed property laws even if person believes he or she is not in possession of property subject to chapter; to give person determined to have property subject to chapter notice stating amount due plus interest and penalties which, if not paid or formally disputed, can result in further penalties or criminal prosecution; and to require person with insufficient records to pay amount reasonably estimated from records available.
G9			UPP: Increase holder companies' compliance with reporting by providing them with more informational and educational opportunities.	27-18-340	Allows STO to enter into agreements with other states to exchange information to allow this State and other states to audit and determine unclaimed property this State or other state may be entitled to subject to a claim of custody.

	S9.1		Provide holder reporting training to various State associations.		
	S9.2		Develop a holder reporting webinar for the Unclaimed Property webpage.		
	S9.3		Modify reporting instructions on the webpage to make them simpler and easier to understand.		
	S9.4		Implement software to give holder companies the ability to report and pay electronically.		
	S9.5		Mail outreach letters to holder companies explaining the legal reporting requirements and how to file reports, as well as make onsite visits to provide hands-on assistance with reporting.		
		O9.5.1	To increase the number of companies that comply with the South Carolina Uniformed Unclaimed Property Act.		
		O9.5.2	To increase the number of unclaimed property reports filed.		
G10			UPP: Increase citizens' awareness of the Unclaimed Property Program through promotion in various media outlets.	27-18-190	STO is responsible for publishing notice of abandoned properties in newspapers of general circulation in form that, in judgment of STO, is likely to attract attention of apparent owners of unclaimed property
	S10.1		Man phone banks on television stations throughout the State.		
	S10.2		Partner with AARP to provide computerized name searches during Senior Day at the State Fair.		
	S10.3		Work with media outlets to air stories featuring the Program to encourage citizens to search for accounts in their names.		
	S10.4		Devote staff time to searching for unclaimed property owners, particularly those who are owed large sums.		
		O10.4.1	Increase the number of rightful owners paid each fiscal year.		
G11			UPP: Increase Program efficiency through the use of technology by developing or acquiring various hardware and software systems.	27-18-20	Identifies State Treasurer - or his agents or representatives - as Administrator of the Uniform Unclaimed Property Act
G11			UPP: Increase Program efficiency through the use of technology by developing or acquiring various hardware and software systems.	27-18-240(B)	Before depositing unclaimed funds received under this chapter in general fund of the State, STO is authorized to deduct, among other things, mailing and publication costs associated with abandoned property, reasonable service charges, and costs incurred in examining records of holders and collecting property from holders.
	S11.2		Install new versions of the Wagers UPS2000 when available to improve system efficiency.		
	S11.3		Install a state-of-the-art scanning system to more efficiently handle document scanning.		
	S11.4		Implement UPEXpress allowing companies to file reports and remit funds electronically.		
		O11.4.1	Take advantage of new technological developments that assure operational efficiency.		
		O11.4.2	Streamline operations in order to be more responsive to holder companies and claimants.		
		O11.4.3	Complete implementation of a paperless claim approval and payment process by June 2016.		
G12			Future Scholar: Promote the importance of saving for college with the Future Scholar 529 Plan to South Carolina residents.	59-2-40	STO responsible for South Carolina College Investment Program

G12			Future Scholar: Promote the importance of saving for college with the Future Scholar 529 Plan to South Carolina residents.	59-2-50	Grants STO powers necessary to carry out purposes and provisions of chapter and purposes and objectives of trust including, but not limited to: executing contracts and other necessary instruments; contracting for necessary goods and services; employing necessary personnel; engaging services of consultants and other qualified persons and entities for administrative and technical assistance in carrying out responsibilities of trust funds under terms and conditions State Treasurer deems reasonable; establish agreements or other transactions with federal, state, and local agencies, including state institutions of higher learning; contract for marketing of program; delegate responsibility for administration of comprehensive investment plan to contractors or consultants State Treasurer determines to be qualified; make all necessary and appropriate arrangements with colleges and universities or other entities to fulfill obligations under trust agreements; and establish other policies, procedures, and criteria necessary to implement and administer chapter.
	S12.1		Review the grassroots marketing plan for the year and make changes as necessary.		
	S12.2		Add value to in-state marketing efforts based on a market research study performed on the previous fiscal year's efforts.		
		O12.2.1	Increase overall new accounts in the Future Scholar 529 Plan by 6% over the previous fiscal year.		
G13			Future Scholar: Increase utilization of web-based marketing to promote the Future Scholar 529 Plan.	59-2-50	Grants STO powers necessary to carry out purposes and provisions of chapter and purposes and objectives of trust including, but not limited to: executing contracts and other necessary instruments; contracting for necessary goods and services; employing necessary personnel; engaging services of consultants and other qualified persons and entities for administrative and technical assistance in carrying out responsibilities of trust funds under terms and conditions State Treasurer deems reasonable; establish agreements or other transactions with federal, state, and local agencies, including state institutions of higher learning; contract for marketing of program; delegate responsibility for administration of comprehensive investment plan to contractors or consultants State Treasurer determines to be qualified; make all necessary and appropriate arrangements with colleges and universities or other entities to fulfill obligations under trust agreements; and establish other policies, procedures, and criteria necessary to implement and administer chapter.
	S13.1		Implement a social media and web-based marketing plan to target existing participants as well as potential participants.		
		O13.1.1	Increase overall accounts opened online by 5% over the previous fiscal year.		
G14			Future Scholar: Implement a web-based and systemic tool for capturing in-state tuition caps for the Tuition Prepayment Program.	59-2-50	Grants STO powers necessary to carry out purposes and provisions of chapter and purposes and objectives of trust including, but not limited to: executing contracts and other necessary instruments; contracting for necessary goods and services; employing necessary personnel; engaging services of consultants and other qualified persons and entities for administrative and technical assistance in carrying out responsibilities of trust funds under terms and conditions State Treasurer deems reasonable; establish agreements or other transactions with federal, state, and local agencies, including state institutions of higher learning; contract for marketing of program; delegate responsibility for administration of comprehensive investment plan to contractors or consultants State Treasurer determines to be qualified; make all necessary and appropriate arrangements with colleges and universities or other entities to fulfill obligations under trust agreements; and establish other policies, procedures, and criteria necessary to implement and administer chapter.
	S14.1		Work with existing service providers to create an online portal through which data can be captured.		
	S14.2		Conduct outreach to other State agencies to capture similar data.		

		O14.2.1	Streamline the process of capturing in-state school tuition data and capped tuition increases so that the data is captured more quickly and the process is more cross-trainable.		
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Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested Information Requested below is also requested in...

Other Report:	N/A
Question # of the Other Report:	N/A

Instructions: Below is a list of all the laws the agency said were related to it in the agency's 2015 Restructuring and Seven Year Plan Report. In the "Related, Impacted Goal, Strategy or Objective #" column, please type the goal, strategy or objective number which is related to or impacted by the law (i.e. G1, O1.1.1, etc.). If a particular law relates/impacts more than one goal, strategy or objective, **make a separate row for each different Related, Impacted Goal, Strategy or Objective** by copying and pasting the law to as many separate rows as needed. In the column, titled "Recommend Further Evaluation," please put a Y beside any laws the agency would like the Committee to review for further discussion and/or possibly recommend revision or elimination of in the Committee's Oversight Report. In the column, titled "Basis for Further Evaluation," please provide a brief explanation/basis for any laws which the agency would like the Committee to review by choosing one of the options available from the drop down menu.

				NOTE: If the agency grouped individual laws together, but would like the Committee to perform further evaluation of a law, the agency must add a row which states the specific law so it is clear what the agency wants the Committee to evaluate.	
Related, Impacted Goal, Strategy or Objective# (i.e. G1, O1.1.1)	Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
	S.C. Const. Art. VI, § 7	Establishes State Treasurer as one of statewide elected constitutional officers	1		
G4	S.C. Const. Art. X, § 11	Authorizes STO to invest endowment funds donated specifically to state-supported institutions of higher learning	2		
G7	S.C. Const. Art. X, § 13	Authorizes STO to collect ad valorem tax levied by Comptroller General for purpose of paying principal or interest payments on State's general obligation bonds which have become due and for which sufficient revenues have not been allocated by General Assembly	3		
G7	S.C. Const. Art. X, § 14(5)	Directs STO to withhold state appropriations from political subdivision which fails to effect punctual payment of principal or interest on its general obligation debt	4		
G7	S.C. Const. Art. X, § 15(4)	Directs STO to withhold state appropriations from school district which fails to effect punctual payment of principal or interest on its general obligation debt	5		
	1-1-110	Identifies State Treasurer as one of officers constituting executive department	6		
G7	1-1-1020	Authorizes STO to provide financing arrangements under master lease program on behalf of entities of state government	7		
	1-1-1210	Sets annual salary of State Treasurer	8		
	1-7-110	Grants State Treasurer power to seek advice of Attorney General on matters relating to official business	9		

	1-11-10	Establishes State Treasurer as member of Budget and Control Board	10		
G4	1-11-705; 1-11-707	Establishes SC Retiree Health Insurance Trust Fund and SC Long Term Disability Insurance Trust Fund in State Treasury, names STO as custodian of funds, and authorizes STO to invest such funds	11		
G2, G3	2-7-66	STO responsible for disbursing Education Improvement Act of 1984 appropriations to agencies and entities other than State Department of Education	12		
G2, G3	2-75-30	STO responsible for managing Centers of Excellence Matching Endowment fund	13		
G2, G3	Title 4, Chapter 10	Directs STO to credit or distribute various local sales and use taxes to certain funds or to county or municipal treasurers	14		
G2, G3	6-4-20	STO responsible for administering accommodations tax account; STO responsible for determining annually a percentage to be withheld from certain counties and distributing that amount to counties in manner set forth in section	15		
G3	6-4-35	Directs STO to withhold amount of expenditures from municipalities and counties found to be noncompliant by Tourism Expenditure Review Committee	16		
G4, G5	6-5-15(E)(1)(b)	Allows qualified public depository to secure uninsured funds under Pooling Method where pool of collateral is established under direction of STO; STO required to determine requirements and operating procedures for pool; STO responsible for monitoring and ensuring depository's compliance and providing monthly reports to each local entity in pool	17		
G4	6-5-30	Authorizes STO to assist local governments with investing funds in excess of operating needs	18		
G4	6-6-10	Authorizes STO to establish and maintain SC Pooled Investment Fund	19		
G3	6-7-155	STO responsible for remitting to each regional council of government its share of state funds approved by Executive Budget Office	20		
G3	6-11-300	STO responsible for prescribing form for reports from state entities that provide natural gas to residents of state	21		
G3	6-27-20	STO responsible for administering Local Government Fund	22		
G3	6-27-40	STO responsible for distributing monies appropriated to Local Government Fund in accordance with requirements of section	23		
	8-3-140; 8-3-150	Bonds of public officers filed and recorded by Secretary of State are to be filed with STO	24		
	8-3-240	STO responsible for distributing funds recovered on bonds of public officers	25		
	8-11-20	Directs STO to pay, upon warrant issued by Comptroller General, premium or annual payment on bonds of certain state employees	26		
G2, G3	8-21-320	STO responsible for distributing revenues from motion fees remitted to it in accordance with section	27		

G4	8-23-20; 8-23-30	Grants STO advice and approval authority over investments made by PEBA with respect to funds of Deferred Compensation Program	28		
	9-1-1320; 9-8-170; 9-9-160; 9-10-80; 9-11-250	Establishes STO as custodian of funds of Retirement System	29		
	9-1-1350	Authorizes and directs STO to transfer funds from State general fund to proper Retirement System accounts	30		
	9-3-160	Directs STO to pay necessary funds from State general fund as employer contributions by the State for social security coverage for justices and judges	31		
	9-16-315	Establishes State Treasurer as ex officio member of RSIC	32		
	11-1-25	Directs STO to submit reports on investment earnings of State general fund to House Ways and Means Committee and Senate Finance Committee	33		
G1, G2, G3	11-3-130; 11-3-140	Requires all STO payments to be made on warrants drawn by Comptroller General	34		
G3	11-5-10	Provides that State Treasurer receives annual salary as provided by General Assembly and may employ personnel "as may be provided by law."	35		
	11-5-20	Establishes bond State Treasurer must give before assuming office	36		
	11-5-50 to 11-5-80	Authorizes STO to take certain actions against defaulting county treasurers	37		
G3	11-5-90	Directs STO to remit all funds from United States property in Clarks Hill project area to appropriate county treasurers	38		
	11-5-100	Requires STO to account for appropriations made by General Assembly	39		
	11-5-120	Requires STO to publish quarterly statements	40		
	11-5-140	Authorizes STO to pay amounts appropriated annually to meet ordinary expenses of the State out of usual income of the State	41		
	11-5-170	Requires STO to report all monies paid out at close of business each day to Comptroller General	42		
	11-5-175	Requires STO to report quarterly to departments and agencies receiving monies pursuant to Sections 14-1-205 to -208	43		
	11-5-180	Requires STO to report cash transactions monthly to Comptroller General	44		
	11-5-185	Requires STO to submit annual report to General Assembly	45		
	11-5-190	Authorizes STO to set up funds for insurance and postage on securities in trust	46		
	11-5-200	Authorizes STO to make period payroll payments by Electronic Funds Transfer Systems	47		
	11-5-210	Income from licensing and other fees for certain professional boards are remitted to STO at least once a week and credited to State general fund	48		
	11-5-220	Requires STO to report to JBRC, House Ways and Means, and Senate Finance immediately after selling any general obligation or anticipation notes	49		

	11-5-230	Establishes continuing account in STO to be used to match federal disaster assistance funds	50		
	11-5-240	Requires STO to report data to credit rating services in order to maintain high credit rating	51		
	11-5-245	Requires STO to report quarterly to boards of higher education institutions status of funds invested pursuant to 11-9-665	52		
	11-5-250	Establishes STO as limited trust company in connection with banking activities with Federal Reserve Board	53		
	11-5-260	Check issued by STO for payment which is not presented for payment within 2 years must be credited back to State Treasury	54		
	11-5-270	Authorizes STO to invest endowment funds of institutions of higher education, and to invest such funds in equity funds	55		
	11-5-280	Authorizes STO to enter into contracts allowing state entities to accept credit cards	56		
	11-9-75	Authorizes STO to withhold State funds from agency or political subdivision that is delinquent in payments due State or its agencies	57		
	11-9-90	Authorizes Governor and STO, whenever empowered to issue general obligation bonds of State, to receive bids on more than 1 issue at same time and treat as single issuance	58		
	11-9-280	Authorizes STO to use money borrowed by Budget and Control Board under this section to pay any borrowings for or claims against current and ordinary business of State for fiscal year next preceding to prevent deficit in general funds of State	59		
G2, G3	11-9-290	Requires STO to maintain sufficient cash reserve in general deposit account from which Budget and Control Board may borrow from time to time	60		
G4, G5, G6	11-9-660	Grants STO full power to invest all funds of State and authorizes STO to contract to lend securities	61		
	11-9-850	Requires information relating to STO to be verified by STO prior to announcement	62		
G4	11-11-170(C)	Authorizes STO to invest revenues payable to State pursuant to Tobacco Master Settlement Agreement	63		
G3	11-13-10	Authorizes STO to have a safe in a vault for placing and keeping State monies	64		
G3	11-13-20	Requires STO to deposit other State funds in banks or trust companies approved by B&CB	65		
G2, G3, G4, G5, G6	11-13-30	Grants STO exclusive authority in State government to invest and deposit public funds	66		
G2, G3	11-13-40	Requires STO, with advice and approval of B&CB, to keep in a general deposit account all state funds which, in opinion of B&CB, may be property consolidated	67		
G3, G4	11-13-60	Establishes forms of security qualified public depositories must provide that hold State funds deposited by STO and methods of securing, and establishes STO's responsibilities with respect to recordkeeping and accepting collateral and other forms of security	68		
	11-13-90	Directs STO to withdraw all State deposits from depository that fails to report and close account	69		

G3	11-13-110	Grants STO authority to determine whether State entities may deposit with bank or other financial institution a revolving fund and also determines amount of such revolving funds	70		
G2, G3	11-13-215	Designates State Treasury as depository for all funds received by state departments and institutions	71		
G2, G3	11-13-130	Requires STO to designate form of deposit slip	72		
G7	11-15-100	Directs STO to make available to political subdivisions blank forms upon which they can report information required to be filed with STO before incurring general obligation or revenue obligation debt; directs STO to annually survey general obligation and revenue obligation debt of political subdivisions and maintain current record of such; directs STO to publish statement of obligations of all subdivisions on or before March 31 each year	73		
G1, G2, G3	11-25-430	Directs STO to maintain \$20,000 revolving fund for B&CB to purchase office supplies	74		
G7	11-27-100	Upon ratification of "New Article X" of State Constitution, authorizes STO to promulgate regulations implementing certain provisions	75		
G7	11-29-10	Directs STO to set aside tax revenues necessary to pay principal and interest on certain state capital improvement bonds or state school bonds due in such year, and to use such funds to pay principal and interest as they become due	76		
G7	11-29-20	Directs STO to set aside revenues necessary to pay interest and principal on certain state highway bonds due in such year, and to use such funds to pay principal and interest as they become due; if revenues insufficient, STO authorized to set aside general tax revenues	77		
G7	11-29-30	Directs STO to set aside revenues derived from tuition fees necessary to pay interest and principal on state institution bonds due in such year, and to use such funds to pay principal and interest as they become due; if revenues insufficient, STO authorized to set aside general tax revenues	78		
G7	11-31-40	STO responsible for establishing registry for fully registered bonds and to pay interest due	79		
G7	11-37-100 to 11-37-110	STO responsible for issuing bonds of S.C. Resources Authority	80		
G4	11-37-230	Authorizes STO to invest funds of S.C. Resources Authority	81		
G3, G7	11-37-260	Authorizes STO to withhold State funds from local governments that fail to pay principal or interest on its obligations	82		
G7	11-38-20	Authorizes STO to develop and implement program for sale of capital improvement bonds in denominations of less than \$1,000, and to determine rates of interest such bonds shall bear	83		
G2, G3	11-39-50	Directs STO to deposit oil overcharge funds in interest bearing State accounts	84		
G7	11-40-130	Authorizes STO, or bank designated by STO, to serve as corporate trustee for purpose of securing bonds issued by S.C. Infrastructure Facilities Authority	85		

G3, G7	11-40-200	Authorizes STO to withhold State funds from local governments that fail to collect and remit in full amounts due S.C. Infrastructure Facilities Authority	86		
G2, G3, G4	11-40-230	Directs that all money of S.C. Infrastructure Facilities Authority is deposited with STO, and authorizes STO to invest such funds	87		
G7	Title 11, Chapter 41	Grants STO various powers and responsibilities with respect to issuance of bonds pursuant to State General Obligation Economic Development Act and the application of the proceeds of such bonds	88		
G3, G4, G7	Title 11, Chapter 43	Grants STO various powers and responsibilities with respect to S.C. Transportation Infrastructure Bank, including responsibility of holding bank accounts in trust, withholding State funds from government entities that fail to remit funds due the bank, power to invest funds of bank, power to issue bonds of bank, responsibility of securing the bank's bonds, and authority to use tax revenues to pay principal and interest on bank's bonds	89		
G2, G3	11-46-60	Sets forth circumstances under which 2% of gross profits from sale of hydrogen and fuel cell products or services are annually remitted to STO and transferred to State general fund.	90		
	11-49-40	Establishes State Treasurer as member of Tobacco Settlement Revenue Management Authority	91		
G2, G3	11-49-55	Directs STO to transfer one million dollars annually for five years from trust fund to Department of Agriculture	92		
G2, G3	11-50-80	All accounts within Rural Infrastructure Fund are held in trust by STO	93		
G3, G7	11-50-120	Authorizes STO to withhold State funds from eligible entity that fails to remit amounts due to S.C. Rural Infrastructure Authority	94		
G4	11-50-150	Authorizes STO to invest funds of the S.C. Rural Infrastructure Authority	95		
G7	11-51-140	General obligation debt issued pursuant to S.C. Research University Infrastructure Act must be signed by State Treasurer	96		
G7	11-51-160	General obligation debt issued pursuant to S.C. Research University Infrastructure Act must be sold by Governor and State Treasurer upon sealed proposals and after advertisement; STO responsible for determining terms and manner of awarding debt; STO authorized to do all things ordinarily and customarily done in connection with sale of state or municipal bonds toward purpose of bringing about successful bond sales	97		
G7	11-51-170	STO responsible for receiving proceeds of general obligation debt and applying to purpose for which issued	98		
	11-55-10	Establishes State Treasurer as member of State Fiscal Accountability Authority	99		
G1, G3	12-4-395	Authorizes STO to enter into contracts on behalf of DOR to accept credit cards	100		
G2, G3	12-13-100	All moneys collected by DOR are deposited with STO to credit of general fund	101		

G2, G3	12-16-1730	DOR remits estate taxes recovered by it pursuant to any action instituted by it to STO	102		
G2, G3	12-20-170	DOR deposits all Corporation License Fees collected by it to STO	103		
G1, G3	12-21-90	STO pays seller of goods refund for taxes paid on goods sold and shipped to another upon warrant or order from DOR	104		
G2, G3	12-21-140; 12-21-1590	DOR remits all moneys collected pursuant to Stamp and Business License Tax to STO	105		
G1, G2, G3	12-21-1110	Cost of stamps, supplies, and other expenses of administration of Beer and Wine License Taxes paid from taxes collected upon warrants drawn by DOR upon STO	106		
G2, G3	12-21-1130	State's portion of all revenue derived from sale of beer and wine paid to STO for credit to special school account on last day of month	107		
G2, G3	12-21-2970	DOR remits proceeds from sale of confiscated goods to STO	108		
G2, G3	12-21-4200	DOR deposits certain amounts of total revenues derived from Bingo Tax Act to STO to credit of certain specified accounts	109		
G2, G3	12-21-6540	DOR must transfer 1/4 of license tax paid on admissions to an establishment to STO	110		
G2, G3	12-28-2365	DOR must remit fees collected on petroleum products monthly to STO	111		
G2, G3	12-28-2710	All monies collected by DOR pursuant to User Fees on Motor Fuels must be deposited to credit of STO as taxes collected by DOR	112		
G2, G3	12-28-2740	Proceeds from gasoline user fees must be deposited with STO and expended for purposes set forth in section	113		
G2, G3	12-33-245(B)	11% of revenue generated by excise tax on sale of alcohol for on-premises consumption must be deposited with STO; on quarterly basis, STO must allocate revenue to counties on per capita basis	114		
G3	12-37-2850	STO must distribute motor carrier taxes in accordance with Section 12-37-2870	115		
G4	12-45-220(C)	Authorizes STO to assist local governments with investing funds in excess of operating needs	116		
G3	Title 12, Chapter 53	STO must pay certain fees and costs associated with tax collection by DOR upon warrant from DOR	117		
G1, G2, G3	12-54-75	Authorizes STO to authorize state agency which collects revenues to accept electronic forms of payment, and to contract on behalf of agencies with payment service providers for processing of electronic payments	118		
G1, G3	12-60-500	STO pays tax refunds upon order from DOR	119		
G2, G3	13-1-45(D) & (J)	STO holds in trust accounts established by Dept. of Commerce in conjunction with S.C. Water and Wastewater Infrastructure Fund; authorizes STO to withhold state funds from qualified borrower who fails to collect and remit funds due Dept. of Commerce	120		
G7	13-1-710	Deputy director of Division of Savannah Valley Development required to file with STO report on bonds issued within 30 days of issuance	121		
G4	13-1-740	Authorizes STO to invest funds of Division of Savannah Valley Development	122		

G2, G3	13-1-1480	All funds of Division of Public Railways deposited with maintained in separate accounts by STO	123		
G2, G3	13-7-30	Budget and Control Board or its successor, State Fiscal Accountability Authority, must transmit fees collected from parties holding radioactive materials to STO; STO places money in revolving trust fund designated "extended care maintenance fund"	124		
G3	13-11-120	All funds of New Horizons Development Authority must be deposited in banks designated by STO	125		
G7	13-19-110	Midlands Authority required to file description of financial obligations with STO within 30 days of issuance	126		
G4	13-19-140	Authorizes STO to invest all funds of Midlands Authority	127		
G7	13-21-120	Edisto Development Authority must file description of financial obligations with STO within 30 days of issuance	128		
G4	13-21-150	Authorizes STO to invest all funds of Edisto Development Authority	129		
G2, G3	Title 14, Chapter 1	Certain amounts of court fines, fees, surcharges, costs, forfeitures, and other revenues are remitted to STO; STO responsible for then depositing revenues in accordance with various sections; STO authorized to adjust state aid to subdivisions that State Auditor finds jurisdiction has under remitted amounts due State or victims services; STO authorized to request that State Auditor examine financial records of any jurisdiction STO believes is not timely remitting funds	130		
G1, G3	14-15-60	STO shall pay special court stenographers amount due for services upon warrant from Comptroller General	131		
	15-61-30	If person with vested interest in real estate as tenant in common dies without a will or known heirs, divisible share of proceeds from sale of property escheats to State and is paid to STO subject to right of heirs to recover such share by proper proceedings tried in court of common pleas	132		
	15-77-20	Before action affecting obligations of State commences, STO must be given at least 10 days' notice and copy of proposed complaint so as to be given opportunity to appear before judge in opposition to filing of suit and to be heard upon amount of bond to be required	133		
G1, G2, G3	16-3-1290	Establishes STO as custodian of Victim's Compensation Fund	134		
G2, G3	16-15-445	1/2 of proceeds of sale of equipment used by person in committing certain crimes against children are remitted to STO for deposit to credit of Victim's Compensation Fund	135		
	16-17-610	Prohibits any person other than S.C. Department of Employment and Workforce from carrying on business of emigrant agent without first obtaining license from STO and county treasurer	136		
G1, G3	17-9-70	STO pays amounts due agents appointed by Governor to bring fugitives into State upon approval of accounts by Governor endorsed by Comptroller General	137		

G2, G3	17-22-350	County treasurers remit balance of revenue from application fees for traffic education programs to STO on monthly basis and makes reports on form prescribed by STO; STO deposits amounts received in accordance with section	138		
G2, G3	20-1-375	Addition \$20 fee imposed on each marriage license application to be remitted to STO and credited to Domestic Violence Fund	139		
G2, G3	22-3-340	Assessments on filings in magistrates court are remitted to STO for allocation to judicial department	140		
G2, G3	23-1-65	\$50 fee levied on applications for appointment of state constables are remitted quarterly by SLED to STO to be credited to State general fund	141		
G2, G3	23-3-50	SLED remits revenue from fees and licenses for private detectives and security companies, gun dealers, gun permits, and massage parlors to STO to be credited to State general fund	142		
G1, G2, G3	23-9-410	STO required to pay over amount collected upon premiums for fire insurance to county treasurers	143		
	23-11-30	Sheriffs' bonds shall be filed with STO within 30 days from time sheriff-elect receives notice of election	144		
G2, G3	23-47-50(F)	DOR places fees collected from CMRS 911 charges on deposit with STO; STO keeps funds collected in fund separate from State general fund	145		
G2, G3	23-47-68(I)	DOR transfers all remitted prepaid wireless 911 charges to STO; STO keeps in fund separate from State general fund	146		
G2, G3	24-3-40	5% of gross wages of prisoner who was either not ordered to pay restitution to a victim or has already paid restitution must be deposited with STO for credit to special account to support victim assistance programs	147		
G1, G2, G3	24-3-70	STO must pay SCDC actual expenses incurred in transferring prisoners upon warrant of Comptroller General	148		
G1, G2, G3	24-3-180	STO pays SCDC costs of transportation and clothes for discharged inmates on draft by SCDC countersigned by Comptroller General	149		
G2, G3	24-3-400	STO maintains "Prison Industries Account" in which SCDC deposits monies collected from sale or disposition of articles and products produced by inmate labor	150		
G2, G3	24-21-480	Daily fee for housing and food is deducted from salary of offender taking part in Restitution Center Program and deposited with STO for credit to same account as funds collected under Sections 14-1-210 to 14-1-230	151		
G1, G2, G3	25-1-1360	All disbursements from military fund are paid by STO upon warrant of Adjutant and Inspector General	152		
G2, G3	25-1-1650	Proceeds from sale of obsolete armories are retained in special account with STO	153		
G3	25-11-45	County Veterans Affairs Offices are funded with monies appropriated by General Assembly and payable directly to County Treasurer's Office by STO	154		

G2, G3	25-11-570	All funds donated to S.C. Prisoner of War Medal Fund are remitted to STO for deposit and disbursement	155		
G2, G3	27-16-50(B)	STO collects all local and private contributions to settlement with Catawba Indian Tribe and forwards them to Secretary of Interior	156		
G2, G3	27-16-110(C)(3)	All revenues from special bingo tax are deposited with STO for benefit of State general fund	157		
G1, G2, G3	27-16-130(H)(3)	STO remits special tribe sales tax revenues periodically to Catawba Tribe	158		
G1, G9, G10, G11	Title 27, Chapter 18	Establishes STO as administrator of Unclaimed Property Act responsible for locating, receiving and holding millions of unclaimed dollars for the benefit of rightful owners; STO is then responsible for paying funds to rightful owners who file a claim with the Office establishing their interest in unclaimed funds	159		
G10	27-19-220	STO responsible for advertising personal property which, if no claim for good title is made with 2 years, escheats to the State	160		
G2, G3	27-19-340	Secretary of State remits proceeds of escheats to STO for benefit of Sinking Fund	161		
G2, G3	30-1-100	S.C. Dept. of Archives and History deposits monies received from sale of public records of research value with STO	162		
G4	31-13-270	Authorizes STO to invest proceeds from refunding notes and bonds issued by S.C. State Housing, Finance, and Development Authority	163		
G1, G2, G3	31-13-340	Revenue collected by S.C. State Housing, Finance, and Development Authority are paid to STO for deposit in account known as "State Housing, Finance, and Development Authority Fund"; monies in fund are paid out by STO upon signature of designee of board, signature of executive director of board, and written warrant from Comptroller General	164		
	31-13-420	Establishes STO as trustee of S.C. Housing Trust Fund	165		
G2, G3	33-1-220(d)	Secretary of State collects certain taxes on documents filed with him and remits to STO for use of the State	166		
G2, G3	33-56-160	Secretary of State remits administrative fines collected pursuant to S.C. Solicitation of Charitable Funds Act in excess of \$200,000 in a fiscal year to STO to be deposited in general fund; all fees collected by Secretary of State pursuant to Act are transmitted to STO and deposited in fund separate from State general fund	167		
G2, G3	33-57-180	All administrative fines collected pursuant to chapter on Nonprofit Raffles for Charitable Purposes are transmitted to STO and deposited in general fund	168		
	34-1-20	Establishes State Treasurer as ex officio member and chairman of State Board of Financial Institutions	169		

G1, G2, G3	34-29-190	All license fees, investigation fees, and other funds collected by State Board of Financial Institutions under this chapter (Consumer Finance Law) are paid over to STO and used to defray costs of administering chapter, salaries of assistant examiners, clerical help, etc.	170		
	37-6-504; 37-6-509	Members of Commission on Consumer Affairs and Administrator of Consumer of Affairs must give bond to State with a sufficient surety, to be approved by STO	171		
	38-3-120	Director of Department of Insurance must give bond to State with sufficient surety to be approved by STO	172		
G9	38-27-640	Upon liquidation of insurer, unclaimed funds must be deposited with STO and paid without interest to person entitled thereto upon proof satisfactory to STO; STO must advertise and dispose of unclaimed funds in accordance with Section 27-19-220; funds withheld under Section 38-27-560 must be deposited with STO and paid in accordance with Section 38-27-610, and remaining sums are transferred to STO and escheat to State	173		
G1, G2, G3	38-45-60	After December 31 of each year, Director of Department of Insurance renders accounting to STO of state portion of broker's premium tax rate payment; upon warrant by Comptroller General, STO pays 1/4 of state's portion of broker's premium tax rate payment to county treasurer of county in which property located	174		
G2, G3	38-53-100	License fees for applications for professional bondsman and runner are collected by Director of Department of Insurance; certain amount then forwarded to STO and placed in general fund	175		
G2, G3	38-73-470	\$2 of yearly premium for uninsured motorist coverage is paid to SCDMV to be placed on deposit with STO in the "Uninsured Enforcement Fund"	176		
G2, G3	38-77-151	All funds collected by DMV under Chapter 10 of Title 56 are deposited with STO and held in "Uninsured Motorists Fund"	177		
G2, G3	39-9-206	A civil penalty collected under this chapter, entitled "Uniform Weights and Measures Law," is transmitted to STO for deposit in fund to be used by Dept. of Agriculture	178		
G2, G3	39-11-100	Monies collected from payment by public weigh masters for a public weigh master stamp must be deposited with STO	179		
G2, G3	39-11-210	All moneys collected by this chapter entitled "Public Weigh masters" must be deposited with STO	180		
G4	39-22-150	Authorizes STO to "invest at interest" revenues from operation of state warehouse system	181		
G2, G3	40-1-180	All fines and costs collected by LLR under this section must be remitted to STO and deposited in special fund established for LLR to defray administrative costs	182		

G2, G3	40-5-50(C)	Funds derived under this chapter applicable to licensing and regulation of auctioneers shall be paid to STO who keeps them for Auctioneers' Commission in manner provided for other State agencies and commissions	183		
G4	40-6-330	Authorizes STO to invest monies in "Auctioneer Recovery Fund"	184		
G2, G3	40-15-50	All fees received by State Board of Dentistry are deposited with STO to credit of State general fund; all fines must be deposited in special account held by STO for payment of administrative costs	185		
G2, G3	40-30-290	All fines and costs collected by LLR from licensed massage/bodywork therapists must be remitted to STO and deposited in special fund from which LLR must be reimbursed for administrative costs	186		
G2, G3	40-47-580	All monies collected by State Board of Medical Examiners pursuant to S.C. Respiratory Care Practice Act must be paid to STO for deposit in State general fund	187		
G2, G3	40-47-710(D)	All monies received by Acupuncture Advisory Committee and paid to State Board of Medical Examiners must be deposited with STO	188		
G2, G3	40-47-925(D)	All monies received by Physician Assistant Committee and paid to State Board of Medical Examiners must be deposited with STO	189		
G2, G3	40-51-160(F)	All fines collected by Board of Podiatry are remitted to STO and deposited in special fund from which board is reimbursed for administrative costs	190		
G2, G3	40-51-170	All revenues and income collected by Board of Podiatry must be remitted to STO and credited to State general fund	191		
G2, G3	40-55-40	All revenues and income collected by State Board of Examiners in Psychology must be remitted to STO and credited to State general fund	192		
G2, G3	40-57-70(D)	LLR may allocate up to \$10 of each license renewal fee to the S.C. Real Estate Commission Education and Research Fund which must be established as separate fund within STO	193		
G2, G3	40-59-580(B)	Civil penalties collected by S.C. Residential Builders Commission must be remitted to STO for deposit in State general fund	194		
G2, G3	40-61-50	All revenues and income derived from any source or activity by the S.C. Residential Builders Commission must be remitted to STO and credited to State general fund	195		
G2, G3	41-10-90	Any amounts collected by LLR in actions to collect civil penalties imposed against employers who fail to timely pay must be turned over to STO for deposit in State general fund	196		
G2, G3	41-15-320(I); 41-15-330	Amounts collected by LLR against employers as penalties for violations of Rules and Regulations of Commissioner of Labor, or amounts collected by Attorney General where penalty is not paid within 30 days, must be turned over to STO for deposit in State general fund	197		

G2, G3	41-16-140	In cases Attorney General brings action against elevator operator or owner who fails to pay fees for inspection, permits and licenses to Commissioner of S.C. Division of Labor, any amounts collected must be turned over to STO for deposit in general fund of State	198		
G2, G3	41-16-180	Civil penalties collected by Commissioner of S.C. Division of Labor must be turned over to STO for deposit in State general fund	199		
G2, G3	41-18-150(D)	Revenue derived from collection of civil penalties under S.C. Amusement Rides Safety Code must be remitted to STO and deposited in State general fund	200		
G3	41-31-630(9)	Upon certification from S.C. Department of Employment and Workforce that a government entity which is a "covered employer" is delinquent in payments due, STO directed to deduct amount of delinquency from funds payable to delinquent entity and pay to DEW in satisfaction of such delinquency	201		
G3	41-31-820(B)	Authorizes and directs STO to pay from State general fund to DEW amounts necessary to cover unemployment benefit claims which exceed amounts paid into Unemployment Compensation Fund	202		
G1, G2, G3	41-33-30	Establishes State Treasurer as ex officio treasurer and custodian of Unemployment Compensation Fund	203		
G2, G3	41-33-40	Directs STO to maintain 3 separate accounts within Unemployment Compensation Fund	204		
G1, G2, G3	41-33-90	Directs STO to pay, upon warrant issued by Comptroller General, lump sum amount requested by DEW for payment of benefits or refunds	205		
	41-33-220	Makes State Treasurer liable on his official bond for faithful performance of duties in connection with unemployment compensation fund	206		
G2, G3	41-33-430	Directs STO to pay, upon warrant issued by Comptroller General, amount requested by DEW to cover administrative expenses from DEW Administration Fund	207		
	41-33-450	Makes State Treasurer liable on his official bond for faithful performance of duties in connection with DEW administration fund	208		
G1, G2, G3	41-33-610(C)	Directs STO to pay, upon warrant issued by Comptroller General, amounts requested by DEW for purposes set forth in section from DEW special administration fund	209		
G1, G2, G3	41-33-710(C)	Directs STO to pay, upon warrant issued by Comptroller General, amounts requested by DEW for purposes set forth in section from DEW administrative contingency fund	210		
G1, G2, G3	41-33-810(E)	Directs STO to pay, upon warrant issued by Comptroller General, amounts requested by DEW for purposes set forth in section from DEW interest assessment fund	211		
G1, G2, G3	41-33-910(E)	Directs STO to pay, upon warrant issued by Comptroller General, amounts requested by DEW for purposes set forth in section from DEW integrity fund	212		

G3	41-43-110(B)	Grants STO approval authority over selection of trustee under any security agreement entered into by S.C. Jobs-Economic Development Authority	213		
G2, G3	41-43-140; 41-43-170	Designates STO as custodian of funds of JEDA's insurance fund and guaranty fund or, in the alternative, grants STO authority to approve of funds being held in custody of one or more S.C. commercial banks or trust companies	214		
G3	41-43-210	Provides that all JEDA funds must be deposited in a financial institution or institutions designated by STO in accordance with policies established by JEDA board	215		
G2, G3	42-5-190	Balance of maintenance tax revenues assessed by Workers' Compensation Commission must be remitted to STO for credit of State general fund	216		
G4	42-7-10	Authorizes STO to charge State Accident Fund, and credit to general fund, the customary investment management fee	217		
G2, G3	42-7-70	All premiums collected by State Accident Fund must be deposited with STO to credit of State Accident Fund	218		
G2, G3	42-7-75	Directs STO and Comptroller General to pay from State general fund to State Accident Fund amounts necessary to cover actual benefit claims paid which exceed amounts paid by various agencies, departments, and institutions	219		
G1, G2, G3	42-7-80	Awards made by Workers' Compensation Commission under article applicable to State Accident Fund are paid by STO upon warrant issued by Comptroller General	220		
G2, G3	42-7-200(F)	Provides STO may deposit monies to Workers' Compensation Uninsured Employers' Fund monies authorized to be paid to the Workers' Compensation Commission	221		
G1, G2, G3	42-7-210	Authorizes and directs STO and Comptroller General to pay from State general fund to State Accident Fund amounts necessary to cover actual benefit claims paid and expenses relating to operation of agency which exceed amounts paid in for this purpose by various agencies, departments, and institutions	222		
G1, G2, G3	42-7-310	Establishes STO as custodian of Second Injury Fund and directs that its monies and securities be held in separate and distinct trust account; directs STO to make disbursements from funds upon warrants issued by Comptroller General	223		
G1, G2, G3	43-1-200	Directs STO to pay, upon warrants issued by Comptroller General, amounts requested by DSS for payments of salaries and other administrative expenses	224		
G2, G3	43-5-230	Creates within STO a revolving fund designated as Public Welfare Cooperative Support Program Fund to be used by DSS in carrying out purposes it deems necessary	225		
G1, G2, G3	43-5-470	Directs STO to receive federal funds allocated to State under Section 403 of Title IV of Federal Social Security Act for aid to dependent children, and that such funds be kept in a dependent children's aid account	226		

G2, G3	43-21-40(h)	Fees collected by Lt. Governor's Division on Aging must be deposited with STO	227		
G2, G3	43-21-160(B)	All monies Division on Aging receives from voluntary contribution system of Section 12-7-2419 or any other gift, contribution, etc. must be deposited with STO in interest-bearing account	228		
G2, G3	43-25-80	STO responsible for keeping any funds appropriated for treatment and training of visually handicapped	229		
G2, G3	43-31-120	Directs STO to pay, upon warrant from Comptroller General, amounts requested by State Agency of Vocational Rehabilitation to cover administration costs from vocational rehabilitation fund	230		
G2, G3	44-1-150(C)	Fines collected by DHEC for violations of DHEC rules, regulations, etc. must be remitted to STO for deposit in State general fund	231		
G2, G3	44-6-45	DHHS must remit certain administrative fees collected to STO to be deposited to credit of State general fund	232		
G2, G3	44-6-146(A)	Directs STO to annually withhold from portion of Local Government Fund allotted to counties a sum equal to \$0.50 per capita, and to place such funds to credit of DHHS and used to provide Medicaid services	233		
G2, G3	44-7-150	DHEC must deposit with STO any grant, gift, etc. made to assist with costs of carrying out State Certification of Need and Health Facility Licensure Act	234		
G2, G3, G7	44-20-1170(A)	S.C. Commission on Disabilities and Special Needs must remit revenues to STO for credit to a special fund which must be applied to meet sums due by Commission under its obligations; monies from special fund also must be applied by STO to payment of principal and interest on outstanding state capital improvement bonds	235		
G2, G3	44-28-30(A)	Establishes STO as custodian of Self-Sufficiency Trust Fund and directs STO to maintain separate account within trust fund for each named beneficiary	236		
G1, G2, G3	44-28-40(B)	Directs STO to process vouchers from Self-Sufficiency Trust Fund accounts upon proper certification from S.C. Dept. of Disabilities and Special Needs, State Dept. of Mental Health, or State Agency of Vocational Rehabilitation	237		
G2, G3	44-28-60	Authorizes STO to return remaining money in an account within Self-Sufficiency Trust Fund to self-sufficiency trust which deposited the money if, after consultation with appropriate agencies, STO determines money in account of named beneficiary cannot be used for supplemental care or treatment of beneficiary in manner consistent with agreement or upon request of trustee of self-sufficiency trust	238		
G1, G2, G3	44-28-70	Directs STO to credit interest earned on Self-Sufficiency Trust Fund to the fund and allocate interest pro rata to accounts of named beneficiaries	239		

G2, G3	44-28-320	Authorizes STO to accept for deposit in Disability Trust Fund monies left to fund by donors of a self-sufficiency trust at death of disabled beneficiary, as well as bequests and contributions to fund	240		
G2, G3	44-28-340	Directs STO to credit interest earned on Disability Trust Fund to the fund	241		
G2, G3	44-32-120(G)	All fines collected for the unlawful body piercing of a minor must be remitted to STO to be credited to DHEC in separate and distinct account	242		
G3	44-49-80	Makes STO responsible for determining manner in which appropriations from Education Improvement Act of 1984 Fund are transferred to S.C. Dept. of Alcohol and other Drug Abuse Services	243		
G2, G3	44-53-310(b)	Fines generated from violations committed by persons registered or applying to become registered to manufacture, distribute, or dispense a controlled substance are remitted to STO for deposit to benefit of DHEC	244		
G2, G3	44-53-450(C)	Revenue collected pursuant to conditional discharge program are paid to STO within 30 days of receipt; STO then transmits these funds to Prosecution Coordination Commission; authorizes STO to request that State Auditor examine financial records of jurisdiction STO believes is not timely transmitting funds	245		
G2, G3	44-53-530	Net proceeds from sale of forfeited property must be remitted to STO; 5% of proceeds of seized and forfeited property must be remitted to STO and deposited to credit of State general fund; in case of state law enforcement or prosecution agency, monies and proceeds from sale of forfeited property must be remitted to STO which shall establish separate, special accounts for local agencies	246		
G3	44-56-160	STO responsible for disbursing funds from certain hazardous waste fees quarterly to counties which contain hazardous waste land disposal sites	247		
G2, G3	44-56-163	STO responsible for disbursing funds from Pinewood Hazardous Waste Contingency Fund quarterly to governing body of Town of Pinewood; establishes Pinewood Development Fund in STO, and interest earned on fund must be remitted quarterly to City of Pinewood	248		
G2, G3	44-56-170(F)	Fees collected by facilities for incineration of hazardous waste must be remitted to STO and placed into separate and distinct fund entitled "Hazardous Waste Fund County Account"; STO must release funds in each county's account upon written request by majority of county's legislative delegation	249		
G2, G3	44-56-435(C)	DOR must remit proceeds of registration fees and surcharges imposed on owners and operators of dry-cleaning facilities, after deducting costs, to STO to be credited to Dry-cleaning Facility Restoration Trust Fund	250		

G2, G3	44-63-120	State registrar must remit all fees received by him under this chapter (Vital Statistics) to STO each month	251		
G2, G3	44-93-170	Proceeds of county account established within Infectious Waste Contingency Fund must be released by STO upon written request of majority of recipient county's legislative delegation	252		
G2, G3	44-96-170	Proceeds of tire recycling fee are deposited to credit of STO which establishes account separate and distinct from general fund; STO distributes most of proceeds to each county based on population; remaining portion of proceeds credited to Solid Waste Management Trust Fund by STO for the Waste Tire Grant Trust Fund	253		
G2, G3	44-96-180(F)	Proceeds of lead-acid battery disposal fee are deposited to credit of STO which is required to establish separate and distinct account; STO must credit proceeds to Solid Waste Management Trust Fund	254		
G2, G3	44-96-200(E)	Proceeds of white good disposal fee are to be deposited to credit of STO which is required to establish separate and distinct account; STO must credit proceeds to Solid Waste Management Trust Fund	255		
G2, G3	44-125-20(B)	All funds received by DHEC for Osteoporosis Fund must be deposited with STO	256		
G2, G3	46-3-130	Remainder of fees charged by agencies that contract for use of personnel and facilities of Dept. of Agriculture must be transmitted to STO and deposited to account of Dept.	257		
G2, G3	46-9-100	Fines resulting from prosecutions assigned to State Crop Pest Commission must be paid to STO and deposited to credit of State Treasury	258		
G7	46-15-20	State Treasurer must countersign all bonds issued by Agriculture Commission	259		
G2, G3	46-15-60	Proceeds from operation of wholesale farmers' markets established by Dept. of Agriculture must be deposited monthly with STO to account of Dept.	260		
G2, G3	46-25-1090	Proceeds from forfeiture of misbranded fertilizer must be paid to STO which holds them subject to order of Agriculture Commission	261		
G2, G3	46-26-130	Proceeds from forfeiture of improperly branded liming materials or land plaster must be paid to STO which holds them subject to order of Agriculture Commission	262		
G3	46-33-50	Out-of-state shippers of trees, plants, and shrubs must pay annual license tax of \$100 to do business in this State to STO which shall issue a receipt for it	263		
G4	46-40-40(A)	Directs STO to administer investment of Grain Dealers Guaranty Fund	264		
	46-40-50(A)	If Dept. of Agriculture enters into loan with Insurance Reserve Fund, participants in loan must execute document approved by STO severally guaranteeing the loan	265		

G2, G3	46-41-170(3)	All civil fines levied and received by Commissioner of Agriculture against licensed dealers and handlers of agricultural products must be remitted to STO to be credited to Grain Producers Guaranty Fund	266		
G2, G3	46-41-220	Dept. of Agriculture must remit assessments on grain to STO to be credited to Grain Producers Guaranty Fund	267		
G4	46-41-230	Directs STO to administer investment of Grain Producers Guaranty Fund	268		
G2, G3	46-49-60	Receipts from penalties imposed against licensed distributors of milk and milk products must be paid by Dept. of Agriculture to STO	269		
G2, G3	47-4-140(A)	Fines assigned to State Livestock-Poultry Health Commission must be paid to STO and deposited to credit of State Treasury	270		
G2, G3	47-11-340	Proceeds from fees charged by Dept. of Agriculture for grading services must be deposited with STO to account of Dept.	271		
G4	48-2-30	Establishes within STO account known as S.C. Environmental Protection Fund; authorizes STO to invest monies in fund for benefit of fund	272		
G7	48-5-110(B)	Bonds of S.C. Water Quality Revolving Fund Authority may be secured by trust indenture between Authority and corporate trustee which may be STO or S.C. bank or trust company designated by STO	273		
G3	48-5-170	Upon notice from S.C. Water Quality Revolving Fund Authority that a project sponsor has failed to make timely payment to Authority pursuant to some agreement, STO must withhold from project sponsor a sufficient amount from a state appropriation to sponsor to pay amount due	274		
G3	48-9-320	All appropriations made for purpose of this chapter (Soil and Water Conservation Districts Law) shall be disbursed by STO on warrants from DHEC	275		
G2, G3	48-20-240	All fees and civil penalties collected under S.C. Mining Act must be deposited in general fund through STO	276		
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G2, G3	48-23-180	Fees collected by State Commission of Forestry for marking and tallying trees shall be paid to STO and credited to Federal revolving fund	278		
G2, G3	48-23-250	Revenues from lands owned by Commission of Forestry shall be segregated by STO for use of Commission	279		
G3	48-23-260	Directs STO to pay any county containing State forest lands amount equal to 25% of gross proceeds received by State in each fiscal year from sale of timber and other privileges on State forest lands in the county	280		
G3	48-23-270	Directs STO to pay any county containing State park lands amount equal to 25% of gross proceeds received by State each fiscal year from sale of lumber and other products on such State park lands in such county; such payments shall be in lieu of taxes	281		

G2, G3	48-27-240	All moneys received by State Board of Registration for Foresters must be paid to STO to keep such moneys in separate fund known as fund of the State Board of Registration for Foresters	282		
G2, G3	48-43-310	Fees collected by DHEC from oil or gas exploration permits must be forwarded to STO to be placed in account for DHEC	283		
G2, G3	48-43-390(E)	All monies collected by DHEC and Budget and Control Board as bonuses, rental payments or royalties from drilling for oil and gas on State lands must be deposited with STO in special account and expended as directed by General Assembly	284		
G2, G3	49-1-16	DHEC must remit fees charged applicants for permits for construction, alteration, dredging etc. in navigable waters of State to STO	285		
G2, G3	50-1-280(A)	Directs STO to credit total amount transferred by DOR pursuant to Section 12-6-5060 to the Nongame Wildlife and Natural Areas Fund	286		
G2, G3, G4	50-1-310(A); 50-3-180(A)	Establishes STO as custodian of Mitigation Trust Fund and authorizes STO to invest its assets in interest-bearing accounts	287		
G2, G3	50-3-550	Proceeds from sale of timber cut from lands held by DNR must be deposited with STO to credit of Fish and Wildlife Protection Fund	288		
G2, G3, G4	50-3-720	Establishes STO as custodian of Wildlife Endowment Fund of DNR and authorizes STO to invest its assets	289		
G2, G3, G4	50-3-910	Establishes STO as custodian of Jocassee Gorges Trust Fund and authorizes STO to invest its assets	290		
G2, G3, G4	50-3-1010	Establishes STO as custodian of Tom Yawkey Wildlife Center Trust Fund and authorizes STO to invest its assets in interest-bearing account	291		
G2, G3	50-9-910	Revenue from fines and forfeitures for violations of Chapters 1 through 16 must be remitted to STO and credited to County Game and Fish Fund subaccount for county from which revenue was collected	292		
G2, G3	50-9-920(B)	Revenue generated from sale of hunting and freshwater fishing licenses, permits, and tags must be remitted to STO and credited to Fish and Wildlife Protection Fund	293		
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G2, G3	50-9-660(C)	The various sources of funds which make up assets of Marine Resources Fund must be remitted to STO and credit to special account separate and distinct from general fund	295		
G2, G3	50-9-970(A)	The various sources of funds which make up assets of County Game and Fish Fund must be remitted to STO and credit to special account separate and distinct from general fund	296		
G2, G3	50-11-740(F)	Proceeds from sale of confiscated and forfeited property used in unlawful hunting of deer or bear at night must be paid over to STO for deposit in County Game and Fish Fund	297		

G2, G3	51-3-120	Revenues from lands owned by Dept. of Parks, Recreation and Tourism shall be segregated by STO for use of PRT in acquisition, management, and development of such lands until all obligations incurred are paid in full	298		
G2, G3	51-7-110	Half of surplus revenues from Hunting Island, Beaufort County shall be paid to STO and credited to park fund already used by PRT in furtherance of park program	299		
G2, G3	51-7-10	Establishes STO as custodian of Recreation Land Trust Fund and directs STO to hold all moneys and securities in fund in trust	300		
	51-11-30	Directs STO to transfer to PRT portion of former Tricentennial Fund consistent of \$982,007.50 recovered in litigation as a result of defects in certain buildings owned by former Tricentennial Commission	301		
G3	51-13-820	All funds of Patriot's Point Development Authority must be deposited in a bank or banks designated by STO	302		
G3	51-17-30(3)	Requires DNR's Bond Reserve Fund to be held in custody of STO or a corporate trust department of a financial institution selected by STO	303		
G2, G3, G4	52-5-120	Directs STO to maintain "Springdale Fund," accept additional gifts to the fund, invest the fund as STO deems appropriate, and quarterly deliver income from investments to the Carolina Cup Racing Association for maintenance and operation of its facility	304		
G2, G3	52-5-150	Net profits of training and equestrian center, if any, must be retained for expansion or maintenance or annually remitted to STO and deposited in Springdale Fund	305		
G2, G3, G7	55-11-340(20)(f)	Directs STO, upon receipt of ad valorem taxes collected by Richland and Lexington county treasurers for purpose of paying principal and interest on bonds issued by Richland-Lexington Airport Commission, to set funds apart in special fund and apply them solely to payment of principal and interest of bonds; when all outstanding principal and interest bond payments have been made, STO is directed to transfer balance in special fund to general fund of the Airport Commission	306		
G7	55-11-360	All excess revenues derived by Richland-Lexington Airport Commission must be paid over to STO and held for payment of interest and principal of general obligation bonds of the district	307		
G2, G3	56-1-143	Any voluntary contributions to Donate Life South Carolina must be transferred to STO and credited to Donate Life South Carolina	308		
G2, G3	56-1-200	Balance of revenue from fees charged by DMV for replacement of lost or destroyed license must be deposited into special earmarked account by STO for use of DMV	309		

G2, G3	56-3-840	All moneys collected for failure to properly register or license a vehicle must be deposited to credit of Department of Public Safety into special fund within STO designated "Department of Public Safety Building Fund"	310		
G2, G3	56-3-2340(C)	Fees collected by DMV from third-party providers who process titles, license plates, and vehicle registrations on behalf of DMV must be placed by STO into special restricted account for DMV	311		
G2, G3	56-3-3710(B)	Fees collected for special license plates with college or university emblems and deposited into separate funds for each respective higher education institution must be deposited with STO	312		
G2, G3	56-3-4910(B)	Fees collected by DMV for special firefighters license plates and deposited into separate fund for S.C. Fire Academy must be deposited with STO	313		
G2, G3	56-3-12510(B)	Fees collected by DMV for special high school license plates and deposited into separate fund for each school must be deposited with STO	314		
G2, G3	56-5-2953(E)	Funds received pursuant to Section 14-1-208(C)(9) must be distributed by STO to DPS and SLED on monthly basis	315		
G2, G3	56-5-2995	Additional assessment of \$12 imposed on persons convicted of driving under the influence must be remitted to STO which then distributes assessments in accordance with Section 14-1-201	316		
G2, G3	56-5-4160(K)	All fines collected by DPS pursuant to this section for weight and load violations must be deposited into account with STO entitled "Size, Weight, and Safety Revitalization Program Fund for Permanent Improvements"	317		
G2, G3	56-9-360	Security deposited with DMV by driver following an accident must be placed in custody of STO	318		
G3	56-9-580	Proof of financial responsibility may be evidenced by certification of State Treasurer that person named has deposited with STO \$35,000 in cash or securities; deposit must be held by STO to satisfy any execution on a judgment issued against person making deposit	319		
G3	56-9-600	STO must return money or securities to person entitled thereto upon direction from DMV	320		
G2, G3	56-10-550	Funds collected by DMV under this chapter (Motor Vehicle Registration and Financial Security) must be placed on deposit with STO and held in special fund known as "Uninsured Motorists Fund"	321		
G2, G3	56-10-554	DMV must deposit \$50 uninsured motor vehicle fee paid per vehicle with STO to be held in special account called "Recoupment Fund"	322		
G2, G3	56-19-265(D)	Fees collected by DMV from commercial entities who transmit or receive data from DMV pursuant to this section concerning the recording of liens against motor vehicles and mobile homes must be placed by STO in special restricted account to be used by DMV to defray expenses	323		
G2, G3	56-35-60(A)	Establishes within STO fund separate and distinct from general fund and all other funds entitled Diesel Idling Reduction Fund	324		

G7	57-5-1410	Requires that all turnpike bonds be signed by Governor and State Treasurer	325		
G7	57-5-1460	Empowers Governor and State Treasurer to effect delivery of turnpike bonds upon receipt of resolution	326		
G2, G3	57-11-20(A)	State Highway Fund and State Non-Federal Aid Highway Fund must be held and managed by STO separate from general fund	327		
G7	57-11-290	Authorizes Governor and State Treasurer to issue state highway bonds in accordance with provisions of resolution from Budget and Control Board	328		
G7	57-11-360	Authorizes Governor and State Treasurer to sell state highway bonds	329		
G7	57-11-380	Provides that proceeds of sale of state highway bonds must be received by STO and applied by STO to purpose for which issued	330		
G1, G2, G3	58-5-480	Assessments charged against natural gas utilities by DOR must be deposited in special fund within STO from which salaries, benefits, expenses, and charges incurred by Office of Regulatory Staff are paid	331		
	58-31-20(D)	Establishes State Treasurer as member of advisory board of the S.C. Public Service Authority	332		
G2, G3	58-31-110	Remaining balance of all revenues earned by S.C. Public Service Authority after necessary expenses, payment of principal and interest on bonds, etc. must be paid over semiannually to STO for general fund of State to be used to reduce tax burdens on people of State	333		
G12, G13	S.C. Code Title 59, Chapter 2; 26 U.S.C.A. § 529	Establishes STO's responsibility for administering SC College Investment Program in accordance with this chapter and Section 529 of Internal Revenue Code	334		
G14	Title 59, Chapter 4	Establishes STO's responsibility for administering SC Tuition Prepayment Program;	335		
G4	59-18-1550(B)	Authorizes STO to invest monies in public school assistance fund	336		
G4	59-18-1700(B)	Authorizes STO to invest monies in fund established to provide public information campaign regarding public schools	337		
G2, G3	59-21-130	STO makes payments to county treasurers for state school aid due upon warrants issued by Comptroller General	338		
G2, G3	59-21-1010	Provides that revenue derived from certain sales and use taxes must be remitted to STO and credited to state public school building fund or in the S.C. Education Improvement Act of 1984 Fund	339		
G2, G3	59-25-140	Board of examiners for teachers must remit \$0.50 fee for duplicate certificates to STO to be used by board for certain expenses	340		
G2, G3	59-26-20(j)	Appropriations for loans and administrative costs incurred by S.C. Student Loan Corporation must be provided in annual amounts to STO for use by corporation	341		
G4	59-29-510(A)	Authorizes STO to invest monies in Financial Literacy Trust	342		
	59-31-560	Bonds of contract publishers are to be placed in custody of STO	343		

	59-40-175	Authorizes STO to approve loans from monies in Charter School Revolving Loan Program to charter schools; directs STO to establish guidelines and procedures for application, approval, allocation and repayment of such loans; allows STO to be reimbursed from program for costs associated with administration of these loans	344		
G2, G3	59-47-90	All funds collected from students attending School for the Deaf and Blind as maintenance fees must be remitted to STO for deposit in special fund to be used for capital improvements at the school	345		
G2, G3, G4, G7	59-53-162	Proceeds of bonds issued by State Board of Technical and Comprehensive Education must be delivered to STO and retained by STO in special fund or funds applied to purpose for which bonds issued; authorizes STO to make temporary investments of funds derived from proceeds of bonds	346		
G2, G3, G7	59-53-165	Authorizes STO to accept custody of revenues derived from any special student fee, to deposit them in applicable debt service fund and bond reserve fund for payment of principal and interest	347		
G2, G3	59-53-1830	Appoints State Treasurer custodian of federal funds received by State pursuant to federal act entitled: "To Provide for the Promotion of Vocational Education; to Provide for Cooperation with the States in the Promotion of Such Education in Agriculture and the Trades and Industries; to Provide for Cooperation with the States in the Preparation of Teachers of Vocational Subjects; and to Appropriate Money and Regulate Its Expenditure"	348		
G4	59-69-10	Authorizes STO to invest federal funds received by him pursuant to act entitled "An Act to Authorize the State Treasurer to Receive from the United States Government a Certain Fund and to Hold the Same Subject to the Uses Declared by an Act of Congress"	349		
	59-69-20	Directs State Treasurer to hold in trust for State certain property and money for educational purposes	350		
G4	59-69-30	Authorizes STO to invest money in name of State as a permanent State school fund and pay out income to counties	351		
G2, G3, G4	59-69-40	Directs State Superintendent of Education to pay moneys and income from gifts of land, money, personal property, etc. given to him for educational purposes to State Treasury; STO is authorized to invest such funds in name of State	352		
G7	59-71-55	Directs STO to transfer to county treasurer from general fund of State amount needed to enable county treasurer to make payment of principal and interest coming due if county treasurer does not have sufficient funds on hand; directs STO to withhold from operating school unit distributions of revenue sufficient to reimburse general fund	353		

G7	59-71-310	If principal and interest of school district general obligation bonds are not paid when due and school district board provides by resolution that holder of bonds may present them to STO, then STO is authorized to effect payment and charge such payments to school district's account and diminish payments otherwise to be made to school district	354		
G7	59-71-320	Authorizes STO to approve resolution of school district board concerning bonds in default if STO makes certain determinations	355		
G7	59-71-330	Authorizes STO to prescribe rules and regulations concerning school bonds in default	356		
G7	59-71-340	Directs STO to collect ad valorem tax levied by Comptroller General on all taxable property in school district when school district does not levy adequate millage for that year to provide debt service to become due on bonds	357		
G7	Title 59, Chapter 71, Article 5	Authorizes Governor and STO to issue State schools bonds under conditions prescribed in this article	358		
G2, G3	59-101-360(A)	Directs STO to credit sales tax revenue from tax on catalog sales to Mail Order Sales Tax Fund, which is separate and distinct from State general fund	359		
G2, G3	59-104-230	Directs STO to establish separate fund consisting of funds appropriated for all endowed professorships plus accrued interest	360		
G2, G3	59-104-240	Directs STO to establish separate fund consisting of funds appropriated for all salary enhancements plus accrued interest	361		
G3	59-107-30	Provides that all tuition fees received by any state institution be remitted to State Treasurer under such regulations as he shall prescribe	362		
G7	Title 59, Chapter 107	Empowers Governor and State Treasurer to provide for issuance of state institution bonds in accordance with provisions in this chapter	363		
G2, G3	59-111-75	Directs STO to disburse funds from account to be used for college loans for National Guard members as requested by Commission on Higher Education and upon warrant of Comptroller General	364		
G2, G3	59-113-45	Directs STO to transfer interest accruing on balance of undisbursed tuition grant funds to S.C. Tuition Grant Commission	365		
G4	59-115-60	Authorizes STO to invest moneys in State Education Assistance Authority Loan Fund	366		
G2, G3	59-115-70	Establishes STO as custodian of sinking fund established for revenue from revenue bonds issued by State Education Assistance Authority	367		
G3, G4	59-118-60	Authorizes STO to manage and invest monies in Higher Education Matching Gift Fund	368		
	59-119-10	Authorizes STO hold real and personal property of bequest to State from Thomas G. Clemson	369		
G4	59-119-100	Authorizes STO to invest funds derived from Clemson bequest in manner directed by Governor, Comptroller General and State Treasurer, or any 2 of them	370		

G2, G3, G4, G7	59-119-1020	Proceeds of all Clemson University athletic facilities revenue bonds must be delivered to STO or corporate trust designee; STO is authorized to make temporary investments of such funds	371		
G2, G3	59-119-1030(1)(b)	Directs STO to deposit any admission fees and special student fees received from Clemson University into debt service fund	372		
G2, G3, G4, G7	59-121-420	Proceeds of all Citadel athletic facilities bonds must be delivered to STO or corporate trust designee; STO is authorized to make temporary investments of funds derived from proceeds of bonds	373		
G2, G3	59-121-430	Directs STO to deposit any admissions fees and special student fees received from Citadel into debt service fund	374		
G3	59-123-20	Provides STO may receive and securely hold property conveyed to State by Medical University of South Carolina	375		
G2, G3, G7	59-123-95	If MUSC borrows money to acquire diagnostic and therapeutical equipment by issuing notes payable from charges for service or use rendered by such equipment, charges must be remitted to STO and deposited in special fund to be applied to payment of principal and interest on notes	376		
G2, G3, G4, G7	59-125-620	Proceeds of all Winthrop University Athletic Bonds must be delivered to STO or its corporate trust designee; STO authorized to make temporary investments of proceeds of bonds	377		
G2, G3	59-125-630	Directs STO to deposit any admissions fees and special student fees received from Winthrop into debt service fund	378		
G2, G3, G4, G7	59-127-410	Proceeds of all S.C. State University special obligation bonds must be delivered to STO and retained in special fund; authorizes STO to make temporary investments of proceeds of bonds	379		
G2, G3, G4, G7	59-127-450	Directs STO to deposit revenues derived from S.C. State University special obligation bonds in the Debt Service Fund and to utilize proceeds for payment of principal and interest on bonds; authorizes STO to invest monies in fund	380		
G2, G3, G7	59-131-25	Proceeds of bonds issued College of Charleston pursuant to this Chapter shall be deposited with STO and used to defray cost of acquiring and constructing parking facilities as well as to defray cost of issuing bonds	381		
G2, G3, G4, G7	59-133-320	Proceeds of all Francis Marion University athletic facilities revenue bonds must be delivered to STO or its corporate trust designee; STO authorized to make temporary investments of such funds	382		
G2, G3	59-143-10	Revenue received pursuant to Section 48-46-40(E)(3) must be deposited by STO in separate fund entitled "Children's Education Endowment"; STO responsible for allocating such funds in accordance with section	383		
G7	59-146-110	Provides that all state school facilities bonds must be signed by Governor and State Treasurer	384		
G7	59-146-130	Governor and State Treasurer responsible for sale of state school facilities bonds	385		

G3, G7	59-146-140	Proceeds of sale of state school facilities bonds must be received by STO and applied to purposes for which issued	386		
G2, G3	59-150-340	Net proceeds received from state lottery for education must be deposited by STO in separate fund entitled "Education Lottery Account"	387		
G4	59-150-350(B)	Authorizes STO to invest monies in Education Lottery Account	388		
G2, G3	61-4-250	Penalties provided for in this section concerning beer and wine must be paid to STO for credit to general fund for public school use	389		
G2, G3	61-4-260	Generally, all monies received by DOR or SLED under this chapter must be deposited with STO to credit of general fund	390		
G2, G3	61-6-1810(B)	Fees for biennial licenses must be deposited with STO	391		
G3	61-6-2010(B)	Filing and permit fees for temporary alcohol permits must be distributed by STO to municipality or county in which retailer who paid fee is located	392		
G2, G3	61-6-2970	All monies received by DOR under this article concerning importation of alcoholic liquors must be deposited with STO to credit of general fund	393		
G2, G3	61-6-4260	Penalties provided in this article for violations of Alcoholic Beverage Control Act must be paid to STO for credit to general fund for public school use	394		
G2, G3	61-6-4270	Penalties provided in this section must be paid to STO for credit to general fund for public school use	395		
G2, G3	61-6-4310	Proceeds from sale of seized alcoholic liquors must be turned over to STO after costs of confiscation and sale are paid	396		
	62-3-914(h)	Unclaimed devise or intestate share of \$5,000 or less may be paid or transferred by personal representative to STO	397		
G2, G3	63-9-1370(C)	Fees collected for adoption and related services must be forwarded to STO which holds them in separate account	398		
G4	63-11-1750	Authorizes STO to invest monies in S.C. First Steps to School Readiness funds	399		
G2, G3	63-19-410(D)	All funds collected by Dept. of Juvenile Justice pursuant to this section must be deposited with STO for use of DJJ in defraying cost of services	400		
G2	Proviso 98.2, 2015-16 Appropriations Act	Decisions relating to STARS and SCEIS which involve STO Banking Operations and other functions require approval of State Treasurer	401		
G4	Proviso 98.3, 2015-16 Appropriations Act	STO may pool funds from accounts for investment purposes and invest all monies in same types of investments set forth in Section 11-9-660	402		
	Proviso 98.4, 2015-16 Appropriations Act	Authorizes STO to charge fees for operating and management costs associated with certain programs	403		
G4	Proviso 98.5, 2015-16 Appropriations Act	Authorizes STO to charge fee for operating and management costs associated with investment management and support operations of various state funds and programs	404		

G7	Proviso 98.6, 2015-16 Appropriations Act	Authorizes STO to charge actual costs associated with administration and management of indebtedness of State and its agencies and institutions	405		
G3	Proviso 98.7, 2015-16 Appropriations Act	Allocation of withheld accommodations tax revenues	406		
	Proviso 98.8, 2015-16 Appropriations Act	S.C. Tuition Prepayment Program shall not accept any new enrollment	407		
G3	Proviso 98.9, 2015-16 Appropriations Act	Penalties against counties and municipalities for non-reporting	408		
G2, G3	Proviso 98.10, 2015-16 Appropriations Act	Authorizes State Treasurer to designate certain employees to sign payments to meet ordinary expenses of State	409		
	Proviso 98.11, 2015-16 Appropriations Act	STO may not expend funds to retain a third party, private sector auditor or auditor firms to fulfill duties under Uniform Unclaimed Property Act on a contingent basis or any basis other than hourly basis, except STO may join other states in multi-state contingent fee auditors' examinations, not to include companies whose parent company is headquartered in South Carolina; STO shall retain \$200,000 from Unclaimed Property Program for purpose of employing internal auditors	410		
	Proviso 98.12, 2015-16 Appropriations Act	Identity Theft Reimbursement Fund established in State Treasury and STO considers claims for reimbursement from fund	411		
	Proviso 98.13, 2015-16 Appropriations Act	All general state revenues derived from taxation, licenses, fees, etc. must be remitted to STO at least once a week	412		
	Proviso 98.14, 2015-16 Appropriations Act	STO directed to transfer all funds from Subfund 4019 Governor's Teaching School Loan Program to Save the Children for the statewide partnership with local schools to serve children ages 3-12 in areas of persistent rural poverty through early childhood literacy development	413		
	Proviso 117.122	Establishes S.C. ABLE Savings Expense Fund within STO to allow for tax-exempt savings accounts for disability-related expenses for individuals defined as being entitled to benefits based on blindness or disability under Title II or XVI of Social Security Act, or individuals with a disability certification, which must state that "the individual has a medically determinable physical or mental impairment, which results in marked and severe functional limitations, and which can be expected to result in death or which has lasted or can be expected to last for a continuous period of not less than 12 months," or is blind. The certification must include the diagnosis and be signed by a physician.	414	Y	Other

	2014-2015 Appropriations Act, Part 1B section 118.4	Revenue collected from \$5 surcharge on all fines, forfeitures, penalties, etc. in general sessions court or in summary courts for misdemeanor offenses must be turned over by jurisdictions to STO within 30 days of receipt; STO must transfer revenue quarterly to Criminal Justice Academy; State Treasurer may request State Auditor examine financial records of any jurisdiction he believes is not timely transmitting funds	415		
	2014-2015 Appropriations Act, Part 1B section 118.11	On Dec. 31, 2014, STO required to transfer certain funds from general fund to Tax Relief Reserve Fund	416		
	2014-2015 Appropriations Act, Part 1B section 118.15	Authorizes and directs STO to transfer funds from Tobacco Master Settlement Agreement as set forth in this section	417		
	2014-2015 Appropriations Act, Part 1B section 118.16	Directs STO to disburse non-recurring revenue in accordance with appropriations set forth in this section	418		
	2014-2015 Appropriations Act, Part 1B section 110.3	Amounts appropriated in Part 1A, Section 110 shall be distributed by STO to each county treasurer on quarterly basis to be used as salary supplements for clerks of court, probate judges, county coroners, sheriffs, and registers of deeds	419		
	2014-2015 Appropriations Act, Part 1B section 19.2	STO must transfer to S.C. State University amounts required by budgetary plan from accounts identified by Budget and Control Board and Comptroller General; if budgetary plan includes loan or series of loans, STO must establish interest rate	420		
	2014-2015 Appropriations Act, Part 1B section 38.1	DSS remits \$800,000 collected under Child Support Program (Title IV-D) to STO to be credit to general fund	421		
	2014-2015 Appropriations Act, Part 1B section 38.16	Penalties collected by DSS must be remitted to STO for deposit into general fund	422		
	2014-2015 Appropriations Act, Part 1B section 84.2	DOT, with approval of STO, may set up with STO special funds out of its funds	423		
	2014-2015 Appropriations Act, Part 1B section 96.1	Authorizes STO to accept signatures of authorized employees of Comptroller General on warrants when notified of such authorizations by Comptroller General	424		
	2014-2015 Appropriations Act, Part 1B section 47.2	STO authorized to reimburse DNR on quarterly basis for actual cost of collecting casual sales tax; reimbursement paid from revenues generated by casual sales tax	425		
	2014-2015 Appropriations Act, Part 1B section 57.8	Funds collected from Supreme Court Bar Admissions Office in excess of amount required to be remitted to general fund may be deposited into escrow account with STO	426		
	2014-2015 Appropriations Act, Part 1B section 59.9	Creates account within STO called "Litigation Recovery Account" to which recoveries and awards Attorney General's Office receives from litigation are deposited	427		

	2014-2015 Appropriations Act, Part 1B section 62.1	Funds awarded SLED by court order or from donations or contributions are deposited in special account with STO	428		
	2014-2015 Appropriations Act, Part 1B section 65.25	\$250 fee collected by SCDC or DPPPS from offenders required to submit DNA samples is submitted to STO for remittance to SLED	429		
	Reg. 44-90.30	Executive Director of S.C. Lottery Commission implements through STO an Education Lottery Account	430		

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart	
Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who	15

Similar Information Requested	Information Requested below is also requested in...
Requested	
Other Report:	N/A
Question # of the Other	N/A

Instructions: Please list what the agency considers the most potential negative impact to the public that may occur as a result of the agency not accomplishing each objective. Under the column, "Most Potential Negative Impact," type the most potential negative impact to the public that may occur as a result of the agency not accomplishing the objective. Under the column, "Level Requires Outside Help," type the level at which the agency considers the potential negative impact too big to handle internally. Under the column, "Outside Help to Request," type the entities to whom the agency would reach out if the potential negative impact rises to a level that is too big to handle internally. Under the column, "Level Requires Inform G.A.," type the level at which the agency thinks the General Assembly should be put on notice of level at which potential negative impact has risen. Under column, "3 G.A. Options," type three options for what the General Assembly could do to help resolve the issue once it was on notice.

From Strategic Planning Template agency submitted in its 2013-14 Accountability Report								
G#	S#	O#	Description	Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
G1			Treasury Management: Increase the number of payments Treasury Management processes electronically.					
	S1.1		Continue to offer multiple electronic payment options to payees and vendors.					
		01.1.1	Reduce banking costs because the fees associated with checks are higher than those with electronic payments.	Higher bank fees could ultimately impact the State and taxpayer.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
		01.1.2	Increase the target percentage from 72% of electronic payments to checks to 75% over the previous fiscal year.	Higher bank fees could ultimately impact the State and taxpayer.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
G2			Treasury Management: Complete the conversion of all General Deposit accounts to SCEIS.					
	S2.1		Continue to meet with SCEIS personnel and work collaboratively to convert the final related accounts from the legacy FMS STARS system to SCEIS.					
		02.1.1	Ensure the STO stays current and advances technologically with the ongoing changes in State accounting system and continue to develop SCEIS reports that can assist the STO in its day-to-day operations.	Continued reliance on legacy systems could put the agency statewide banking functions at risk.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
G3			Treasury Management: Cross-training and expansion of knowledge between and among the internal sections of Treasury Management.					
	S3.1		Cross-train employees to learn the duties and key job functions of the respective internal sections of Treasury Management to prepare for absences, succession planning and educational opportunities.					
		03.1.1	To ensure that the day-to-day and long-term functions in Treasury Management are carried-out accurately and competently in the event of an absence of key employee(s).	Day to day operations wouldn't continue without interruption.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
G4			Investments: Manage all investment programs in accordance with State law as applicable, in an effective manner while preserving capital, maintaining liquidity and obtaining the best return within the appropriate risk parameters.					
	S4.1		Utilize the capital markets and existing relationships with the broker/dealers to facilitate the proper management of all investment funds for the State and its agencies, as well as the Local Government Investment Pool.					
		04.1.1	Obtain the best return possible within the prescribed parameters on a portfolio basis, while maintaining liquidity and meeting or exceeding the applicable benchmarks, all while preserving capital.	Would result in lower returns impacting funds generated to the General Fund.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
		04.1.2	Provide professional investment services for all funds under management through efficient utilization of available services.	Would result in ax investment of funds resulting in a negative impact to the State and State agencies.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

		04.1.3	Meet or exceed the General Fund budget projection for investment earnings each year.	N/A - It wouldn't impact the public.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
G5			Investments: Transition from the legacy Investment Management System to a SCEIS compatible system.					
	S5.1		Evaluate multiple Enterprise Resource Planning systems to determine the best one for the STO Investment Management needs and begin implementation.					
		05.1.1	Upon selection of the SAP Enterprise Resource Planning system by the Treasurer, the goal is to be off the nearly three decade old legacy system and fully implemented on the SAP system by July 1, 2015.	Continued reliance on legacy systems could put the agency statewide investment functions at risk.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
		05.1.2	Complete blueprinting sessions by October1, 2014.	Continued reliance on legacy systems could put the agency statewide investment functions at risk.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
		05.1.3	Work with internal STO staff, SCEIS personnel and SAP consultants to convert, integrate and improve all functionality and processes of the Investment Management Division.	Continued reliance on legacy systems could put the agency statewide investment functions at risk.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
G6			Investments: Reduce the roughly \$15 million liability to the Bank of New York Mellon which resulted from the Lehman Brothers bankruptcy in securities lending.					
	S6.1		Continually monitor and work with the custodian, Bank of New York Mellon, to resolve the realized losses from securities lending.					
		06.1.1	Reduce the outstanding liability.	If the debt of the State is not eliminated, the State's AAA credit rating could be at risk. AAA states do not carry unnecessary debt. Unrealized losses from 2008/2009 should be paid.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
G7			Debt: Manage the debt for the State, its agencies, institutions and authorities, optimizing the debt structure and ensuring timely repayment of debt when it is due.					
	S7.1		Provide guidance to the State, its institutions, agencies and authorities for the management and structure of their debt issuances and programs.					
		07.1.1	Ensure the State and its agencies, institutions and authorities receive proper guidance for the management and structure of debt issuances and programs.	An increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
	S7.2		Analyze the capital markets to ensure the lowest borrowing cost is achieved under circumstances prevailing at the time the funds are needed.					
		07.2.1	Ensure the lowest borrowing cost is achieved with each debt issuance.	An increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
	S7.3		Maximize, to the greatest extent possible, market exposure of debt offerings.					
		07.3.1	Maximize market exposure of debt offerings.	An increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
	S7.4		Ensure timely repayment of debt when due.					
		07.4.1	Maintain and enhance technical systems to ensure timely and accurate calculation and execution of debt payments.	An increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
G8			Debt: Coordinate the relationship with the credit rating services so that the State's credit rating is maximized.					
	S8.1		Maintain regular contact and communication with the rating services through monthly reporting of revenue collections.					

	S8.2		Periodic reporting of budgetary results and legislative developments that will potentially affect fiscal policy.					
	S8.3		Conduct an annual State review that contains State-specific information and peer group comparisons.					
	S8.4		Provide timely responses to requests for additional information.					
	S8.5		Provide advice and guidance to policymakers and perform impact analysis and special studies as requested or warranted by circumstances or developments having potential consequence on the State's rating.					
		O8.5.1	Maintain the State's AAA credit rating.	An increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
		O8.5.2	Maintain the STO's professional relationship with the three major credit rating agencies.	An increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
G9			UPP: Increase holder companies' compliance with reporting by providing them with more informational and educational opportunities.					
	S9.1		Provide holder reporting training to various State associations.					
	S9.2		Develop a holder reporting webinar for the Unclaimed Property webpage.					
	S9.3		Modify reporting instructions on the webpage to make them simpler and easier to understand.					
	S9.4		Implement software to give holder companies the ability to report and pay electronically.					
	S9.5		Mail outreach letters to holder companies explaining the legal reporting requirements and how to file reports, as well as make onsite visits to provide hands-on assistance with reporting.					
		O9.5.1	To increase the number of companies that comply with the South Carolina Uniform Unclaimed Property Act.	A decrease in the number of companies complying with the SC Uniform Unclaimed Property Act would result in a decrease of funds being returned to the rightful owner and a decrease in General Funds returned to the State each year.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
		O9.5.2	To increase the number of unclaimed property reports filed.	A decrease in the number of companies complying with the SC Uniform Unclaimed Property Act would result in a decrease of funds being returned to the rightful owner and a decrease in General Funds returned to the State each year.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
G10			UPP: Increase citizens' awareness of the Unclaimed Property Program through promotion in various media outlets.					
	S10.1		Man phone banks on television stations throughout the State.					
	S10.2		Partner with AARP to provide computerized name searches during Senior Day at the State Fair.					
	S10.3		Work with media outlets to air stories featuring the Program to encourage citizens to search for accounts in their names.					
	S10.4		Devote staff time to searching for unclaimed property owners, particularly those who are owed large sums.					
		O10.4.1	Increase the number of rightful owners paid each fiscal year.	A decrease in the number of funds returned would result in less funds ultimately being placed in the hands of the rightful owner.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
G11			UPP: Increase Program efficiency through the use of technology by developing or acquiring various hardware and software systems.					
	S11.1		Improve workflow by revising procedures for importing reports.					

	S11.2		Install new versions of the Wagers UPS2000 when available to improve system efficiency.					
	S11.3		Install a state-of-the-art scanning system to more efficiently handle document scanning.					
	S11.4		Implement UPEXpress allowing companies to file reports and remit funds electronically.					
		O11.4.1	Take advantage of new technological developments that assure operational efficiency.	Slower responses to companies and claimants.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
		O11.4.2	Streamline operations in order to be more responsive to holder companies and claimants.	Slower responses to companies and claimants.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
		O11.4.3	Complete implementation of a paperless claim approval and payment process by June 2016.	Slower responses to companies and claimants.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
G12			Future Scholar: Promote the importance of saving for college with the Future Scholar 529 Plan to South Carolina residents.					
	S12.1		Review the grassroots marketing plan for the year and make changes as necessary.					
	S12.2		Add value to in-state marketing efforts based on a market research study performed on the previous fiscal year's efforts.					
		O12.2.1	Increase overall new accounts in the Future Scholar 529 Plan by 6% over the previous fiscal year.	A decrease in participants would reduce savings for college and increase debt required to attend college.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
G13			Future Scholar: Increase utilization of web-based marketing to promote the Future Scholar 529 Plan.					
	S13.1		Implement a social media and web-based marketing plan to target existing participants as well as potential participants.					
		O13.1.1	Increase overall accounts opened online by 5% over the previous fiscal year.	A decrease in participants would reduce savings for college and increase debt required to attend college.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
G14			Future Scholar: Implement a web-based and systemic tool for capturing in-state tuition caps for the Tuition Prepayment Program.					
	S14.1		Work with existing service providers to create an online portal through which data can be captured.					
	S14.2		Conduct outreach to other State agencies to capture similar data.					
		O14.2.1	Streamline the process of capturing in-state school tuition data and capped tuition increases so that the data is captured more quickly and the process is more cross-trainable.	Slower response time for parents, students and universities.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
N/A	N/A	N/A	Tuition Prepayment Program	The SCTPP Fund has a current market value of \$97.8 Million. As the actuarial analysis states, the Fund's deficit as of June 30, 2014 was \$57,379,163. (Data as of 2014)	Notice to the General Assembly is sent annually. Unless remedial actions are taken by the General Assembly, the Fund is projected to be depleted of assets in FY 2019.	N/A	N/A	N/A
N/A	N/A	N/A	The ABLE Savings Expense Fund was established in the budget for the current fiscal year. It allows for tax-exempt savings accounts for disability-related expenses for individuals defined as being entitled to benefits based on blindness or disability under Title II or XVI of the Social Security Act, or individuals with a disability certification, which must state that "the individual has a medically determinable physical or mental impairment, which results in marked and severe functional limitations, and which can be expected to result in death or which has lasted or can be expected to last for a continuous period of not less than 12 months," or is blind. The certification must include the diagnosis and be signed by a physician. Note: Proviso was passed, but STO respectfully recommends the ABLE bill be passed with associated funding and language regarding federal regulations.	The program is unfunded.	Establish funding for the program.	N/A	N/A	N/A

N/A	N/A	N/A	Escheatment to the state of unclaimed United States Savings Bonds was established with procedures for transferring ownership of unclaimed United States Savings Bonds to the state. The legislation provided that five years after a US savings bond reaches final maturity and no longer earns interest it is presumed to be abandoned and subjected to a protocol for unclaimed property. Bonds that remain unclaimed are ultimately transferred to the state.	The program can not be conducted until funds are authorized.	Funding for the program needs to be authorized in order for Unclaimed Property funds to be used.	N/A	N/A	N/A
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Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested

Information Requested below is also requested in...

Other Report:	SC House Restructuring and Seven-
Question # of the Other Report:	Appendix M- Audit Chart

Instructions: In this Chart please list all external or internal reviews, audits, investigations or studies ("Reviews") of the agency during the past five (5) fiscal years. If a particular Review relates/impacts more than one goal, strategy or objective, **make a separate row for each different Associated Goal, Strategy and Objective** by copying and pasting the Review to as many separate rows as needed. Please remember to provide copies of the report from the Review and any other information generated by the entity performing the Review (in word/excel if available, if not, please scan in .pdf version). NOTE: Responses are not limited to the number of rows below that have borders around them, please list all that are applicable.

Review Item #	Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YYYY)	Associated Goal, Strategy or Objective # which relates to the matter/issue under review (only one per row)
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G1, S1.1, O1.1.1
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G1, S1.1, O1.1.2
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G2, S2.1, O2.1.1
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G3, S3.1, O3.1.1
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G4, S4.1, O4.1.1
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G4, S4.1, O4.1.2
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G4, S4.1, O4.1.3
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G5, S5.1, O5.1.1
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G5, S5.1, O5.1.2
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G5, S5.1, O5.1.3
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G6, S6.1, O6.1.1
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G7, S7.1, O7.1.1
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G7, S7.2, O7.2.1
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G7, S7.3, O7.3.1
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G7, S7.4, O7.4.1
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G8, S8.1
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G8, S8.2
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G8, S8.3
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G8, S8.4
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G8, S8.5, O8.5.1
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G8, S8.5, O8.5.2
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G9, S9.1
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G9, S9.2
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G9, S9.3
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G9, S9.4
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G9, S9.5, O9.5.1
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G9, S9.5, O9.5.2
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G10, S10.1
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G10, S10.2
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G10, S10.3
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G10, S10.4, O10.4.1
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G11, S11.1
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G11, S11.2
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G11, S11.3
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G11, S11.4, O11.4.1
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G11, S11.4, O11.4.2
N/A	Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G11, S11.4, O11.4.3
N/A	Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G1, S1.1, O1.1.1
N/A	Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G1, S1.1, O1.1.2
N/A	Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G2, S2.1, O2.1.1
N/A	Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G3, S3.1, O3.1.1
N/A	Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G4, S4.1, O4.1.1
N/A	Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G4, S4.1, O4.1.2
N/A	Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011	G4, S4.1, O4.1.3

[illegible]

[illegible]

[illegible]

N/A	IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015	G10, S10.1
N/A	IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015	G10, S10.2
N/A	IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015	G10, S10.3
N/A	IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015	G10, S10.4, O10.4.1
N/A	IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015	G11, S11.1
N/A	IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015	G11, S11.2
N/A	IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015	G11, S11.3
N/A	IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015	G11, S11.4, O11.4.1
N/A	IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015	G11, S11.4, O11.4.2
N/A	IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015	G11, S11.4, O11.4.3
N/A	Tobacco Settlement Revenue Management Authority	Internal Policy	External	Hobbs Group, PA	7/1/2010	6/30/2011	G7, S7.1, O7.1.1
N/A	Tobacco Settlement Revenue Management Authority	Internal Policy	External	Hobbs Group, PA	7/1/2011	6/30/2012	G7, S7.1, O7.1.1
N/A	Tobacco Settlement Revenue Management Authority	Internal Policy	External	Hobbs Group, PA	7/1/2012	6/30/2013	G7, S7.1, O7.1.1
N/A	Tobacco Settlement Revenue Management Authority	Internal Policy	External	Hobbs Group, PA	7/1/2013	6/30/2014	G7, S7.1, O7.1.1
N/A	SC Resources Authority	Internal Policy	External	Hobbs Group, PA	7/1/2010	6/30/2011	G7, S7.1, O7.1.1
N/A	SC Resources Authority	Internal Policy	External	Hobbs Group, PA	7/1/2011	6/30/2012	G7, S7.1, O7.1.1
N/A	SC Resources Authority	Internal Policy	External	Hobbs Group, PA	7/1/2012	6/30/2013	G7, S7.1, O7.1.1

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
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# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions.

NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.

STRATEGY OR OBJECTIVE

Strategy or Objective #	O1.1.1
Description	Reduce banking costs because the fees associated with checks are higher than those with electronic payments.
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	Processing payments electronically are more secure, efficient and less costly than processing paper payments therefore saving payee's money by lowering processing costs.

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	Continue to offer multiple electronic payment options to payees and vendors.
Higher Goal Strategy Supports:	Treasury Management: Increase the number of payments Treasury Management processes electronically.

RESPONSIBLE LEAD

Name	Paul Jarvis
Length of Time (individual has been responsible for goal, strategy or objective) in months	420 months (total career)
Position	Program Manager III
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	Treasury Management

Dept/Division Summary	The Treasury Management Division (TMD) is responsible for the day-to-day banking operations of the State, collection and remittance of court fines, over 150,000 account deposits, payroll processing for approximately 50,000 employees, distribution of 4.4 million electronic and paper checks and cash management activities for the State.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners
Various Financial Institutions	Periodically meet with financial institutions to learn about new electronic payment methods.

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
Paper Checks	5(+) years	Total physical paper checks printed	2,007,508	1,676,033	1,298,031	1,253,551	1,172,342	25%	STO will update once 2014/15 Accountability Report is completed.	TBD	1
Electronic Checks	5(+) years	Total electronic checks sent	2,201,511	2,555,066	2,887,198	2,849,014	2,975,524	75%	STO will update once 2014/15 Accountability Report is completed.	TBD	2

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	The selected performance measures provide comparability over time periods.
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Reasoning for 2014-15 Target Value?	Trending data
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Moderate
Reasoning for missing 2014-15 Target Value, if missed?	If target is missed it is because other objectives were deemed more critical to the mission.
Work being done to improve deficiencies?	Treasury management actively monitors vendors that may be open to electronic payments and communicates
Reasoning for 2015-16 Target Value?	Trending data
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Moderate
Provide the names of employees who are responsible for...	Paul Jarvis, Katherine Kip, Audrey Wright
Comparison of actual performance to target value	Results are undeterminable at this time
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	Corrective action undetermined as results are as yet unknown
Making any changes needed to ensure the target value is reached	Results are undeterminable at this time
Names and Titles of individuals who set this as a performance measure	Paul Jarvis, Program Manager III

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
Higher bank fees could ultimately impact the State and taxpayer.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
Proviso 98.4, 2015-16 Appropriations Act	State Treasurer is authorized to charge fee for operating and management costs associated with certain programs
11-3-130	All payments by State Treasurer made on warrants drawn by Comptroller General
11-3-140	After installation of electronic data processing system to serve office of Comptroller General and State Treasurer, Comptroller General shall present warrants for payment of State obligations to State Treasurer who shall then make payment of obligation by check
11-3-185	Expenditure of money appropriated by General Assembly is by warrant requisitions directed to Comptroller General who subsequently issues warrant on State Treasurer to payee designated in requisition.
11-5-200	Treasurer may make periodic payroll payments by Electronic Funds Transfer Systems when requested by payee

LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
41-33-90	Directs STO to pay, upon warrant issued by Comptroller General, lump sum amount requested by DEW for	205		
41-33-910(E)	Directs STO to pay, upon warrant issued by Comptroller General, amounts requested by DEW for purposes set forth in section from DEW integrity fund	212		
42-7-80	Awards made by Workers' Compensation Commission under article applicable to State Accident Fund are paid by STO upon warrant issued by Comptroller General	220		
43-1-200	Directs STO to pay, upon warrants issued by Comptroller General, amounts requested by DSS for payments of salaries and other administrative expenses	224		
11-3-130; 11-3-140	Requires all STO payments to be made on warrants drawn by Comptroller General	34		
11-25-430	Directs STO to maintain \$20,000 revolving fund for B&CB to purchase office supplies	74		
12-21-1110	Cost of stamps, supplies, and other expenses of administration of Beer and Wine License Taxes paid from taxes collected upon warrants drawn by DOR upon STO	106		

12-54-75	Authorizes STO to authorize state agency which collects revenues to accept electronic forms of payment, and to contract on behalf of agencies with payment service providers for processing of electronic payments	118		
16-3-1290	Establishes STO as custodian of Victim's Compensation Fund	134		
23-9-410	STO required to pay over amount collected upon premiums for fire insurance to county treasurers	143		
24-3-70	STO must pay SCDC actual expenses incurred in transferring prisoners upon warrant of Comptroller General	148		
24-3-180	STO pays SCDC costs of transportation and clothes for discharged inmates on draft by SCDC countersigned by Comptroller General	149		
25-1-1360	All disbursements from military fund are paid by STO upon warrant of Adjutant and Inspector General	152		
27-16-130(H)(3)	STO remits special tribe sales tax revenues periodically to Catawba Tribe	158		
31-13-340	Revenue collected by S.C. State Housing, Finance, and Development Authority are paid to STO for deposit in account known as "State Housing, Finance, and Development Authority Fund"; monies in fund are paid out by STO upon signature of designee of board, signature of executive director of board, and written warrant from Comptroller General	164		
34-29-190	All license fees, investigation fees, and other funds collected by State Board of Financial Institutions under this chapter (Consumer Finance Law) are paid over to STO and used to defray costs of administering chapter, salaries of assistant examiners, clerical help, etc.	170		
38-45-60	After December 31 of each year, Director of Department of Insurance renders accounting to STO of state portion of broker's premium tax rate payment; upon warrant by Comptroller General, STO pays 1/4 of state's portion of broker's premium tax rate payment to county treasurer of county in which property located	174		
41-33-30	Establishes State Treasurer as ex officio treasurer and custodian of Unemployment Compensation Fund	203		
41-33-610(C)	Directs STO to pay, upon warrant issued by Comptroller General, amounts requested by DEW for purposes set forth in section from DEW special administration fund	209		
41-33-710(C)	Directs STO to pay, upon warrant issued by Comptroller General, amounts requested by DEW for purposes set forth in section from DEW administrative contingency fund	210		

41-33-810(E)	Directs STO to pay, upon warrant issued by Comptroller General, amounts requested by DEW for purposes set forth in section from DEW interest assessment fund	211		
42-7-210	Authorizes and directs STO and Comptroller General to pay from State general fund to State Accident Fund amounts necessary to cover actual benefit claims paid and expenses relating to operation of agency which exceed amounts paid in for this purpose by various agencies, departments, and institutions	222		
42-7-310	Establishes STO as custodian of Second Injury Fund and directs that its monies and securities be held in separate and distinct trust account; directs STO to make disbursements from funds upon warrants issued by Comptroller General	223		
43-5-470	Directs STO to receive federal funds allocated to State under Section 403 of Title IV of Federal Social Security Act for aid to dependent children, and that such funds be kept in a dependent children's aid account	226		
44-28-40(B)	Directs STO to process vouchers from Self-Sufficiency Trust Fund accounts upon proper certification from S.C. Dept. of Disabilities and Special Needs, State Dept. of Mental Health, or State Agency of Vocational Rehabilitation	237		
44-28-70	Directs STO to credit interest earned on Self-Sufficiency Trust Fund to the fund and allocate interest pro rata to accounts of named beneficiaries	239		
48-23-150	Directs STO to disburse "[a]ll such funds" upon warrants drawn by State Commission of Forestry	277		
58-5-480	Assessments charged against natural gas utilities by DOR must be deposited in special fund within STO from which salaries, benefits, expenses, and charges incurred by Office of Regulatory Staff are paid	331		
12-4-395	Authorizes STO to enter into contracts on behalf of DOR to accept credit cards	100		
12-21-90	STO pays seller of goods refund for taxes paid on goods sold and shipped to another upon warrant or order from DOR	104		
12-60-500	STO pays tax refunds upon order from DOR	119		
14-15-60	STO shall pay special court stenographers amount due for services upon warrant from Comptroller General	131		
17-9-70	STO pays amounts due agents appointed by Governor to bring fugitives into State upon approval of accounts by Governor endorsed by Comptroller General	137		

Title 27, Chapter 18	Establishes STO as administrator of Unclaimed Property Act responsible for locating, receiving and holding millions of unclaimed dollars for the benefit of rightful owners; STO is then responsible for paying funds to rightful owners who file a claim with the Office establishing their interest in unclaimed funds	159		
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Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

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Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
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Question # of the Other Report:	N/A

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STRATEGY OR OBJECTIVE

Strategy or Objective #	O1.1.2
Description	Increase the target percentage from 72% of electronic payments to checks to 75% over the previous fiscal year.
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	Processing payments electronically are more secure, efficient and less costly than processing paper payments therefore saving payee's money by lowering processing costs.

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	Continue to offer multiple electronic payment options to payees and vendors.
Higher Goal Strategy Supports:	Treasury Management: Increase the number of payments Treasury Management processes electronically.

RESPONSIBLE LEAD

Name	Paul Jarvis
Length of Time (individual has been responsible for goal, strategy or objective) in months	420 months (total career)
Position	Program Manager III
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	Treasury Management

Dept/Division Summary	The Treasury Management Division (TMD) is responsible for the day-to-day banking operations of the State, collection and remittance of court fines, over 150,000 account deposits, payroll processing for approximately 50,000 employees, distribution of 4.4 million electronic and paper checks and cash management activities for the State.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners
<i>Various Financial Institutions</i>	<i>Work with all partner entities to increase electronic payments that vendor/payees prefer to receive.</i>
<i>Various State Agencies</i>	<i>Work with all partner entities to increase electronic payments that vendor/payees prefer to receive.</i>
<i>Various Vendors/Payees</i>	<i>Work with all partner entities to increase electronic payments that vendor/payees prefer to receive.</i>

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
Paper Checks	5(+) years	Total physical paper checks printed	2,007,508	1,676,033	1,298,031	1,253,551	1,172,342	25%	STO will update once 2014/15 Accountability Report is completed.	TBD	1

Electronic Checks	5(+) years	Total electronic checks sent	2,201,511	2,555,066	2,887,198	2,849,014	2,975,524	75%	STO will update once 2014/15 Accountability Report is completed.	TBD	2
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Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	The selected performance measures provide comparability over time periods.
Reasoning for 2014-15 Target Value?	Trending data
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Moderate
Reasoning for missing 2014-15 Target Value, if missed?	If target is missed it is because other objectives were deemed more critical to the mission.
Work being done to improve deficiencies?	Treasury management actively monitors vendors that may be open to electronic payments and
Reasoning for 2015-16 Target Value?	Trending data
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Moderate
Provide the names of employees who are responsible for...	Paul Jarvis, Katherine Kip, Audrey Wright
Comparison of actual performance to target value	Results are undeterminable at this time
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	Corrective action undetermined as results are as yet unknown
Making any changes needed to ensure the target value is reached	Results are undeterminable at this time
Names and Titles of individuals who set this as a performance measure	Paul Jarvis, Program Manager III

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
Higher bank fees could ultimately impact the State and taxpayer.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
Proviso 98.4, 2015-16 Appropriations Act	State Treasurer is authorized to charge fee for operating and management costs associated with certain programs
11-3-130	All payments by State Treasurer made on warrants drawn by Comptroller General
11-3-140	After installation of electronic data processing system to serve office of Comptroller General and State Treasurer, Comptroller General shall present warrants for payment of State obligations to State Treasurer who shall then make payment of obligation by check
11-3-185	Expenditure of money appropriated by General Assembly is by warrant requisitions directed to Comptroller General who subsequently issues warrant on State Treasurer to payee designated in requisition.
11-5-200	Treasurer may make periodic payroll payments by Electronic Funds Transfer Systems when requested by payee

LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
41-33-90	Directs STO to pay, upon warrant issued by Comptroller General, lump sum amount requested by DEW for payment	205		

41-33-910(E)	Directs STO to pay, upon warrant issued by Comptroller General, amounts requested by DEW for purposes set forth in section from DEW integrity fund	212
42-7-80	Awards made by Workers' Compensation Commission under article applicable to State Accident Fund are paid by STO upon warrant issued by Comptroller General	220
43-1-200	Directs STO to pay, upon warrants issued by Comptroller General, amounts requested by DSS for payments of salaries and other administrative expenses	224
11-3-130; 11-3-140	Requires all STO payments to be made on warrants drawn by Comptroller General	34
11-25-430	Directs STO to maintain \$20,000 revolving fund for B&CB to purchase office supplies	74
12-21-1110	Cost of stamps, supplies, and other expenses of administration of Beer and Wine License Taxes paid from taxes collected upon warrants drawn by DOR upon STO	106
12-54-75	Authorizes STO to authorize state agency which collects revenues to accept electronic forms of payment, and to contract on behalf of agencies with payment service providers for processing of electronic payments	118
16-3-1290	Establishes STO as custodian of Victim's Compensation Fund	134
23-9-410	STO required to pay over amount collected upon premiums for fire insurance to county treasurers	143
24-3-70	STO must pay SCDC actual expenses incurred in transferring prisoners upon warrant of Comptroller General	148
24-3-180	STO pays SCDC costs of transportation and clothes for discharged inmates on draft by SCDC countersigned by Comptroller General	149
25-1-1360	All disbursements from military fund are paid by STO upon warrant of Adjutant and Inspector General	152
27-16-130(H)(3)	STO remits special tribe sales tax revenues periodically to Catawba Tribe	158
31-13-340	Revenue collected by S.C. State Housing, Finance, and Development Authority are paid to STO for deposit in account known as "State Housing, Finance, and Development Authority Fund"; monies in fund are paid out by STO upon signature of designee of board, signature of executive director of board, and written warrant from Comptroller General	164

34-29-190	All license fees, investigation fees, and other funds collected by State Board of Financial Institutions under this chapter (Consumer Finance Law) are paid over to STO and used to defray costs of administering chapter, salaries of assistant examiners, clerical help, etc.	170
38-45-60	After December 31 of each year, Director of Department of Insurance renders accounting to STO of state portion of broker's premium tax rate payment; upon warrant by Comptroller General, STO pays 1/4 of state's portion of broker's premium tax rate payment to county treasurer of county in which property located	174
41-33-30	Establishes State Treasurer as ex officio treasurer and custodian of Unemployment Compensation Fund	203
41-33-610(C)	Directs STO to pay, upon warrant issued by Comptroller General, amounts requested by DEW for purposes set forth in section from DEW special administration fund	209
41-33-710(C)	Directs STO to pay, upon warrant issued by Comptroller General, amounts requested by DEW for purposes set forth in section from DEW administrative contingency fund	210
41-33-810(E)	Directs STO to pay, upon warrant issued by Comptroller General, amounts requested by DEW for purposes set forth in section from DEW interest assessment fund	211
42-7-210	Authorizes and directs STO and Comptroller General to pay from State general fund to State Accident Fund amounts necessary to cover actual benefit claims paid and expenses relating to operation of agency which exceed amounts paid in for this purpose by various agencies, departments, and institutions	222
42-7-310	Establishes STO as custodian of Second Injury Fund and directs that its monies and securities be held in separate and distinct trust account; directs STO to make disbursements from funds upon warrants issued by Comptroller General	223
43-5-470	Directs STO to receive federal funds allocated to State under Section 403 of Title IV of Federal Social Security Act for aid to dependent children, and that such funds be kept in a dependent children's aid account	226

44-28-40(B)	Directs STO to process vouchers from Self-Sufficiency Trust Fund accounts upon proper certification from S.C. Dept. of Disabilities and Special Needs, State Dept. of Mental Health, or State Agency of Vocational Rehabilitation	237
44-28-70	Directs STO to credit interest earned on Self-Sufficiency Trust Fund to the fund and allocate interest pro rata to accounts of named beneficiaries	239
48-23-150	Directs STO to disburse "[a]ll such funds" upon warrants drawn by State Commission of Forestry	277
58-5-480	Assessments charged against natural gas utilities by DOR must be deposited in special fund within STO from which salaries, benefits, expenses, and charges incurred by Office of Regulatory Staff are paid	331
12-4-395	Authorizes STO to enter into contracts on behalf of DOR to accept credit cards	100
12-21-90	STO pays seller of goods refund for taxes paid on goods sold and shipped to another upon warrant or order from DOR	104
12-60-500	STO pays tax refunds upon order from DOR	119
14-15-60	STO shall pay special court stenographers amount due for services upon warrant from Comptroller General	131
17-9-70	STO pays amounts due agents appointed by Governor to bring fugitives into State upon approval of accounts by Governor endorsed by Comptroller General	137
Title 27, Chapter 18	Establishes STO as administrator of Unclaimed Property Act responsible for locating, receiving and holding millions of unclaimed dollars for the benefit of rightful owners; STO is then responsible for paying funds to rightful owners who file a claim with the Office establishing their interest in unclaimed funds	159

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	O2.1.1
Description	<i>Ensure the STO stays current and advances technologically with the ongoing changes in State accounting system and continue to develop SCEIS reports that can assist the STO in its day-to-day operations.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>Converting the legacy FMS-STARs reports to SCEIS reports will allow the State to operate out of only one system instead of covering the costs of maintaining two unrelated systems.</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Continue to meet with SCEIS personnel and work collaboratively to convert the final related accounts from the legacy Financial Management System (FMS), Statewide Accounting and Reporting System (STARs) system to SCEIS.</i>
Higher Goal Strategy Supports:	<i>Treasury Management: Complete the conversion of all General Deposit accounts to SC Enterprise Information System (SCEIS).</i>

RESPONSIBLE LEAD

Name	Paul Jarvis
Length of Time (individual has been responsible for goal, strategy or objective) in months	420 months (total career)

Electronic Checks	5(+) years	Total electronic checks sent	2,201,511	2,555,066	2,887,198	2,849,014	2,975,524	75%	STO will update once 2014/15 Accountability Report is completed.	TBD	2
Total deposits	5(+) years	Total deposits made during the fiscal year	187,400	185,091	185,136	184,536	186,654	N/A	STO will update once 2014/15 Accountability Report is completed.	TBD	3
Total disbursements	5(+) years	Total disbursements made during the fiscal year	4,209,019	4,231,099	4,185,229	4,102,565	4,147,866	N/A	STO will update once 2014/15 Accountability Report is completed.	TBD	4

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	The selected performance measures for electronic checks provide comparability over time periods.
Reasoning for 2014-15 Target Value?	Trending data for electronic checks
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Moderate challenge for electronic check targets
Reasoning for missing 2014-15 Target Value, if missed?	If electronic check target is missed it is because other objectives were deemed more critical to the
Work being done to improve deficiencies?	Treasury management actively monitors vendors that may be open to electronic payments and
Reasoning for 2015-16 Target Value?	Trending data for electronic checks
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Moderate challenge for electronic check targets
Provide the names of employees who are responsible for...	Paul Jarvis, Katherine Kip, Audrey Wright
Comparison of actual performance to target value	Results are undeterminable at this time
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	Corrective action undetermined as results are as yet unknown
Making any changes needed to ensure the target value is reached	Results are undeterminable at this time
Names and Titles of individuals who set this as a performance measure	Paul Jarvis, Program Manager III

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
Ensure the STO stays current and advances technologically with the ongoing changes in State accounting system and continue to develop SCEIS reports that can assist the STO in its day-to-day operations.	Continued reliance on legacy systems could put the agency statewide banking functions at risk.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
11-53-20	General Assembly mandates SCEIS implementation for all agencies

Proviso 98.2, 2015-16 Appropriations Act	Decisions relating to Statewide Accounting and Reporting System (STARS) and the South Carolina Enterprise Information System (SCEIS) which involve the State Treasurer's Banking Operations and other functions of the State Treasurer's Office shall require the approval of the State Treasurer
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LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
Proviso 98.2, 2015-16 Appropriations Act	Decisions relating to STARS and SCEIS which involve STO Banking Operations and other functions require approval of State Treasurer	401		
41-33-90	Directs STO to pay, upon warrant issued by Comptroller General, lump sum amount requested by DEW for payment of benefits or refunds	205		
41-33-910(E)	Directs STO to pay, upon warrant issued by Comptroller General, amounts requested by DEW for purposes set forth in section from DEW integrity fund	212		
42-7-80	Awards made by Workers' Compensation Commission under article applicable to State Accident Fund are paid by STO upon warrant issued by Comptroller General	220		
43-1-200	Directs STO to pay, upon warrants issued by Comptroller General, amounts requested by DSS for payments of salaries and other administrative expenses	224		
11-3-130; 11-3-140	Requires all STO payments to be made on warrants drawn by Comptroller General	34		
11-25-430	Directs STO to maintain \$20,000 revolving fund for B&CB to purchase office supplies	74		
12-21-1110	Cost of stamps, supplies, and other expenses of administration of Beer and Wine License Taxes paid from taxes collected upon warrants drawn by DOR upon STO	106		
12-54-75	Authorizes STO to authorize state agency which collects revenues to accept electronic forms of payment, and to contract on behalf of agencies with payment service providers for processing of electronic payments	118		
16-3-1290	Establishes STO as custodian of Victim's Compensation Fund	134		

23-9-410	STO required to pay over amount collected upon premiums for fire insurance to county treasurers	143
24-3-70	STO must pay SCDC actual expenses incurred in transferring prisoners upon warrant of Comptroller General	148
24-3-180	STO pays SCDC costs of transportation and clothes for discharged inmates on draft by SCDC countersigned by Comptroller General	149
25-1-1360	All disbursements from military fund are paid by STO upon warrant of Adjutant and Inspector General	152
27-16-130(H)(3)	STO remits special tribe sales tax revenues periodically to Catawba Tribe	158
31-13-340	Revenue collected by S.C. State Housing, Finance, and Development Authority are paid to STO for deposit in account known as "State Housing, Finance, and Development Authority Fund"; monies in fund are paid out by STO upon signature of designee of board, signature of executive director of board, and written warrant from Comptroller General	164
34-29-190	All license fees, investigation fees, and other funds collected by State Board of Financial Institutions under this chapter (Consumer Finance Law) are paid over to STO and used to defray costs of administering chapter, salaries of assistant examiners, clerical help, etc.	170
38-45-60	After December 31 of each year, Director of Department of Insurance renders accounting to STO of state portion of broker's premium tax rate payment; upon warrant by Comptroller General, STO pays 1/4 of state's portion of broker's premium tax rate payment to county treasurer of county in which property located	174
41-33-30	Establishes State Treasurer as ex officio treasurer and custodian of Unemployment Compensation Fund	203
41-33-610(C)	Directs STO to pay, upon warrant issued by Comptroller General, amounts requested by DEW for purposes set forth in section from DEW special administration fund	209
41-33-710(C)	Directs STO to pay, upon warrant issued by Comptroller General, amounts requested by DEW for purposes set forth in section from DEW administrative contingency fund	210
41-33-810(E)	Directs STO to pay, upon warrant issued by Comptroller General, amounts requested by DEW for purposes set forth in section from DEW interest assessment fund	211

42-7-210	Authorizes and directs STO and Comptroller General to pay from State general fund to State Accident Fund amounts necessary to cover actual benefit claims paid and expenses relating to operation of agency which exceed amounts paid in for this purpose by various agencies, departments, and institutions	222
42-7-310	Establishes STO as custodian of Second Injury Fund and directs that its monies and securities be held in separate and distinct trust account; directs STO to make disbursements from funds upon warrants issued by Comptroller General	223
43-5-470	Directs STO to receive federal funds allocated to State under Section 403 of Title IV of Federal Social Security Act for aid to dependent children, and that such funds be kept in a dependent children's aid account	226
44-28-40(B)	Directs STO to process vouchers from Self-Sufficiency Trust Fund accounts upon proper certification from S.C. Dept. of Disabilities and Special Needs, State Dept. of Mental Health, or State Agency of Vocational Rehabilitation	237
44-28-70	Directs STO to credit interest earned on Self-Sufficiency Trust Fund to the fund and allocate interest pro rata to accounts of named beneficiaries	239
48-23-150	Directs STO to disburse "[a]ll such funds" upon warrants drawn by State Commission of Forestry	277
58-5-480	Assessments charged against natural gas utilities by DOR must be deposited in special fund within STO from which salaries, benefits, expenses, and charges incurred by Office of Regulatory Staff are paid	331
2-7-66	STO responsible for disbursing Education Improvement Act of 1984 appropriations to agencies and entities other than State Department of Education	12
2-75-30	STO responsible for managing Centers of Excellence Matching Endowment fund	13
Title 4, Chapter 10	Directs STO to credit or distribute various local sales and use taxes to certain funds or to county or municipal treasurers	14
6-4-20	STO responsible for administering accommodations tax account; STO responsible for determining annually a percentage to be withheld from certain counties and distributing that amount to counties in manner set forth in section	15
8-21-320	STO responsible for distributing revenues from motion fees remitted to it in accordance with section	27

11-9-290	Requires STO to maintain sufficient cash reserve in general deposit account from which Budget and Control Board may borrow from time to time	60
11-13-40	Requires STO, with advice and approval of B&CB, to keep in a general deposit account all state funds which, in opinion of B&CB, may be property consolidated	67
11-13-215	Designates State Treasury as depository for all funds received by state departments and institutions	71
11-13-130	Requires STO to designate form of deposit slip	72
11-39-50	Directs STO to deposit oil overcharge funds in interest bearing State accounts	84
11-46-60	Sets forth circumstances under which 2% of gross profits from sale of hydrogen and fuel cell products or services are annually remitted to STO and transferred to State general fund.	90
11-49-55	Directs STO to transfer one million dollars annually for five years from trust fund to Department of Agriculture	92
11-50-80	All accounts within Rural Infrastructure Fund are held in trust by STO	93
12-13-100	All moneys collected by DOR are deposited with STO to credit of general fund	101
12-16-1730	DOR remits estate taxes recovered by it pursuant to any action instituted by it to STO	102
12-20-170	DOR deposits all Corporation License Fees collected by it to STO	103
12-21-140; 12-21-1590	DOR remits all moneys collected pursuant to Stamp and Business License Tax to STO	105
12-21-1130	State's portion of all revenue derived from sale of beer and wine paid to STO for credit to special school account on last day of month	107
12-21-2970	DOR remits proceeds from sale of confiscated goods to STO	108
12-21-4200	DOR deposits certain amounts of total revenues derived from Bingo Tax Act to STO to credit of certain specified accounts	109
12-21-6540	DOR must transfer 1/4 of license tax paid on admissions to an establishment to STO	110
12-28-2365	DOR must remit fees collected on petroleum products monthly to STO	111
12-28-2710	All monies collected by DOR pursuant to User Fees on Motor Fuels must be deposited to credit of STO as taxes collected by DOR	112
12-28-2740	Proceeds from gasoline user fees must be deposited with STO and expended for purposes set forth in section	113

12-33-245(B)	11% of revenue generated by excise tax on sale of alcohol for on-premises consumption must be deposited with STO; on quarterly basis, STO must allocate revenue to counties on per capita basis	114
13-1-45(D) & (J)	STO holds in trust accounts established by Dept. of Commerce in conjunction with S.C. Water and Wastewater Infrastructure Fund; authorizes STO to withhold state funds from qualified borrower who fails to collect and remit funds due Dept. of Commerce	120
13-1-1480	All funds of Division of Public Railways deposited with maintained in separate accounts by STO	123
13-7-30	Budget and Control Board or its successor, State Fiscal Accountability Authority, must transmit fees collected from parties holding radioactive materials to STO; STO places money in revolving trust fund designated "extended care maintenance fund"	124
Title 14, Chapter 1	Certain amounts of court fines, fees, surcharges, costs, forfeitures, and other revenues are remitted to STO; STO responsible for then depositing revenues in accordance with various sections; STO authorized to adjust state aid to subdivisions that State Auditor finds jurisdiction has under remitted amounts due State or victims services; STO authorized to request that State Auditor examine financial records of any jurisdiction STO believes is not timely remitting funds	130
16-15-445	1/2 of proceeds of sale of equipment used by person in committing certain crimes against children are remitted to STO for deposit to credit of Victim's Compensation Fund	135
17-22-350	County treasurers remit balance of revenue from application fees for traffic education programs to STO on monthly basis and makes reports on form prescribed by STO; STO deposits amounts received in accordance with section	138
20-1-375	Addition \$20 fee imposed on each marriage license application to be remitted to STO and credited to Domestic Violence Fund	139
22-3-340	Assessments on filings in magistrates court are remitted to STO for allocation to judicial department	140
23-1-65	\$50 fee levied on applications for appointment of state constables are remitted quarterly by SLED to STO to be credited to State general fund	141
23-3-50	SLED remits revenue from fees and licenses for private detectives and security companies, gun dealers, gun permits, and massage parlors to STO to be credited to State general fund	142

23-47-50(F)	DOR places fees collected from CMRS 911 charges on deposit with STO; STO keeps funds collected in fund separate from State general fund	145
23-47-68(I)	DOR transfers all remitted prepaid wireless 911 charges to STO; STO keeps in fund separate from State general fund	146
24-3-40	5% of gross wages of prisoner who was either not ordered to pay restitution to a victim or has already paid restitution must be deposited with STO for credit to special account to support victim assistance programs	147
24-3-400	STO maintains "Prison Industries Account" in which SCDC deposits monies collected from sale or disposition of articles and products produced by inmate labor	150
24-21-480	Daily fee for housing and food is deducted from salary of offender taking part in Restitution Center Program and deposited with STO for credit to same account as funds collected under Sections 14-1-210 to 14-1-230	151
25-1-1650	Proceeds from sale of obsolete armories are retained in special account with STO	153
25-11-570	All funds donated to S.C. Prisoner of War Medal Fund are remitted to STO for deposit and disbursement	155
27-16-50(B)	STO collects all local and private contributions to settlement with Catawba Indian Tribe and forwards them to Secretary of Interior	156
27-16-110(C)(3)	All revenues from special bingo tax are deposited with STO for benefit of State general fund	157
27-19-340	Secretary of State remits proceeds of escheats to STO for benefit of Sinking Fund	161
30-1-100	S.C. Dept. of Archives and History deposits monies received from sale of public records of research value with STO	162
33-1-220(d)	Secretary of State collects certain taxes on documents filed with him and remits to STO for use of the State	166
33-56-160	Secretary of State remits administrative fines collected pursuant to S.C. Solicitation of Charitable Funds Act in excess of \$200,000 in a fiscal year to STO to be deposited in general fund; all fees collected by Secretary of State pursuant to Act are transmitted to STO and deposited in fund separate from State general fund	167
33-57-180	All administrative fines collected pursuant to chapter on Nonprofit Raffles for Charitable Purposes are transmitted to STO and deposited in general fund	168

38-53-100	License fees for applications for professional bondsman and runner are collected by Director of Department of Insurance; certain amount then forwarded to STO and placed in general fund	175
38-73-470	\$2 of yearly premium for uninsured motorist coverage is paid to SCDMV to be placed on deposit with STO in the "Uninsured Enforcement Fund"	176
38-77-151	All funds collected by DMV under Chapter 10 of Title 56 are deposited with STO and held in "Uninsured Motorists Fund"	177
39-9-206	A civil penalty collected under this chapter, entitled "Uniform Weights and Measures Law," is transmitted to STO for deposit in fund to be used by Dept. of Agriculture	178
39-11-100	Monies collected from payment by public weigh masters for a public weigh master stamp must be deposited with STO	179
39-11-210	All moneys collected by this chapter entitled "Public Weigh masters" must be deposited with STO	180
40-1-180	All fines and costs collected by LLR under this section must be remitted to STO and deposited in special fund established for LLR to defray administrative costs	182
40-5-50(C)	Funds derived under this chapter applicable to licensing and regulation of auctioneers shall be paid to STO who keeps them for Auctioneers' Commission in manner provided for other State agencies and commissions	183
40-15-50	All fees received by State Board of Dentistry are deposited with STO to credit of State general fund; all fines must be deposited in special account held by STO for payment of administrative costs	185
40-30-290	All fines and costs collected by LLR from licensed massage/bodywork therapists must be remitted to STO and deposited in special fund from which LLR must be reimbursed for administrative costs	186
40-47-580	All monies collected by State Board of Medical Examiners pursuant to S.C. Respiratory Care Practice Act must be paid to STO for deposit in State general fund	187
40-47-710(D)	All monies received by Acupuncture Advisory Committee and paid to State Board of Medical Examiners must be deposited with STO	188
40-47-925(D)	All monies received by Physician Assistant Committee and paid to State Board of Medical Examiners must be deposited with STO	189
40-51-160(F)	All fines collected by Board of Podiatry are remitted to STO and deposited in special fund from which board is reimbursed for administrative costs	190

40-51-170	All revenues and income collected by Board of Podiatry must be remitted to STO and credited to State general fund	191
40-55-40	All revenues and income collected by State Board of Examiners in Psychology must be remitted to STO and credited to State general fund	192
40-57-70(D)	LLR may allocate up to \$10 of each license renewal fee to the S.C. Real Estate Commission Education and Research Fund which must be established as separate fund within STO	193
40-59-580(B)	Civil penalties collected by S.C. Residential Builders Commission must be remitted to STO for deposit in State general fund	194
40-61-50	All revenues and income derived from any source or activity by the S.C. Residential Builders Commission must be remitted to STO and credited to State general fund	195
41-10-90	Any amounts collected by LLR in actions to collect civil penalties imposed against employers who fail to timely pay must be turned over to STO for deposit in State general fund	196
41-15-320(I); 41-15-330	Amounts collected by LLR against employers as penalties for violations of Rules and Regulations of Commissioner of Labor, or amounts collected by Attorney General where penalty is not paid within 30 days, must be turned over to STO for deposit in State general fund	197
41-16-140	In cases Attorney General brings action against elevator operator or owner who fails to pay fees for inspection, permits and licenses to Commissioner of S.C. Division of Labor, any amounts collected must be turned over to STO for deposit in general fund of State	198
41-16-180	Civil penalties collected by Commissioner of S.C. Division of Labor must be turned over to STO for deposit in State general fund	199
41-18-150(D)	Revenue derived from collection of civil penalties under S.C. Amusement Rides Safety Code must be remitted to STO and deposited in State general fund	200
41-33-40	Directs STO to maintain 3 separate accounts within Unemployment Compensation Fund	204
41-33-430	Directs STO to pay, upon warrant issued by Comptroller General, amount requested by DEW to cover administrative expenses from DEW Administration Fund	207

41-43-140; 41-43-170	Designates STO as custodian of funds of JEDA's insurance fund and guaranty fund or, in the alternative, grants STO authority to approve of funds being held in custody of one or more S.C. commercial banks or trust companies	214
42-5-190	Balance of maintenance tax revenues assessed by Workers' Compensation Commission must be remitted to STO for credit of State general fund	216
42-7-70	All premiums collected by State Accident Fund must be deposited with STO to credit of State Accident Fund	218
42-7-75	Directs STO and Comptroller General to pay from State general fund to State Accident Fund amounts necessary to cover actual benefit claims paid which exceed amounts paid by various agencies, departments, and institutions	219
42-7-200(F)	Provides STO may deposit monies to Workers' Compensation Uninsured Employers' Fund monies authorized to be paid to the Workers' Compensation Commission	221
43-5-230	Creates within STO a revolving fund designated as Public Welfare Cooperative Support Program Fund to be used by DSS in carrying out purposes it deems necessary	225
43-21-40(h)	Fees collected by Lt. Governor's Division on Aging must be deposited with STO	227
43-21-160(B)	All monies Division on Aging receives from voluntary contribution system of Section 12-7-2419 or any other gift, contribution, etc. must be deposited with STO in interest-bearing account	228
43-25-80	STO responsible for keeping any funds appropriated for treatment and training of visually handicapped	229
43-31-120	Directs STO to pay, upon warrant from Comptroller General, amounts requested by State Agency of Vocational Rehabilitation to cover administration costs from vocational rehabilitation fund	230
44-1-150(C)	Fines collected by DHEC for violations of DHEC rules, regulations, etc. must be remitted to STO for deposit in State general fund	231
44-6-45	DHHS must remit certain administrative fees collected to STO to be deposited to credit of State general fund	232
44-6-146(A)	Directs STO to annually withhold from portion of Local Government Fund allotted to counties a sum equal to \$0.50 per capita, and to place such funds to credit of DHHS and used to provide Medicaid services	233

44-7-150	DHEC must deposit with STO any grant, gift, etc. made to assist with costs of carrying out State Certification of Need and Health Facility Licensure Act	234
44-28-30(A)	Establishes STO as custodian of Self-Sufficiency Trust Fund and directs STO to maintain separate account within trust fund for each named beneficiary	236
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44-28-320	Authorizes STO to accept for deposit in Disability Trust Fund monies left to fund by donors of a self-sufficiency trust at death of disabled beneficiary, as well as bequests and contributions to fund	240
44-28-340	Directs STO to credit interest earned on Disability Trust Fund to the fund	241
44-32-120(G)	All fines collected for the unlawful body piercing of a minor must be remitted to STO to be credited to DHEC in separate and distinct account	242
44-53-310(b)	Fines generated from violations committed by persons registered or applying to become registered to manufacture, distribute, or dispense a controlled substance are remitted to STO for deposit to benefit of DHEC	244
44-53-450(C)	Revenue collected pursuant to conditional discharge program are paid to STO within 30 days of receipt; STO then transmits these funds to Prosecution Coordination Commission; authorizes STO to request that State Auditor examine financial records of jurisdiction STO believes is not timely transmitting funds	245
44-53-530	Net proceeds from sale of forfeited property must be remitted to STO; 5% of proceeds of seized and forfeited property must be remitted to STO and deposited to credit of State general fund; in case of state law enforcement or prosecution agency, monies and proceeds from sale of forfeited property must be remitted to STO which shall establish separate, special accounts for local agencies	246

44-56-163	STO responsible for disbursing funds from Pinewood Hazardous Waste Contingency Fund quarterly to governing body of Town of Pinewood; establishes Pinewood Development Fund in STO, and interest earned on fund must be remitted quarterly to City of Pinewood	248
44-56-170(F)	Fees collected by facilities for incineration of hazardous waste must be remitted to STO and placed into separate and distinct fund entitled "Hazardous Waste Fund County Account"; STO must release funds in each county's account upon written request by majority of county's legislative delegation	249
44-56-435(C)	DOR must remit proceeds of registration fees and surcharges imposed on owners and operators of dry-cleaning facilities, after deducting costs, to STO to be credited to Dry-cleaning Facility Restoration Trust Fund	250
44-63-120	State registrar must remit all fees received by him under this chapter (Vital Statistics) to STO each month	251
44-93-170	Proceeds of county account established within Infectious Waste Contingency Fund must be released by STO upon written request of majority of recipient county's legislative delegation	252
44-96-170	Proceeds of tire recycling fee are deposited to credit of STO which establishes account separate and distinct from general fund; STO distributes most of proceeds to each county based on population; remaining portion of proceeds credited to Solid Waste Management Trust Fund by STO for the Waste Tire Grant Trust Fund	253
44-96-180(F)	Proceeds of lead-acid battery disposal fee are deposited to credit of STO which is required to establish separate and distinct account; STO must credit proceeds to Solid Waste Management Trust Fund	254
44-96-200(E)	Proceeds of white good disposal fee are to be deposited to credit of STO which is required to establish separate and distinct account; STO must credit proceeds to Solid Waste Management Trust Fund	255
44-125-20(B)	All funds received by DHEC for Osteoporosis Fund must be deposited with STO	256
46-3-130	Remainder of fees charged by agencies that contract for use of personnel and facilities of Dept. of Agriculture must be transmitted to STO and deposited to account of Dept.	257

46-9-100	Fines resulting from prosecutions assigned to State Crop Pest Commission must be paid to STO and deposited to credit of State Treasury	258
46-15-60	Proceeds from operation of wholesale farmers' markets established by Dept. of Agriculture must be deposited monthly with STO to account of Dept.	260
46-25-1090	Proceeds from forfeiture of misbranded fertilizer must be paid to STO which holds them subject to order of Agriculture Commission	261
46-26-130	Proceeds from forfeiture of improperly branded liming materials or land plaster must be paid to STO which holds them subject to order of Agriculture Commission	262
46-41-170(3)	All civil fines levied and received by Commissioner of Agriculture against licensed dealers and handlers of agricultural products must be remitted to STO to be credited to Grain Producers Guaranty Fund	266
46-41-220	Dept. of Agriculture must remit assessments on grain to STO to be credited to Grain Producers Guaranty Fund	267
46-49-60	Receipts from penalties imposed against licensed distributors of milk and milk products must be paid by Dept. of Agriculture to STO	269
47-4-140(A)	Fines assigned to State Livestock-Poultry Health Commission must be paid to STO and deposited to credit of State Treasury	270
47-11-340	Proceeds from fees charged by Dept. of Agriculture for grading services must be deposited with STO to account of Dept.	271
48-20-240	All fees and civil penalties collected under S.C. Mining Act must be deposited in general fund through STO	276
48-23-180	Fees collected by State Commission of Forestry for marking and tallying trees shall be paid to STO and credited to Federal revolving fund	278
48-23-250	Revenues from lands owned by Commission of Forestry shall be segregated by STO for use of Commission	279
48-27-240	All moneys received by State Board of Registration for Foresters must be paid to STO to keep such moneys in separate fund known as fund of the State Board of Registration for Foresters	282
48-43-310	Fees collected by DHEC from oil or gas exploration permits must be forwarded to STO to be placed in account for DHEC	283

48-43-390(E)	All monies collected by DHEC and Budget and Control Board as bonuses, rental payments or royalties from drilling for oil and gas on State lands must be deposited with STO in special account and expended as directed by General Assembly	284
49-1-16	DHEC must remit fees charged applicants for permits for construction, alteration, dredging etc. in navigable waters of State to STO	285
50-1-280(A)	Directs STO to credit total amount transferred by DOR pursuant to Section 12-6-5060 to the Nongame Wildlife and Natural Areas Fund	286
50-3-550	Proceeds from sale of timber cut from lands held by DNR must be deposited with STO to credit of Fish and Wildlife Protection Fund	288
50-9-910	Revenue from fines and forfeitures for violations of Chapters 1 through 16 must be remitted to STO and credited to County Game and Fish Fund subaccount for county from which revenue was collected	292
50-9-920(B)	Revenue generated from sale of hunting and freshwater fishing licenses, permits, and tags must be remitted to STO and credited to Fish and Wildlife Protection Fund	293
50-9-950(A)	The various sources of funds which make up assets of Fish and Wildlife Protection Fund must be remitted to STO and credited to special account separate and distinct from State general fund	294
50-9-660(C)	The various sources of funds which make up assets of Marine Resources Fund must be remitted to STO and credit to special account separate and distinct from general fund	295
50-9-970(A)	The various sources of funds which make up assets of County Game and Fish Fund must be remitted to STO and credit to special account separate and distinct from general fund	296
50-11-740(F)	Proceeds from sale of confiscated and forfeited property used in unlawful hunting of deer or bear at night must be paid over to STO for deposit in County Game and Fish Fund	297
51-3-120	Revenues from lands owned by Dept. of Parks, Recreation and Tourism shall be segregated by STO for use of PRT in acquisition, management, and development of such lands until all obligations incurred are paid in full	298
51-7-110	Half of surplus revenues from Hunting Island, Beaufort County shall be paid to STO and credited to park fund already used by PRT in furtherance of park program	299

51-7-10	Establishes STO as custodian of Recreation Land Trust Fund and directs STO to hold all moneys and securities in fund in trust	300
52-5-150	Net profits of training and equestrian center, if any, must be retained for expansion or maintenance or annually remitted to STO and deposited in Springdale Fund	305
56-1-143	Any voluntary contributions to Donate Life South Carolina must be transferred to STO and credited to Donate Life South Carolina	308
56-1-200	Balance of revenue from fees charged by DMV for replacement of lost or destroyed license must be deposited into special earmarked account by STO for use of DMV	309
56-3-840	All moneys collected for failure to properly register or license a vehicle must be deposited to credit of Department of Public Safety into special fund within STO designated "Department of Public Safety Building Fund"	310
56-3-2340(C)	Fees collected by DMV from third-party providers who process titles, license plates, and vehicle registrations on behalf of DMV must be placed by STO into special restricted account for DMV	311
56-3-3710(B)	Fees collected for special license plates with college or university emblems and deposited into separate funds for each respective higher education institution must be deposited with STO	312
56-3-4910(B)	Fees collected by DMV for special firefighters license plates and deposited into separate fund for S.C. Fire Academy must be deposited with STO	313
56-3-12510(B)	Fees collected by DMV for special high school license plates and deposited into separate fund for each school must be deposited with STO	314
56-5-2953(E)	Funds received pursuant to Section 14-1-208(C)(9) must be distributed by STO to DPS and SLED on monthly basis	315
56-5-2995	Additional assessment of \$12 imposed on persons convicted of driving under the influence must be remitted to STO which then distributes assessments in accordance with Section 14-1-201	316
56-5-4160(K)	All fines collected by DPS pursuant to this section for weight and load violations must be deposited into account with STO entitled "Size, Weight, and Safety Revitalization Program Fund for Permanent Improvements"	317
56-9-360	Security deposited with DMV by driver following an accident must be placed in custody of STO	318

56-10-550	Funds collected by DMV under this chapter (Motor Vehicle Registration and Financial Security) must be placed on deposit with STO and held in special fund known as "Uninsured Motorists Fund"	321
56-10-554	DMV must deposit \$50 uninsured motor vehicle fee paid per vehicle with STO to be held in special account called "Recoupment Fund"	322
56-19-265(D)	Fees collected by DMV from commercial entities who transmit or receive data from DMV pursuant to this section concerning the recording of liens against motor vehicles and mobile homes must be placed by STO in special restricted account to be used by DMV to defray expenses	323
56-35-60(A)	Establishes within STO fund separate and distinct from general fund and all other funds entitled Diesel Idling Reduction Fund	324
57-11-20(A)	State Highway Fund and State Non-Federal Aid Highway Fund must be held and managed by STO separate from general fund	327
58-31-110	Remaining balance of all revenues earned by S.C. Public Service Authority after necessary expenses, payment of principal and interest on bonds, etc. must be paid over semiannually to STO for general fund of State to be used to reduce tax burdens on people of State	333
59-21-1010	Provides that revenue derived from certain sales and use taxes must be remitted to STO and credited to state public school building fund or in the S.C. Education Improvement Act of 1984 Fund	339
59-25-140	Board of examiners for teachers must remit \$0.50 fee for duplicate certificates to STO to be used by board for certain expenses	340
59-26-20(j)	Appropriations for loans and administrative costs incurred by S.C. Student Loan Corporation must be provided in annual amounts to STO for use by corporation	341
59-47-90	All funds collected from students attending School for the Deaf and Blind as maintenance fees must be remitted to STO for deposit in special fund to be used for capital improvements at the school	345

59-53-1830	Appoints State Treasurer custodian of federal funds received by State pursuant to federal act entitled: "To Provide for the Promotion of Vocational Education; to Provide for Cooperation with the States in the Promotion of Such Education in Agriculture and the Trades and Industries; to Provide for Cooperation with the States in the Preparation of Teachers of Vocational Subjects; and to Appropriate Money and Regulate Its Expenditure"	348
59-101-360(A)	Directs STO to credit sales tax revenue from tax on catalog sales to Mail Order Sales Tax Fund, which is separate and distinct from State general fund	359
59-104-230	Directs STO to establish separate fund consisting of funds appropriated for all endowed professorships plus accrued interest	360
59-104-240	Directs STO to establish separate fund consisting of funds appropriated for all salary enhancements plus accrued interest	361
59-111-75	Directs STO to disburse funds from account to be used for college loans for National Guard members as requested by Commission on Higher Education and upon warrant of Comptroller General	364
59-113-45	Directs STO to transfer interest accruing on balance of undisbursed tuition grant funds to S.C. Tuition Grant Commission	365
59-115-70	Establishes STO as custodian of sinking fund established for revenue from revenue bonds issued by State Education Assistance Authority	367
59-119-1030(1)(b)	Directs STO to deposit any admission fees and special student fees received from Clemson University into debt service fund	372
59-121-430	Directs STO to deposit any admissions fees and special student fees received from Citadel into debt service fund	374
59-125-630	Directs STO to deposit any admissions fees and special student fees received from Winthrop into debt service fund	378
59-143-10	Revenue received pursuant to Section 48-46-40(E)(3) must be deposited by STO in separate fund entitled "Children's Education Endowment"; STO responsible for allocating such funds in accordance with section	383
59-150-340	Net proceeds received from state lottery for education must be deposited by STO in separate fund entitled "Education Lottery Account"	387
61-4-250	Penalties provided for in this section concerning beer and wine must be paid to STO for credit to general fund for public school use	389

61-4-260	Generally, all monies received by DOR or SLED under this chapter must be deposited with STO to credit of general fund	390
61-6-1810(B)	Fees for biennial licenses must be deposited with STO	391
61-6-2970	All monies received by DOR under this article concerning importation of alcoholic liquors must be deposited with STO to credit of general fund	393
61-6-4260	Penalties provided in this article for violations of Alcoholic Beverage Control Act must be paid to STO for credit to general fund for public school use	394
61-6-4270	Penalties provided in this section must be paid to STO for credit to general fund for public school use	395
61-6-4310	Proceeds from sale of seized alcoholic liquors must be turned over to STO after costs of confiscation and sale are paid	396
63-9-1370(C)	Fees collected for adoption and related services must be forwarded to STO which holds them in separate account	398
63-19-410(D)	All funds collected by Dept. of Juvenile Justice pursuant to this section must be deposited with STO for use of DJJ in defraying cost of services	400
11-40-230	Directs that all money of S.C. Infrastructure Facilities Authority is deposited with STO, and authorizes STO to invest such funds	87
50-1-310(A); 50-3-180(A)	Establishes STO as custodian of Mitigation Trust Fund and authorizes STO to invest its assets in interest-bearing accounts	287
50-3-720	Establishes STO as custodian of Wildlife Endowment Fund of DNR and authorizes STO to invest its assets	289
50-3-910	Establishes STO as custodian of Jocassee Gorges Trust Fund and authorizes STO to invest its assets	290
50-3-1010	Establishes STO as custodian of Tom Yawkey Wildlife Center Trust Fund and authorizes STO to invest its assets in interest-bearing account	291
52-5-120	Directs STO to maintain "Springdale Fund," accept additional gifts to the fund, invest the fund as STO deems appropriate, and quarterly deliver income from investments to the Carolina Cup Racing Association for maintenance and operation of its facility	304
59-69-40	Directs State Superintendent of Education to pay moneys and income from gifts of land, money, personal property, etc. given to him for educational purposes to State Treasury; STO is authorized to invest such funds in name of State	352
11-13-30	Grants STO exclusive authority in State government to invest and deposit public funds	66

59-53-162	Proceeds of bonds issued by State Board of Technical and Comprehensive Education must be delivered to STO and retained by STO in special fund or funds applied to purpose for which bonds issued; authorizes STO to make temporary investments of funds derived from proceeds of bonds	346
59-119-1020	Proceeds of all Clemson University athletic facilities revenue bonds must be delivered to STO or corporate trust designee; STO is authorized to make temporary investments of such funds	371
59-121-420	Proceeds of all Citadel athletic facilities bonds must be delivered to STO or corporate trust designee; STO is authorized to make temporary investments of funds derived from proceeds of bonds	373
59-125-620	Proceeds of all Winthrop University Athletic Bonds must be delivered to STO or its corporate trust designee; STO authorized to make temporary investments of proceeds of bonds	377
59-127-410	Proceeds of all S.C. State University special obligation bonds must be delivered to STO and retained in special fund; authorizes STO to make temporary investments of proceeds of bonds	379
59-127-450	Directs STO to deposit revenues derived from S.C. State University special obligation bonds in the Debt Service Fund and to utilize proceeds for payment of principal and interest on bonds; authorizes STO to invest monies in fund	380
59-133-320	Proceeds of all Francis Marion University athletic facilities revenue bonds must be delivered to STO or its corporate trust designee; STO authorized to make temporary investments of such funds	382
44-20-1170(A)	S.C. Commission on Disabilities and Special Needs must remit revenues to STO for credit to a special fund which must be applied to meet sums due by Commission under its obligations; monies from special fund also must be applied by STO to payment of principal and interest on outstanding state capital improvement bonds	235
55-11-340(20)(f)	Directs STO, upon receipt of ad valorem taxes collected by Richland and Lexington county treasurers for purpose of paying principal and interest on bonds issued by Richland-Lexington Airport Commission, to set funds apart in special fund and apply them solely to payment of principal and interest of bonds; when all outstanding principal and interest bond payments have been made, STO is directed to transfer balance in special fund to general fund of the Airport Commission	306

59-53-165	Authorizes STO to accept custody of revenues derived from any special student fee, to deposit them in applicable debt service fund and bond reserve fund for payment of principal and interest	347
59-123-95	If MUSC borrows money to acquire diagnostic and therapeutical equipment by issuing notes payable from charges for service or use rendered by such equipment, charges must be remitted to STO and deposited in special fund to be applied to payment of principal and interest on notes	376
59-131-25	Proceeds of bonds issued College of Charleston pursuant to this Chapter shall be deposited with STO and used to defray cost of acquiring and constructing parking facilities as well as to defray cost of issuing bonds	381
59-21-130	STO makes payments to county treasurers for state school aid due upon warrants issued by Comptroller General	338
Proviso 98.10, 2015-16 Appropriations Act	Authorizes State Treasurer to designate certain employees to sign payments to meet ordinary expenses of State	409

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	O3.1.1
Description	<i>To ensure that the day-to-day and long-term functions in Treasury Management are carried-out accurately and competently in the event of an absence of key employee(s).</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>To ensure the critical day to day operations for AIP, Baking and payroll continue without interruption.</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Cross-train employees to learn the duties and key job functions of the respective internal sections of Treasury Management to prepare for absences, succession planning and educational opportunities.</i>
Higher Goal Strategy Supports:	<i>Treasury Management: Cross-training and expansion of knowledge between and among the internal sections of Treasury Management.</i>

RESPONSIBLE LEAD

Name	Paul Jarvis
Length of Time (individual has been responsible for goal, strategy or objective) in months	420 months (total career)
Position	Program Manager III
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201

Dept/Divison	<i>Treasury Management</i>
Dept/Division Summary	The Treasury Management Division (TMD) is responsible for the day-to-day banking operations of the State, collection and remittance of court fines, over 150,000 account deposits, payroll processing for approximately 50,000 employees, distribution of 4.4 million electronic and paper checks and cash management activities for the State.

MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners
N/A	<i>Copy and Paste from Strategically Planned Partners Chart</i>

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
Paper Checks	5(+) years	Total physical paper checks printed	2,007,508	1,676,033	1,298,031	1,253,551	1,172,342	25%	STO will update once 2014/15 Accountability Report is completed.	TBD	1

Electronic Checks	5(+) years	Total electronic checks sent	2,201,511	2,555,066	2,887,198	2,849,014	2,975,524	75%	STO will update once 2014/15 Accountability Report is completed.	TBD	2
Total deposits	5(+) years	Total deposits made during the fiscal year	187,400	185,091	185,136	184,536	186,654	N/A	STO will update once 2014/15 Accountability Report is completed.	TBD	3
Total disbursements	5(+) years	Total disbursements made during the fiscal year	4,209,019	4,231,099	4,185,229	4,102,565	4,147,866	N/A	STO will update once 2014/15 Accountability Report is completed.	TBD	4

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	The selected performance measures for electronic and paper checks provide comparability over time periods.
Reasoning for 2014-15 Target Value?	Trending data for paper and electronic checks
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Moderate challenge for paper and electronic check targets
Reasoning for missing 2014-15 Target Value, if missed?	If electronic and paper check targets are missed it is because other objectives were deemed more
Work being done to improve deficiencies?	Treasury management actively monitors vendors that may be open to electronic payments and
Reasoning for 2015-16 Target Value?	Trending data for paper and electronic checks
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Moderate challenge for paper and electronic check targets
Provide the names of employees who are responsible for...	Paul Jarvis, Katherine Kip, Audrey Wright
Comparison of actual performance to target value	Results are undeterminable at this time
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	Corrective action undetermined as results are as yet unknown
Making any changes needed to ensure the target value is reached	Results are undeterminable at this time
Names and Titles of individuals who set this as a performance measure	Paul Jarvis, Program Manager III



REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
Day to day operations wouldn't continue without interruption.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
§ 11-5-10	State Treasurer may employ such personnel as may be provided by law.
Proviso 98.10, 2015-16 Appropriations Act	State Treasurer authorized to designate certain employees to sign payments for current fiscal year to meet ordinary expenses of the State

LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
Proviso 98.2, 2015-16 Appropriations Act	Decisions relating to STARS and SCEIS which involve STO Banking Operations and other functions require approval of State Treasurer	401		<i>Copy and Paste from Laws to Further Evaluate Chart.</i>
41-33-90	Directs STO to pay, upon warrant issued by Comptroller General, lump sum amount requested by DEW for payment of benefits or refunds	205		
41-33-910(E)	Directs STO to pay, upon warrant issued by Comptroller General, amounts requested by DEW for purposes set forth in section from DEW integrity fund	212		
42-7-80	Awards made by Workers' Compensation Commission under article applicable to State Accident Fund are paid by STO upon warrant issued by Comptroller General	220		
43-1-200	Directs STO to pay, upon warrants issued by Comptroller General, amounts requested by DSS for payments of salaries and other administrative expenses	224		
11-3-130; 11-3-140	Requires all STO payments to be made on warrants drawn by Comptroller General	34		
11-25-430	Directs STO to maintain \$20,000 revolving fund for B&CB to purchase office supplies	74		
12-21-1110	Cost of stamps, supplies, and other expenses of administration of Beer and Wine License Taxes paid from taxes collected upon warrants drawn by DOR upon STO	106		
12-54-75	Authorizes STO to authorize state agency which collects revenues to accept electronic forms of payment, and to contract on behalf of agencies with payment service providers for processing of electronic payments	118		
16-3-1290	Establishes STO as custodian of Victim's Compensation Fund	134		
23-9-410	STO required to pay over amount collected upon premiums for fire insurance to county treasurers	143		
24-3-70	STO must pay SCDC actual expenses incurred in transferring prisoners upon warrant of Comptroller General	148		
24-3-180	STO pays SCDC costs of transportation and clothes for discharged inmates on draft by SCDC countersigned by Comptroller General	149		
25-1-1360	All disbursements from military fund are paid by STO upon warrant of Adjutant and Inspector General	152		
27-16-130(H)(3)	STO remits special tribe sales tax revenues periodically to Catawba Tribe	158		

31-13-340	Revenue collected by S.C. State Housing, Finance, and Development Authority are paid to STO for deposit in account known as "State Housing, Finance, and Development Authority Fund"; monies in fund are paid out by STO upon signature of designee of board, signature of executive director of board, and written warrant from Comptroller General	164
34-29-190	All license fees, investigation fees, and other funds collected by State Board of Financial Institutions under this chapter (Consumer Finance Law) are paid over to STO and used to defray costs of administering chapter, salaries of assistant examiners, clerical help, etc.	170
38-45-60	After December 31 of each year, Director of Department of Insurance renders accounting to STO of state portion of broker's premium tax rate payment; upon warrant by Comptroller General, STO pays 1/4 of state's portion of broker's premium tax rate payment to county treasurer of county in which property located	174
41-33-30	Establishes State Treasurer as ex officio treasurer and custodian of Unemployment Compensation Fund	203
41-33-610(C)	Directs STO to pay, upon warrant issued by Comptroller General, amounts requested by DEW for purposes set forth in section from DEW special administration fund	209
41-33-710(C)	Directs STO to pay, upon warrant issued by Comptroller General, amounts requested by DEW for purposes set forth in section from DEW administrative contingency fund	210
41-33-810(E)	Directs STO to pay, upon warrant issued by Comptroller General, amounts requested by DEW for purposes set forth in section from DEW interest assessment fund	211
42-7-210	Authorizes and directs STO and Comptroller General to pay from State general fund to State Accident Fund amounts necessary to cover actual benefit claims paid and expenses relating to operation of agency which exceed amounts paid in for this purpose by various agencies, departments, and institutions	222
42-7-310	Establishes STO as custodian of Second Injury Fund and directs that its monies and securities be held in separate and distinct trust account; directs STO to make disbursements from funds upon warrants issued by Comptroller General	223

43-5-470	Directs STO to receive federal funds allocated to State under Section 403 of Title IV of Federal Social Security Act for aid to dependent children, and that such funds be kept in a dependent children's aid account	226
44-28-40(B)	Directs STO to process vouchers from Self-Sufficiency Trust Fund accounts upon proper certification from S.C. Dept. of Disabilities and Special Needs, State Dept. of Mental Health, or State Agency of Vocational Rehabilitation	237
44-28-70	Directs STO to credit interest earned on Self-Sufficiency Trust Fund to the fund and allocate interest pro rata to accounts of named beneficiaries	239
48-23-150	Directs STO to disburse "[a]ll such funds" upon warrants drawn by State Commission of Forestry	277
58-5-480	Assessments charged against natural gas utilities by DOR must be deposited in special fund within STO from which salaries, benefits, expenses, and charges incurred by Office of Regulatory Staff are paid	331
2-7-66	STO responsible for disbursing Education Improvement Act of 1984 appropriations to agencies and entities other than State Department of Education	12
2-75-30	STO responsible for managing Centers of Excellence Matching Endowment fund	13
Title 4, Chapter 10	Directs STO to credit or distribute various local sales and use taxes to certain funds or to county or municipal treasurers	14
6-4-20	STO responsible for administering accommodations tax account; STO responsible for determining annually a percentage to be withheld from certain counties and distributing that amount to counties in manner set forth in section	15
8-21-320	STO responsible for distributing revenues from motion fees remitted to it in accordance with section	27
11-9-290	Requires STO to maintain sufficient cash reserve in general deposit account from which Budget and Control Board may borrow from time to time	60
11-13-40	Requires STO, with advice and approval of B&CB, to keep in a general deposit account all state funds which, in opinion of B&CB, may be property consolidated	67
11-13-215	Designates State Treasury as depository for all funds received by state departments and institutions	71
11-13-130	Requires STO to designate form of deposit slip	72
11-39-50	Directs STO to deposit oil overcharge funds in interest bearing State accounts	84

11-46-60	Sets forth circumstances under which 2% of gross profits from sale of hydrogen and fuel cell products or services are annually remitted to STO and transferred to State general fund.	90
11-49-55	Directs STO to transfer one million dollars annually for five years from trust fund to Department of Agriculture	92
11-50-80	All accounts within Rural Infrastructure Fund are held in trust by STO	93
12-13-100	All moneys collected by DOR are deposited with STO to credit of general fund	101
12-16-1730	DOR remits estate taxes recovered by it pursuant to any action instituted by it to STO	102
12-20-170	DOR deposits all Corporation License Fees collected by it to STO	103
12-21-140; 12-21-1590	DOR remits all moneys collected pursuant to Stamp and Business License Tax to STO	105
12-21-1130	State's portion of all revenue derived from sale of beer and wine paid to STO for credit to special school account on last day of month	107
12-21-2970	DOR remits proceeds from sale of confiscated goods to STO	108
12-21-4200	DOR deposits certain amounts of total revenues derived from Bingo Tax Act to STO to credit of certain specified accounts	109
12-21-6540	DOR must transfer 1/4 of license tax paid on admissions to an establishment to STO	110
12-28-2365	DOR must remit fees collected on petroleum products monthly to STO	111
12-28-2710	All monies collected by DOR pursuant to User Fees on Motor Fuels must be deposited to credit of STO as taxes collected by DOR	112
12-28-2740	Proceeds from gasoline user fees must be deposited with STO and expended for purposes set forth in section	113
12-33-245(B)	11% of revenue generated by excise tax on sale of alcohol for on-premises consumption must be deposited with STO; on quarterly basis, STO must allocate revenue to counties on per capita basis	114
13-1-45(D) & (J)	STO holds in trust accounts established by Dept. of Commerce in conjunction with S.C. Water and Wastewater Infrastructure Fund; authorizes STO to withhold state funds from qualified borrower who fails to collect and remit funds due Dept. of Commerce	120
13-1-1480	All funds of Division of Public Railways deposited with maintained in separate accounts by STO	123

13-7-30	Budget and Control Board or its successor, State Fiscal Accountability Authority, must transmit fees collected from parties holding radioactive materials to STO; STO places money in revolving trust fund designated "extended care maintenance fund"	124
Title 14, Chapter 1	Certain amounts of court fines, fees, surcharges, costs, forfeitures, and other revenues are remitted to STO; STO responsible for then depositing revenues in accordance with various sections; STO authorized to adjust state aid to subdivisions that State Auditor finds jurisdiction has under remitted amounts due State or victims services; STO authorized to request that State Auditor examine financial records of any jurisdiction STO believes is not timely remitting funds	130
16-15-445	1/2 of proceeds of sale of equipment used by person in committing certain crimes against children are remitted to STO for deposit to credit of Victim's Compensation Fund	135
17-22-350	County treasurers remit balance of revenue from application fees for traffic education programs to STO on monthly basis and makes reports on form prescribed by STO; STO deposits amounts received in accordance with section	138
20-1-375	Addition \$20 fee imposed on each marriage license application to be remitted to STO and credited to Domestic Violence Fund	139
22-3-340	Assessments on filings in magistrates court are remitted to STO for allocation to judicial department	140
23-1-65	\$50 fee levied on applications for appointment of state constables are remitted quarterly by SLED to STO to be credited to State general fund	141
23-3-50	SLED remits revenue from fees and licenses for private detectives and security companies, gun dealers, gun permits, and massage parlors to STO to be credited to State general fund	142
23-47-50(F)	DOR places fees collected from CMRS 911 charges on deposit with STO; STO keeps funds collected in fund separate from State general fund	145
23-47-68(I)	DOR transfers all remitted prepaid wireless 911 charges to STO; STO keeps in fund separate from State general fund	146
24-3-40	5% of gross wages of prisoner who was either not ordered to pay restitution to a victim or has already paid restitution must be deposited with STO for credit to special account to support victim assistance programs	147

24-3-400	STO maintains "Prison Industries Account" in which SCDC deposits monies collected from sale or disposition of articles and products produced by inmate labor	150
24-21-480	Daily fee for housing and food is deducted from salary of offender taking part in Restitution Center Program and deposited with STO for credit to same account as funds collected under Sections 14-1-210 to 14-1-230	151
25-1-1650	Proceeds from sale of obsolete armories are retained in special account with STO	153
25-11-570	All funds donated to S.C. Prisoner of War Medal Fund are remitted to STO for deposit and disbursement	155
27-16-50(B)	STO collects all local and private contributions to settlement with Catawba Indian Tribe and forwards them to Secretary of Interior	156
27-16-110(C)(3)	All revenues from special bingo tax are deposited with STO for benefit of State general fund	157
27-19-340	Secretary of State remits proceeds of escheats to STO for benefit of Sinking Fund	161
30-1-100	S.C. Dept. of Archives and History deposits monies received from sale of public records of research value with STO	162
33-1-220(d)	Secretary of State collects certain taxes on documents filed with him and remits to STO for use of the State	166
33-56-160	Secretary of State remits administrative fines collected pursuant to S.C. Solicitation of Charitable Funds Act in excess of \$200,000 in a fiscal year to STO to be deposited in general fund; all fees collected by Secretary of State pursuant to Act are transmitted to STO and deposited in fund separate from State general fund	167
33-57-180	All administrative fines collected pursuant to chapter on Nonprofit Raffles for Charitable Purposes are transmitted to STO and deposited in general fund	168
38-53-100	License fees for applications for professional bondsman and runner are collected by Director of Department of Insurance; certain amount then forwarded to STO and placed in general fund	175
38-73-470	\$2 of yearly premium for uninsured motorist coverage is paid to SCDMV to be placed on deposit with STO in the "Uninsured Enforcement Fund"	176
38-77-151	All funds collected by DMV under Chapter 10 of Title 56 are deposited with STO and held in "Uninsured Motorists Fund"	177

39-9-206	A civil penalty collected under this chapter, entitled "Uniform Weights and Measures Law," is transmitted to STO for deposit in fund to be used by Dept. of Agriculture	178
39-11-100	Monies collected from payment by public weigh masters for a public weigh master stamp must be deposited with STO	179
39-11-210	All moneys collected by this chapter entitled "Public Weigh masters" must be deposited with STO	180
40-1-180	All fines and costs collected by LLR under this section must be remitted to STO and deposited in special fund established for LLR to defray administrative costs	182
40-5-50(C)	Funds derived under this chapter applicable to licensing and regulation of auctioneers shall be paid to STO who keeps them for Auctioneers' Commission in manner provided for other State agencies and commissions	183
40-15-50	All fees received by State Board of Dentistry are deposited with STO to credit of State general fund; all fines must be deposited in special account held by STO for payment of administrative costs	185
40-30-290	All fines and costs collected by LLR from licensed massage/bodywork therapists must be remitted to STO and deposited in special fund from which LLR must be reimbursed for administrative costs	186
40-47-580	All monies collected by State Board of Medical Examiners pursuant to S.C. Respiratory Care Practice Act must be paid to STO for deposit in State general fund	187
40-47-710(D)	All monies received by Acupuncture Advisory Committee and paid to State Board of Medical Examiners must be deposited with STO	188
40-47-925(D)	All monies received by Physician Assistant Committee and paid to State Board of Medical Examiners must be deposited with STO	189
40-51-160(F)	All fines collected by Board of Podiatry are remitted to STO and deposited in special fund from which board is reimbursed for administrative costs	190
40-51-170	All revenues and income collected by Board of Podiatry must be remitted to STO and credited to State general fund	191
40-55-40	All revenues and income collected by State Board of Examiners in Psychology must be remitted to STO and credited to State general fund	192
40-57-70(D)	LLR may allocate up to \$10 of each license renewal fee to the S.C. Real Estate Commission Education and Research Fund which must be established as separate fund within STO	193

40-59-580(B)	Civil penalties collected by S.C. Residential Builders Commission must be remitted to STO for deposit in State general fund	194
40-61-50	All revenues and income derived from any source or activity by the S.C. Residential Builders Commission must be remitted to STO and credited to State general fund	195
41-10-90	Any amounts collected by LLR in actions to collect civil penalties imposed against employers who fail to timely pay must be turned over to STO for deposit in State general fund	196
41-15-320(I); 41-15-330	Amounts collected by LLR against employers as penalties for violations of Rules and Regulations of Commissioner of Labor, or amounts collected by Attorney General where penalty is not paid within 30 days, must be turned over to STO for deposit in State general fund	197
41-16-140	In cases Attorney General brings action against elevator operator or owner who fails to pay fees for inspection, permits and licenses to Commissioner of S.C. Division of Labor, any amounts collected must be turned over to STO for deposit in general fund of State	198
41-16-180	Civil penalties collected by Commissioner of S.C. Division of Labor must be turned over to STO for deposit in State general fund	199
41-18-150(D)	Revenue derived from collection of civil penalties under S.C. Amusement Rides Safety Code must be remitted to STO and deposited in State general fund	200
41-33-40	Directs STO to maintain 3 separate accounts within Unemployment Compensation Fund	204
41-33-430	Directs STO to pay, upon warrant issued by Comptroller General, amount requested by DEW to cover administrative expenses from DEW Administration Fund	207
41-43-140; 41-43-170	Designates STO as custodian of funds of JEDA's insurance fund and guaranty fund or, in the alternative, grants STO authority to approve of funds being held in custody of one or more S.C. commercial banks or trust companies	214
42-5-190	Balance of maintenance tax revenues assessed by Workers' Compensation Commission must be remitted to STO for credit of State general fund	216
42-7-70	All premiums collected by State Accident Fund must be deposited with STO to credit of State Accident Fund	218

42-7-75	Directs STO and Comptroller General to pay from State general fund to State Accident Fund amounts necessary to cover actual benefit claims paid which exceed amounts paid by various agencies, departments, and institutions	219
42-7-200(F)	Provides STO may deposit monies to Workers' Compensation Uninsured Employers' Fund monies authorized to be paid to the Workers' Compensation Commission	221
43-5-230	Creates within STO a revolving fund designated as Public Welfare Cooperative Support Program Fund to be used by DSS in carrying out purposes it deems necessary	225
43-21-40(h)	Fees collected by Lt. Governor's Division on Aging must be deposited with STO	227
43-21-160(B)	All monies Division on Aging receives from voluntary contribution system of Section 12-7-2419 or any other gift, contribution, etc. must be deposited with STO in interest-bearing account	228
43-25-80	STO responsible for keeping any funds appropriated for treatment and training of visually handicapped	229
43-31-120	Directs STO to pay, upon warrant from Comptroller General, amounts requested by State Agency of Vocational Rehabilitation to cover administration costs from vocational rehabilitation fund	230
44-1-150(C)	Fines collected by DHEC for violations of DHEC rules, regulations, etc. must be remitted to STO for deposit in State general fund	231
44-6-45	DHHS must remit certain administrative fees collected to STO to be deposited to credit of State general fund	232
44-6-146(A)	Directs STO to annually withhold from portion of Local Government Fund allotted to counties a sum equal to \$0.50 per capita, and to place such funds to credit of DHHS and used to provide Medicaid services	233
44-7-150	DHEC must deposit with STO any grant, gift, etc. made to assist with costs of carrying out State Certification of Need and Health Facility Licensure Act	234
44-28-30(A)	Establishes STO as custodian of Self-Sufficiency Trust Fund and directs STO to maintain separate account within trust fund for each named beneficiary	236

44-28-60	Authorizes STO to return remaining money in an account within Self-Sufficiency Trust Fund to self-sufficiency trust which deposited the money if, after consultation with appropriate agencies, STO determines money in account of named beneficiary cannot be used for supplemental care or treatment of beneficiary in manner consistent with agreement or upon request of trustee of self-sufficiency trust	238
44-28-320	Authorizes STO to accept for deposit in Disability Trust Fund monies left to fund by donors of a self-sufficiency trust at death of disabled beneficiary, as well as bequests and contributions to fund	240
44-28-340	Directs STO to credit interest earned on Disability Trust Fund to the fund	241
44-32-120(G)	All fines collected for the unlawful body piercing of a minor must be remitted to STO to be credited to DHEC in separate and distinct account	242
44-53-310(b)	Fines generated from violations committed by persons registered or applying to become registered to manufacture, distribute, or dispense a controlled substance are remitted to STO for deposit to benefit of DHEC	244
44-53-450(C)	Revenue collected pursuant to conditional discharge program are paid to STO within 30 days of receipt; STO then transmits these funds to Prosecution Coordination Commission; authorizes STO to request that State Auditor examine financial records of jurisdiction STO believes is not timely transmitting funds	245
44-53-530	Net proceeds from sale of forfeited property must be remitted to STO; 5% of proceeds of seized and forfeited property must be remitted to STO and deposited to credit of State general fund; in case of state law enforcement or prosecution agency, monies and proceeds from sale of forfeited property must be remitted to STO which shall establish separate, special accounts for local agencies	246
44-56-163	STO responsible for disbursing funds from Pinewood Hazardous Waste Contingency Fund quarterly to governing body of Town of Pinewood; establishes Pinewood Development Fund in STO, and interest earned on fund must be remitted quarterly to City of Pinewood	248

44-56-170(F)	Fees collected by facilities for incineration of hazardous waste must be remitted to STO and placed into separate and distinct fund entitled "Hazardous Waste Fund County Account"; STO must release funds in each county's account upon written request by majority of county's legislative delegation	249
44-56-435(C)	DOR must remit proceeds of registration fees and surcharges imposed on owners and operators of dry-cleaning facilities, after deducting costs, to STO to be credited to Dry-cleaning Facility Restoration Trust Fund	250
44-63-120	State registrar must remit all fees received by him under this chapter (Vital Statistics) to STO each month	251
44-93-170	Proceeds of county account established within Infectious Waste Contingency Fund must be released by STO upon written request of majority of recipient county's legislative delegation	252
44-96-170	Proceeds of tire recycling fee are deposited to credit of STO which establishes account separate and distinct from general fund; STO distributes most of proceeds to each county based on population; remaining portion of proceeds credited to Solid Waste Management Trust Fund by STO for the Waste Tire Grant Trust Fund	253
44-96-180(F)	Proceeds of lead-acid battery disposal fee are deposited to credit of STO which is required to establish separate and distinct account; STO must credit proceeds to Solid Waste Management Trust Fund	254
44-96-200(E)	Proceeds of white good disposal fee are to be deposited to credit of STO which is required to establish separate and distinct account; STO must credit proceeds to Solid Waste Management Trust Fund	255
44-125-20(B)	All funds received by DHEC for Osteoporosis Fund must be deposited with STO	256
46-3-130	Remainder of fees charged by agencies that contract for use of personnel and facilities of Dept. of Agriculture must be transmitted to STO and deposited to account of Dept.	257
46-9-100	Fines resulting from prosecutions assigned to State Crop Pest Commission must be paid to STO and deposited to credit of State Treasury	258
46-15-60	Proceeds from operation of wholesale farmers' markets established by Dept. of Agriculture must be deposited monthly with STO to account of Dept.	260

46-25-1090	Proceeds from forfeiture of misbranded fertilizer must be paid to STO which holds them subject to order of Agriculture Commission	261
46-26-130	Proceeds from forfeiture of improperly branded liming materials or land plaster must be paid to STO which holds them subject to order of Agriculture Commission	262
46-41-170(3)	All civil fines levied and received by Commissioner of Agriculture against licensed dealers and handlers of agricultural products must be remitted to STO to be credited to Grain Producers Guaranty Fund	266
46-41-220	Dept. of Agriculture must remit assessments on grain to STO to be credited to Grain Producers Guaranty Fund	267
46-49-60	Receipts from penalties imposed against licensed distributors of milk and milk products must be paid by Dept. of Agriculture to STO	269
47-4-140(A)	Fines assigned to State Livestock-Poultry Health Commission must be paid to STO and deposited to credit of State Treasury	270
47-11-340	Proceeds from fees charged by Dept. of Agriculture for grading services must be deposited with STO to account of Dept.	271
48-20-240	All fees and civil penalties collected under S.C. Mining Act must be deposited in general fund through STO	276
48-23-180	Fees collected by State Commission of Forestry for marking and tallying trees shall be paid to STO and credited to Federal revolving fund	278
48-23-250	Revenues from lands owned by Commission of Forestry shall be segregated by STO for use of Commission	279
48-27-240	All moneys received by State Board of Registration for Foresters must be paid to STO to keep such moneys in separate fund known as fund of the State Board of Registration for Foresters	282
48-43-310	Fees collected by DHEC from oil or gas exploration permits must be forwarded to STO to be placed in account for DHEC	283
48-43-390(E)	All monies collected by DHEC and Budget and Control Board as bonuses, rental payments or royalties from drilling for oil and gas on State lands must be deposited with STO in special account and expended as directed by General Assembly	284
49-1-16	DHEC must remit fees charged applicants for permits for construction, alteration, dredging etc. in navigable waters of State to STO	285

50-1-280(A)	Directs STO to credit total amount transferred by DOR pursuant to Section 12-6-5060 to the Nongame Wildlife and Natural Areas Fund	286
50-3-550	Proceeds from sale of timber cut from lands held by DNR must be deposited with STO to credit of Fish and Wildlife Protection Fund	288
50-9-910	Revenue from fines and forfeitures for violations of Chapters 1 through 16 must be remitted to STO and credited to County Game and Fish Fund subaccount for county from which revenue was collected	292
50-9-920(B)	Revenue generated from sale of hunting and freshwater fishing licenses, permits, and tags must be remitted to STO and credited to Fish and Wildlife Protection Fund	293
50-9-950(A)	The various sources of funds which make up assets of Fish and Wildlife Protection Fund must be remitted to STO and credited to special account separate and distinct from State general fund	294
50-9-660(C)	The various sources of funds which make up assets of Marine Resources Fund must be remitted to STO and credit to special account separate and distinct from general fund	295
50-9-970(A)	The various sources of funds which make up assets of County Game and Fish Fund must be remitted to STO and credit to special account separate and distinct from general fund	296
50-11-740(F)	Proceeds from sale of confiscated and forfeited property used in unlawful hunting of deer or bear at night must be paid over to STO for deposit in County Game and Fish Fund	297
51-3-120	Revenues from lands owned by Dept. of Parks, Recreation and Tourism shall be segregated by STO for use of PRT in acquisition, management, and development of such lands until all obligations incurred are paid in full	298
51-7-110	Half of surplus revenues from Hunting Island, Beaufort County shall be paid to STO and credited to park fund already used by PRT in furtherance of park program	299
51-7-10	Establishes STO as custodian of Recreation Land Trust Fund and directs STO to hold all moneys and securities in fund in trust	300
52-5-150	Net profits of training and equestrian center, if any, must be retained for expansion or maintenance or annually remitted to STO and deposited in Springdale Fund	305
56-1-143	Any voluntary contributions to Donate Life South Carolina must be transferred to STO and credited to Donate Life South Carolina	308

56-1-200	Balance of revenue from fees charged by DMV for replacement of lost or destroyed license must be deposited into special earmarked account by STO for use of DMV	309
56-3-840	All moneys collected for failure to properly register or license a vehicle must be deposited to credit of Department of Public Safety into special fund within STO designated "Department of Public Safety Building Fund"	310
56-3-2340(C)	Fees collected by DMV from third-party providers who process titles, license plates, and vehicle registrations on behalf of DMV must be placed by STO into special restricted account for DMV	311
56-3-3710(B)	Fees collected for special license plates with college or university emblems and deposited into separate funds for each respective higher education institution must be deposited with STO	312
56-3-4910(B)	Fees collected by DMV for special firefighters license plates and deposited into separate fund for S.C. Fire Academy must be deposited with STO	313
56-3-12510(B)	Fees collected by DMV for special high school license plates and deposited into separate fund for each school must be deposited with STO	314
56-5-2953(E)	Funds received pursuant to Section 14-1-208(C)(9) must be distributed by STO to DPS and SLED on monthly basis	315
56-5-2995	Additional assessment of \$12 imposed on persons convicted of driving under the influence must be remitted to STO which then distributes assessments in accordance with Section 14-1-201	316
56-5-4160(K)	All fines collected by DPS pursuant to this section for weight and load violations must be deposited into account with STO entitled "Size, Weight, and Safety Revitalization Program Fund for Permanent Improvements"	317
56-9-360	Security deposited with DMV by driver following an accident must be placed in custody of STO	318
56-10-550	Funds collected by DMV under this chapter (Motor Vehicle Registration and Financial Security) must be placed on deposit with STO and held in special fund known as "Uninsured Motorists Fund"	321
56-10-554	DMV must deposit \$50 uninsured motor vehicle fee paid per vehicle with STO to be held in special account called "Recoupment Fund"	322

56-19-265(D)	Fees collected by DMV from commercial entities who transmit or receive data from DMV pursuant to this section concerning the recording of liens against motor vehicles and mobile homes must be placed by STO in special restricted account to be used by DMV to defray expenses	323
56-35-60(A)	Establishes within STO fund separate and distinct from general fund and all other funds entitled Diesel Idling Reduction Fund	324
57-11-20(A)	State Highway Fund and State Non-Federal Aid Highway Fund must be held and managed by STO separate from general fund	327
58-31-110	Remaining balance of all revenues earned by S.C. Public Service Authority after necessary expenses, payment of principal and interest on bonds, etc. must be paid over semiannually to STO for general fund of State to be used to reduce tax burdens on people of State	333
59-21-1010	Provides that revenue derived from certain sales and use taxes must be remitted to STO and credited to state public school building fund or in the S.C. Education Improvement Act of 1984 Fund	339
59-25-140	Board of examiners for teachers must remit \$0.50 fee for duplicate certificates to STO to be used by board for certain expenses	340
59-26-20(j)	Appropriations for loans and administrative costs incurred by S.C. Student Loan Corporation must be provided in annual amounts to STO for use by corporation	341
59-47-90	All funds collected from students attending School for the Deaf and Blind as maintenance fees must be remitted to STO for deposit in special fund to be used for capital improvements at the school	345
59-53-1830	Appoints State Treasurer custodian of federal funds received by State pursuant to federal act entitled: "To Provide for the Promotion of Vocational Education; to Provide for Cooperation with the States in the Promotion of Such Education in Agriculture and the Trades and Industries; to Provide for Cooperation with the States in the Preparation of Teachers of Vocational Subjects; and to Appropriate Money and Regulate Its Expenditure"	348
59-101-360(A)	Directs STO to credit sales tax revenue from tax on catalog sales to Mail Order Sales Tax Fund, which is separate and distinct from State general fund	359
59-104-230	Directs STO to establish separate fund consisting of funds appropriated for all endowed professorships plus accrued interest	360

59-104-240	Directs STO to establish separate fund consisting of funds appropriated for all salary enhancements plus accrued interest	361
59-111-75	Directs STO to disburse funds from account to be used for college loans for National Guard members as requested by Commission on Higher Education and upon warrant of Comptroller General	364
59-113-45	Directs STO to transfer interest accruing on balance of undisbursed tuition grant funds to S.C. Tuition Grant Commission	365
59-115-70	Establishes STO as custodian of sinking fund established for revenue from revenue bonds issued by State Education Assistance Authority	367
59-119-1030(1)(b)	Directs STO to deposit any admission fees and special student fees received from Clemson University into debt service fund	372
59-121-430	Directs STO to deposit any admissions fees and special student fees received from Citadel into debt service fund	374
59-125-630	Directs STO to deposit any admissions fees and special student fees received from Winthrop into debt service fund	378
59-143-10	Revenue received pursuant to Section 48-46-40(E)(3) must be deposited by STO in separate fund entitled "Children's Education Endowment"; STO responsible for allocating such funds in accordance with section	383
59-150-340	Net proceeds received from state lottery for education must be deposited by STO in separate fund entitled "Education Lottery Account"	387
61-4-250	Penalties provided for in this section concerning beer and wine must be paid to STO for credit to general fund for public school use	389
61-4-260	Generally, all monies received by DOR or SLED under this chapter must be deposited with STO to credit of general fund	390
61-6-1810(B)	Fees for biennial licenses must be deposited with STO	391
61-6-2970	All monies received by DOR under this article concerning importation of alcoholic liquors must be deposited with STO to credit of general fund	393
61-6-4260	Penalties provided in this article for violations of Alcoholic Beverage Control Act must be paid to STO for credit to general fund for public school use	394
61-6-4270	Penalties provided in this section must be paid to STO for credit to general fund for public school use	395
61-6-4310	Proceeds from sale of seized alcoholic liquors must be turned over to STO after costs of confiscation and sale are paid	396

63-9-1370(C)	Fees collected for adoption and related services must be forwarded to STO which holds them in separate account	398
63-19-410(D)	All funds collected by Dept. of Juvenile Justice pursuant to this section must be deposited with STO for use of DJJ in defraying cost of services	400
11-40-230	Directs that all money of S.C. Infrastructure Facilities Authority is deposited with STO, and authorizes STO to invest such funds	87
50-1-310(A); 50-3-180(A)	Establishes STO as custodian of Mitigation Trust Fund and authorizes STO to invest its assets in interest-bearing accounts	287
50-3-720	Establishes STO as custodian of Wildlife Endowment Fund of DNR and authorizes STO to invest its assets	289
50-3-910	Establishes STO as custodian of Jocassee Gorges Trust Fund and authorizes STO to invest its assets	290
50-3-1010	Establishes STO as custodian of Tom Yawkey Wildlife Center Trust Fund and authorizes STO to invest its assets in interest-bearing account	291
52-5-120	Directs STO to maintain "Springdale Fund," accept additional gifts to the fund, invest the fund as STO deems appropriate, and quarterly deliver income from investments to the Carolina Cup Racing Association for maintenance and operation of its facility	304
59-69-40	Directs State Superintendent of Education to pay moneys and income from gifts of land, money, personal property, etc. given to him for educational purposes to State Treasury; STO is authorized to invest such funds in name of State	352
11-13-30	Grants STO exclusive authority in State government to invest and deposit public funds	66
59-53-162	Proceeds of bonds issued by State Board of Technical and Comprehensive Education must be delivered to STO and retained by STO in special fund or funds applied to purpose for which bonds issued; authorizes STO to make temporary investments of funds derived from proceeds of bonds	346
59-119-1020	Proceeds of all Clemson University athletic facilities revenue bonds must be delivered to STO or corporate trust designee; STO is authorized to make temporary investments of such funds	371
59-121-420	Proceeds of all Citadel athletic facilities bonds must be delivered to STO or corporate trust designee; STO is authorized to make temporary investments of funds derived from proceeds of bonds	373

59-125-620	Proceeds of all Winthrop University Athletic Bonds must be delivered to STO or its corporate trust designee; STO authorized to make temporary investments of proceeds of bonds	377
59-127-410	Proceeds of all S.C. State University special obligation bonds must be delivered to STO and retained in special fund; authorizes STO to make temporary investments of proceeds of bonds	379
59-127-450	Directs STO to deposit revenues derived from S.C. State University special obligation bonds in the Debt Service Fund and to utilize proceeds for payment of principal and interest on bonds; authorizes STO to invest monies in fund	380
59-133-320	Proceeds of all Francis Marion University athletic facilities revenue bonds must be delivered to STO or its corporate trust designee; STO authorized to make temporary investments of such funds	382
44-20-1170(A)	S.C. Commission on Disabilities and Special Needs must remit revenues to STO for credit to a special fund which must be applied to meet sums due by Commission under its obligations; monies from special fund also must be applied by STO to payment of principal and interest on outstanding state capital improvement bonds	235
55-11-340(20)(f)	Directs STO, upon receipt of ad valorem taxes collected by Richland and Lexington county treasurers for purpose of paying principal and interest on bonds issued by Richland-Lexington Airport Commission, to set funds apart in special fund and apply them solely to payment of principal and interest of bonds; when all outstanding principal and interest bond payments have been made, STO is directed to transfer balance in special fund to general fund of the Airport Commission	306
59-53-165	Authorizes STO to accept custody of revenues derived from any special student fee, to deposit them in applicable debt service fund and bond reserve fund for payment of principal and interest	347
59-123-95	If MUSC borrows money to acquire diagnostic and therapeutical equipment by issuing notes payable from charges for service or use rendered by such equipment, charges must be remitted to STO and deposited in special fund to be applied to payment of principal and interest on notes	376
59-131-25	Proceeds of bonds issued College of Charleston pursuant to this Chapter shall be deposited with STO and used to defray cost of acquiring and constructing parking facilities as well as to defray cost of issuing bonds	381

12-4-395	Authorizes STO to enter into contracts on behalf of DOR to accept credit cards	100
12-21-90	STO pays seller of goods refund for taxes paid on goods sold and shipped to another upon warrant or order from DOR	104
12-60-500	STO pays tax refunds upon order from DOR	119
14-15-60	STO shall pay special court stenographers amount due for services upon warrant from Comptroller General	131
17-9-70	STO pays amounts due agents appointed by Governor to bring fugitives into State upon approval of accounts by Governor endorsed by Comptroller General	137
6-4-35	Directs STO to withhold amount of expenditures from municipalities and counties found to be noncompliant by Tourism Expenditure Review Committee	16
6-7-155	STO responsible for remitting to each regional council of government its share of state funds approved by Executive Budget Office	20
6-11-300	STO responsible for prescribing form for reports from state entities that provide natural gas to residents of state	21
6-27-20	STO responsible for administering Local Government Fund	22
6-27-40	STO responsible for distributing monies appropriated to Local Government Fund in accordance with requirements of section	23
11-5-10	Provides that State Treasurer receives annual salary as provided by General Assembly and may employ personnel "as may be provided by law."	35
11-5-90	Directs STO to remit all funds from United States property in Clarks Hill project area to appropriate county treasurers	38
11-13-10	Authorizes STO to have a safe in a vault for placing and keeping State monies	64
11-13-20	Requires STO to deposit other State funds in banks or trust companies approved by B&CB	65
11-13-110	Grants STO authority to determine whether State entities may deposit with bank or other financial institution a revolving fund and also determines amount of such revolving funds	70
12-37-2850	STO must distribute motor carrier taxes in accordance with Section 12-37-2870	115
Title 12, Chapter 53	STO must pay certain fees and costs associated with tax collection by DOR upon warrant from DOR	117
13-11-120	All funds of New Horizons Development Authority must be deposited in banks designated by STO	125

25-11-45	County Veterans Affairs Offices are funded with monies appropriated by General Assembly and payable directly to County Treasurer's Office by STO	154
41-31-630(9)	Upon certification from S.C. Department of Employment and Workforce that a government entity which is a "covered employer" is delinquent in payments due, STO directed to deduct amount of delinquency from funds payable to delinquent entity and pay to DEW in satisfaction of such delinquency	201
41-31-820(B)	Authorizes and directs STO to pay from State general fund to DEW amounts necessary to cover unemployment benefit claims which exceed amounts paid into Unemployment Compensation Fund	202
41-43-110(B)	Grants STO approval authority over selection of trustee under any security agreement entered into by S.C. Jobs-Economic Development Authority	213
41-43-210	Provides that all JEDA funds must be deposited in a financial institution or institutions designated by STO in accordance with policies established by JEDA board	215
44-49-80	Makes STO responsible for determining manner in which appropriations from Education Improvement Act of 1984 Fund are transferred to S.C. Dept. of Alcohol and other Drug Abuse Services	243
44-56-160	STO responsible for disbursing funds from certain hazardous waste fees quarterly to counties which contain hazardous waste land disposal sites	247
46-33-50	Out-of-state shippers of trees, plants, and shrubs must pay annual license tax of \$100 to do business in this State to STO which shall issue a receipt for it	263
48-5-170	Upon notice from S.C. Water Quality Revolving Fund Authority that a project sponsor has failed to make timely payment to Authority pursuant to some agreement, STO must withhold from project sponsor a sufficient amount from a state appropriation to sponsor to pay amount due	274
48-9-320	All appropriations made for purpose of this chapter (Soil and Water Conversation Districts Law) shall be disbursed by STO on warrants from DHEC	275
48-23-260	Directs STO to pay any county containing State forest lands amount equal to 25% of gross proceeds received by State in each fiscal year from sale of timber and other privileges on State forest lands in the county	280

48-23-270	Directs STO to pay any county containing State park lands amount equal to 25% of gross proceeds received by State each fiscal year from sale of lumber and other products on such State park lands in such county; such payments shall be in lieu of taxes	281
51-13-820	All funds of Patriot's Point Development Authority must be deposited in a bank or banks designated by STO	302
51-17-30(3)	Requires DNR's Bond Reserve Fund to be held in custody of STO or a corporate trust department of a financial institution selected by STO	303
56-9-580	Proof of financial responsibility may be evidenced by certification of State Treasurer that person named has deposited with STO \$35,000 in cash or securities; deposit must be held by STO to satisfy any execution on a judgment issued against person making deposit	319
56-9-600	STO must return money or securities to person entitled thereto upon direction from DMV	320
59-21-130	STO makes payments to county treasurers for state school aid due upon warrants issued by Comptroller General	338
59-107-30	Provides that all tuition fees received by any state institution be remitted to State Treasurer under such regulations as he shall prescribe	362
59-123-20	Provides STO may receive and securely hold property conveyed to State by Medical University of South Carolina	375
61-6-2010(B)	Filing and permit fees for temporary alcohol permits must be distributed by STO to municipality or county in which retailer who paid fee is located	392
Proviso 98.7, 2015-16 Appropriations Act	Allocation of withheld accommodations tax revenues	406
Proviso 98.9, 2015-16 Appropriations Act	Penalties against counties and municipalities for non-reporting	408
Proviso 98.10, 2015-16 Appropriations Act	Authorizes State Treasurer to designate certain employees to sign payments to meet ordinary expenses of State	409
11-13-60	Establishes forms of security qualified public depositories must provide that hold State funds deposited by STO and methods of securing, and establishes STO's responsibilities with respect to recordkeeping and accepting collateral and other forms of security	68
59-118-60	Authorizes STO to manage and invest monies in Higher Education Matching Gift Fund	368

Title 11, Chapter 43	Grants STO various powers and responsibilities with respect to S.C. Transportation Infrastructure Bank, including responsibility of holding bank accounts in trust, withholding State funds from government entities that fail to remit funds due the bank, power to invest funds of bank, power to issue bonds of bank, responsibility of securing the bank's bonds, and authority to use tax revenues to pay principal and interest on bank's bonds	89
11-37-260	Authorizes STO to withhold State funds from local governments that fail to pay principal or interest on its obligations	82
11-40-200	Authorizes STO to withhold State funds from local governments that fail to collect and remit in full amounts due S.C. Infrastructure Facilities Authority	86
11-50-120	Authorizes STO to withhold State funds from eligible entity that fails to remit amounts due to S.C. Rural Infrastructure Authority	94
59-146-140	Proceeds of sale of state school facilities bonds must be received by STO and applied to purposes for which issued	386

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	<i>O4.1.1</i>
Description	<i>Obtain the best return possible within the prescribed parameters on a portfolio basis, while maintaining liquidity and meeting or exceeding the applicable benchmarks, all while preserving capital.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>Investing based on appropriate investment objectives, ensures taxpayers that revenues will be invested appropriately, and funds will be available to meet State expenses.</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Utilize the capital markets and existing relationships with the broker/dealers to facilitate the proper management of all investment funds for the State and its agencies, as well as the Local Government Investment Pool.</i>
Higher Goal Strategy Supports:	<i>Investments: Manage all investment programs in accordance with State law as applicable, in an effective manner while preserving capital, maintaining liquidity and obtaining the best return within the appropriate risk parameters.</i>

RESPONSIBLE LEAD

Name	<i>West Summers</i>
Length of Time (individual has been responsible for goal, strategy or objective) in months	5 months
Position	<i>Program Manager III</i>
Office Address	<i>Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201</i>
Dept/Divison	<i>Investment Management</i>

Dept/Division Summary	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners
Jamison, Eaton & Woods (JE&W)	JE&W serves as the Investment Advisor providing market insight, sector and security analysis to the State Treasurer's Office.
Pension Consulting Alliance (PCA)	PCA serves as the Investment Consultant providing recommendations on Investment Policy and best practices as well as compliance by both the Division and Advisor.

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
Net accrued income	1-2 years	Total accrued income generated from all portfolios	N/A	N/A	N/A	N/A	\$138,467,711	N/A	STO will update once 2014/15 Accountability Report is complete d.	TBD	5

Cash income	1-2 years	Total cash income in all portfolios	N/A	N/A	N/A	N/A	\$138,283,311	N/A	STO will update once 2014/15 Accountability Report is complete d.	TBD	6
Average daily balance	1-2 years	Average balance of portfolios under STO management	N/A	N/A	N/A	N/A	\$13,077,391,194	N/A	STO will update once 2014/15 Accountability Report is complete d.	TBD	7
Investment Balances	1-2 years	Total Bank Balance plus CD Balance	N/A	N/A	N/A	N/A	\$9,173,678,235	N/A	STO will update once 2014/15 Accountability Report is complete d.	TBD	12

Questions Related to Performance Measure Net accrued income

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	A measurement of "best return possible within the prescribed parameters..." and Cash Flow
Reasoning for 2014-15 Target Value?	NA
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Reasoning for missing 2014-15 Target Value, if missed?	NA
Work being done to improve deficiencies?	NA
Reasoning for 2015-16 Target Value?	NA
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	West Summers, Tonia Morris
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	West Summers, Tonia Morris
Making any changes needed to ensure the target value is reached	West Summers, Tonia Morris
Names and Titles of individuals who set this as a performance measure	Shakun Talihani, Diana Raven

Questions Related to Performance Measure Cash Income

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	A measurement of "best return possible within the prescribed parameters..." and Cash Flow
Reasoning for 2014-15 Target Value?	NA
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Reasoning for missing 2014-15 Target Value, if missed?	NA

Work being done to improve deficiencies?	NA
Reasoning for 2015-16 Target Value?	NA
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	West Summers, Tonia Morris
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	West Summers, Tonia Morris
Making any changes needed to ensure the target value is reached	West Summers, Tonia Morris
Names and Titles of individuals who set this as a performance measure	Shakun Talihani, Diana Raven

Questions Related to Performance Measure Average Daily Balance

Why was this performance measure chosen as a gauge of whether the objective had been	A measurement of "best return possible within the prescribed parameters..." and Cash Flow
Reasoning for 2014-15 Target Value?	NA
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Reasoning for missing 2014-15 Target Value, if missed?	NA
Work being done to improve deficiencies?	NA
Reasoning for 2015-16 Target Value?	NA
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Provide the names of employees who are responsible for...	
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Making any changes needed to ensure the target value is reached	West Summers, Tonia Morris
Names and Titles of individuals who set this as a performance measure	Shakun Talihani, Diana Raven

Questions Related to Performance Measure Investment Balance

Why was this performance measure chosen as a gauge of whether the objective had been	A measurement of "best return possible within the prescribed parameters..." and Cash Flow
Reasoning for 2014-15 Target Value?	NA
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Reasoning for missing 2014-15 Target Value, if missed?	NA
Work being done to improve deficiencies?	NA
Reasoning for 2015-16 Target Value?	NA
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	West Summers, Tonia Morris
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	West Summers, Tonia Morris
Making any changes needed to ensure the target value is reached	West Summers, Tonia Morris
Names and Titles of individuals who set this as a performance measure	Shakun Talihani, Diana Raven

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YYYY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2010	6/30/2011
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2011	6/30/2012
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2012	6/30/2013
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2013	6/30/2014
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
Would result in lower returns impacting funds generated to the General Fund.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
11-9-660	Grants State Treasurer full power to invest and reinvest all funds of the State, prescribes types of investments State Treasurer can make, and expressly authorizes State Treasurer to contract to lend securities pursuant to this section

§ 11-13-30	Grants State Treasurer exclusive authority within state government to invest and deposit funds, such funds to draw best rate of interest obtainable
§ 6-6-10	Notwithstanding any other provision of law, the State Treasurer may establish and maintain a common trust fund to be known as the South Carolina Pooled Investment Fund in which may be deposited public monies in excess of current needs which are under the custody of any county treasurer or the governing body of any municipality, county, school district, regional council of government, or any other political subdivision of the State.
12-45-220(C)	State Treasurer may assist local governments in investing funds that are temporarily in excess of operating needs
Proviso 98.3, 2015-16 Appropriations Act	State Treasurer may pool funds from accounts for investment purposes and may invest all monies in the same types of investments as set forth in Section 11-9-660
Proviso 98.5, 2015-16 Appropriations Act	Allows STO to charge a fee for operating and management costs associated with the investment management and support operations of various state funds and programs which does not exceed actual cost of services

LAWS TO FURTHER EVALUATE

<i>Instructions:</i> The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.				
Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
11-40-230	Directs that all money of S.C. Infrastructure Facilities Authority is deposited with STO, and authorizes STO to invest such funds	87		
50-1-310(A); 50-3-180(A)	Establishes STO as custodian of Mitigation Trust Fund and authorizes STO to invest its assets in interest-bearing accounts	287		
50-3-720	Establishes STO as custodian of Wildlife Endowment Fund of DNR and authorizes STO to invest its assets	289		
50-3-910	Establishes STO as custodian of Jocassee Gorges Trust Fund and authorizes STO to invest its assets	290		
50-3-1010	Establishes STO as custodian of Tom Yawkey Wildlife Center Trust Fund and authorizes STO to invest its assets in interest-bearing account	291		
52-5-120	Directs STO to maintain "Springdale Fund," accept additional gifts to the fund, invest the fund as STO deems appropriate, and quarterly deliver income from investments to the Carolina Cup Racing Association for maintenance and operation of its facility	304		

59-69-40	Directs State Superintendent of Education to pay moneys and income from gifts of land, money, personal property, etc. given to him for educational purposes to State Treasury; STO is authorized to invest such funds in name of State	352		
11-13-60	Establishes forms of security qualified public depositories must provide that hold State funds deposited by STO and methods of securing, and establishes STO's responsibilities with respect to recordkeeping and accepting collateral and other forms of security	68		
59-118-60	Authorizes STO to manage and invest monies in Higher Education Matching Gift Fund	368		
S.C. Const. Art. X, § 11	Authorizes STO to invest endowment funds donated specifically to state-supported institutions of higher learning	2		
1-11-705; 1-11-707	Establishes SC Retiree Health Insurance Trust Fund and SC Long Term Disability Insurance Trust Fund in State Treasury, names STO as custodian of funds, and authorizes STO to invest such funds	11		
6-5-30	Authorizes STO to assist local governments with investing funds in excess of operating needs	18		
6-6-10	Authorizes STO to establish and maintain SC Pooled Investment Fund	19		
8-23-20; 8-23-30	Grants STO advice and approval authority over investments made by PEBA with respect to funds of Deferred Compensation Program	28		
11-11-170(C)	Authorizes STO to invest revenues payable to State pursuant to Tobacco Master Settlement Agreement	63		
11-37-230	Authorizes STO to invest funds of S.C. Resources Authority	81		
11-50-150	Authorizes STO to invest funds of the S.C. Rural Infrastructure Authority	95		
12-45-220(C)	Authorizes STO to assist local governments with investing funds in excess of operating needs	116		
13-1-740	Authorizes STO to invest funds of Division of Savannah Valley Development	122		
13-19-140	Authorizes STO to invest all funds of Midlands Authority	127		
13-21-150	Authorizes STO to invest all funds of Edisto Development Authority	129		
31-13-270	Authorizes STO to invest proceeds from refunding notes and bonds issued by S.C. State Housing, Finance, and Development Authority	163		
39-22-150	Authorizes STO to "invest at interest" revenues from operation of state warehouse system	181		
40-6-330	Authorizes STO to invest monies in "Auctioneer Recovery Fund"	184		
42-7-10	Authorizes STO to charge State Accident Fund, and credit to general fund, the customary investment management fee	217		

46-40-40(A)	Directs STO to administer investment of Grain Dealers Guaranty Fund	264		
46-41-230	Directs STO to administer investment of Grain Producers Guaranty Fund	268		
48-2-30	Establishes within STO account known as S.C. Environmental Protection Fund; authorizes STO to invest monies in fund for benefit of fund	272		
59-18-1550(B)	Authorizes STO to invest monies in public school assistance fund	336		
59-18-1700(B)	Authorizes STO to invest monies in fund established to provide public information campaign regarding public schools	337		
59-29-510(A)	Authorizes STO to invest monies in Financial Literacy Trust	342		
59-69-10	Authorizes STO to invest federal funds received by him pursuant to act entitled "An Act to Authorize the State Treasurer to Receive from the United States Government a Certain Fund and to Hold the Same Subject to the Uses Declared by an Act of Congress"	349		
59-69-30	Authorizes STO to invest money in name of State as a permanent State school fund and pay out income to counties	351		
59-115-60	Authorizes STO to invest moneys in State Education Assistance Authority Loan Fund	366		
59-119-100	Authorizes STO to invest funds derived from Clemson bequest in manner directed by Governor, Comptroller General and State Treasurer, or any 2 of them	370		
59-150-350(B)	Authorizes STO to invest monies in Education Lottery Account	388		
63-11-1750	Authorizes STO to invest monies in S.C. First Steps to School Readiness funds	399		
Proviso 98.3, 2015-16 Appropriations Act	STO may pool funds from accounts for investment purposes and invest all monies in same types of investments set forth in Section 11-9-660	402		
Proviso 98.5, 2015-16 Appropriations Act	Authorizes STO to charge fee for operating and management costs associated with investment management and support operations of various state funds and programs	404		
6-5-15(E)(1)(b)	Allows qualified public depository to secure uninsured funds under Pooling Method where pool of collateral is established under direction of STO; STO required to determine requirements and operating procedures for pool; STO responsible for monitoring and ensuring depository's compliance and providing monthly reports to each local entity in pool	17		
11-13-30	Grants STO exclusive authority in State government to invest and deposit public funds	66		
11-9-660	Grants STO full power to invest all funds of State and authorizes STO to contract to lend securities	61		

59-53-162	Proceeds of bonds issued by State Board of Technical and Comprehensive Education must be delivered to STO and retained by STO in special fund or funds applied to purpose for which bonds issued; authorizes STO to make temporary investments of funds derived from proceeds of bonds	346		
59-119-1020	Proceeds of all Clemson University athletic facilities revenue bonds must be delivered to STO or corporate trust designee; STO is authorized to make temporary investments of such funds	371		
59-121-420	Proceeds of all Citadel athletic facilities bonds must be delivered to STO or corporate trust designee; STO is authorized to make temporary investments of funds derived from proceeds of bonds	373		
59-125-620	Proceeds of all Winthrop University Athletic Bonds must be delivered to STO or its corporate trust designee; STO authorized to make temporary investments of proceeds of bonds	377		
59-127-410	Proceeds of all S.C. State University special obligation bonds must be delivered to STO and retained in special fund; authorizes STO to make temporary investments of proceeds of bonds	379		
59-127-450	Directs STO to deposit revenues derived from S.C. State University special obligation bonds in the Debt Service Fund and to utilize proceeds for payment of principal and interest on bonds; authorizes STO to invest monies in fund	380		
59-133-320	Proceeds of all Francis Marion University athletic facilities revenue bonds must be delivered to STO or its corporate trust designee; STO authorized to make temporary investments of such funds	382		
Title 11, Chapter 43	Grants STO various powers and responsibilities with respect to S.C. Transportation Infrastructure Bank, including responsibility of holding bank accounts in trust, withholding State funds from government entities that fail to remit funds due the bank, power to invest funds of bank, power to issue bonds of bank, responsibility of securing the bank's bonds, and authority to use tax revenues to pay principal and interest on bank's bonds	89		

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions.

NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.

STRATEGY OR OBJECTIVE

Strategy or Objective #	O4.1.2
Description	<i>Provide professional investment services for all funds under management through efficient utilization of available services.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>The Division utilizes an investment consultant and investment advisor to assist the Division in market analysis, security evaluation, and best practices.</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Utilize the capital markets and existing relationships with the broker/dealers to facilitate the proper management of all investment funds for the State and its agencies, as well as the Local Government Investment Pool.</i>
Higher Goal Strategy Supports:	<i>Investments: Manage all investment programs in accordance with State law as applicable, in an effective manner while preserving capital, maintaining liquidity and obtaining the best return within the appropriate risk parameters.</i>

RESPONSIBLE LEAD

Name	West Summers
Length of Time (individual has been responsible for goal, strategy or objective) in months	5 months
Position	Program Manager III
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	Investment Management

Dept/Division Summary	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners
Jamison, Eaton & Woods (JE&W)	JE&W serves as the Investment Advisor providing market insight, sector and security analysis to the State Treasurer's Office.
Pension Consulting Alliance (PCA)	PCA serves as the Investment Consultant providing recommendations on Investment Policy and best practices as well as compliance by both the Division and Advisor.
Bloomberg	Bloomberg AIM is the Division's trading and investment information platform/system.
BNYMellon	BNYMellon is the custody agent for STO.
QED	QED is an external investment operating system for LGIP.

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
Net accrued income	1-2 years	Total accrued income generated from all portfolios	N/A	N/A	N/A	N/A	\$138,467,711	N/A	STO will update once 2014/15 Accountability Report is completed.	TBD	5

Cash income	1-2 years	Total cash income in all portfolios	N/A	N/A	N/A	N/A	\$138,283,311	N/A	STO will update once 2014/15 Accountability Report is completed.	TBD	6
Average daily balance	1-2 years	Average balance of portfolios under STO management	N/A	N/A	N/A	N/A	\$13,077,391,194	N/A	STO will update once 2014/15 Accountability Report is completed.	TBD	7
Number of trades	5(+) years	Total investment trades made per year	1,943	2,663	3,570	3,755	4,516	N/A	STO will update once 2014/15 Accountability Report is completed.	TBD	8
LGIP Accounts	5(+) years	Number of Local Government Investment Pool participants	565	593	606	585	608	N/A	STO will update once 2014/15 Accountability Report is completed.	TBD	11
Investment Balances	1-2 years	Total Bank Balance plus CD Balance	N/A	N/A	N/A	N/A	\$9,173,678,235	N/A	STO will update once 2014/15 Accountability Report is completed.	TBD	12
STO investment services costs	1-2 years	Costs assessed to provide investment services	N/A	N/A	N/A	N/A	0.02%	Below industry averages	STO will update once 2014/15 Accountability Report is completed.	TBD	13

Questions Related to Performance Measure Net accrued income

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	A measurement of "best return possible within the prescribed parameters..." and Cash Flow
Reasoning for 2014-15 Target Value?	NA
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Reasoning for missing 2014-15 Target Value, if missed?	NA
Work being done to improve deficiencies?	NA
Reasoning for 2015-16 Target Value?	NA
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	West Summers, Tonia Morris

Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	West Summers, Tonia Morris
Making any changes needed to ensure the target value is reached	West Summers, Tonia Morris
Names and Titles of individuals who set this as a performance measure	Shakun Talihani, Diana Raven

Questions Related to Performance Measure Cash Income

Why was this performance measure chosen as a gauge of whether the objective had been	A measurement of "best return possible within the prescribed parameters..." and Cash Flow
Reasoning for 2014-15 Target Value?	NA
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Reasoning for missing 2014-15 Target Value, if missed?	NA
Work being done to improve deficiencies?	NA
Reasoning for 2015-16 Target Value?	NA
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	West Summers, Tonia Morris
Determination of whether corrective action is necessary (key objectives of correction are: (1) to	West Summers, Tonia Morris
Making any changes needed to ensure the target value is reached	West Summers, Tonia Morris
Names and Titles of individuals who set this as a performance measure	Shakun Talihani, Diana Raven

Questions Related to Performance Measure Average Daily Balance

Why was this performance measure chosen as a gauge of whether the objective had been	A measurement of "best return possible within the prescribed parameters..." and Cash Flow
Reasoning for 2014-15 Target Value?	NA
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Reasoning for missing 2014-15 Target Value, if missed?	NA
Work being done to improve deficiencies?	NA
Reasoning for 2015-16 Target Value?	NA
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	West Summers, Tonia Morris
Determination of whether corrective action is necessary (key objectives of correction are: (1) to	West Summers, Tonia Morris
Making any changes needed to ensure the target value is reached	West Summers, Tonia Morris
Names and Titles of individuals who set this as a performance measure	Shakun Talihani, Diana Raven

Questions Related to Performance Measure Net number of Trades

Why was this performance measure chosen as a gauge of whether the objective had been	A measurement of output
Reasoning for 2014-15 Target Value?	NA
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Reasoning for missing 2014-15 Target Value, if missed?	NA
Work being done to improve deficiencies?	NA
Reasoning for 2015-16 Target Value?	NA
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	West Summers, Tonia Morris
Determination of whether corrective action is necessary (key objectives of correction are: (1) to	West Summers, Tonia Morris
Making any changes needed to ensure the target value is reached	West Summers, Tonia Morris
Names and Titles of individuals who set this as a performance measure	Shakun Talihani, Diana Raven

Questions Related to Performance Measure LGIP

Why was this performance measure chosen as a gauge of whether the objective had been	An Output measure describing the number of participants and Cash Flow
Reasoning for 2014-15 Target Value?	NA

Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Reasoning for missing 2014-15 Target Value, if missed?	NA
Work being done to improve deficiencies?	NA
Reasoning for 2015-16 Target Value?	NA
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	West Summers, Tonia Morris
Determination of whether corrective action is necessary (key objectives of correction are: (1) to	West Summers, Tonia Morris
Making any changes needed to ensure the target value is reached	West Summers, Tonia Morris
Names and Titles of individuals who set this as a performance measure	Shakun Talihani, Diana Raven

Questions Related to Performance Measure Investment Balance

Why was this performance measure chosen as a gauge of whether the objective had been	A measurement of "best return possible within the prescribed parameters..." and Cash Flow
Reasoning for 2014-15 Target Value?	NA
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Reasoning for missing 2014-15 Target Value, if missed?	NA
Work being done to improve deficiencies?	NA
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Determination of whether corrective action is necessary (key objectives of correction are: (1) to	West Summers, Tonia Morris
Making any changes needed to ensure the target value is reached	West Summers, Tonia Morris
Names and Titles of individuals who set this as a performance measure	Shakun Talihani, Diana Raven

Questions Related to Performance Measure Investment Service Costs

Why was this performance measure chosen as a gauge of whether the objective had been	An outcome measure reflecting savings, costs and efficiency
Reasoning for 2014-15 Target Value?	NA
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Reasoning for missing 2014-15 Target Value, if missed?	NA
Work being done to improve deficiencies?	NA
Reasoning for 2015-16 Target Value?	NA
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
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Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012

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Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2010	6/30/2011
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2011	6/30/2012
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2012	6/30/2013
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2013	6/30/2014
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
Would result in ax investment of funds resulting in a negative impact to the State and State agencies.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
11-9-660	Grants State Treasurer full power to invest and reinvest all funds of the State, prescribes types of investments State Treasurer can make, and expressly authorizes State Treasurer to contract to lend securities pursuant to this section
§ 11-13-30	Grants State Treasurer exclusive authority within state government to invest and deposit funds, such funds to draw best rate of interest obtainable
§ 6-6-10	Notwithstanding any other provision of law, the State Treasurer may establish and maintain a common trust fund to be known as the South Carolina Pooled Investment Fund in which may be deposited public monies in excess of current needs which are under the custody of any county treasurer or the governing body of any municipality, county, school district, regional council of government, or any other political subdivision of the State.
12-45-220(C)	State Treasurer may assist local governments in investing funds that are temporarily in excess of operating needs

Proviso 98.3, 2015-16 Appropriations Act	State Treasurer may pool funds from accounts for investment purposes and may invest all monies in the same types of investments as set forth in Section 11-9-660
Proviso 98.5, 2015-16 Appropriations Act	Allows STO to charge a fee for operating and management costs associated with the investment management and support operations of various state funds and programs which does not exceed actual cost of services

LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
11-40-230	Directs that all money of S.C. Infrastructure Facilities Authority is deposited with STO, and authorizes STO to invest such funds	87		
50-1-310(A); 50-3-180(A)	Establishes STO as custodian of Mitigation Trust Fund and authorizes STO to invest its assets in interest-bearing accounts	287		
50-3-720	Establishes STO as custodian of Wildlife Endowment Fund of DNR and authorizes STO to invest its assets	289		
50-3-910	Establishes STO as custodian of Jocassee Gorges Trust Fund and authorizes STO to invest its assets	290		
50-3-1010	Establishes STO as custodian of Tom Yawkey Wildlife Center Trust Fund and authorizes STO to invest its assets in interest-bearing account	291		
52-5-120	Directs STO to maintain "Springdale Fund," accept additional gifts to the fund, invest the fund as STO deems appropriate, and quarterly deliver income from investments to the Carolina Cup Racing Association for maintenance and operation of its facility	304		
59-69-40	Directs State Superintendent of Education to pay moneys and income from gifts of land, money, personal property, etc. given to him for educational purposes to State Treasury; STO is authorized to invest such funds in name of State	352		
11-13-60	Establishes forms of security qualified public depositories must provide that hold State funds deposited by STO and methods of securing, and establishes STO's responsibilities with respect to recordkeeping and accepting collateral and other forms of security	68		
59-118-60	Authorizes STO to manage and invest monies in Higher Education Matching Gift Fund	368		
S.C. Const. Art. X, § 11	Authorizes STO to invest endowment funds donated specifically to state-supported institutions of higher learning	2		

1-11-705; 1-11-707	Establishes SC Retiree Health Insurance Trust Fund and SC Long Term Disability Insurance Trust Fund in State Treasury, names STO as custodian of funds, and authorizes STO to invest such funds	11
6-5-30	Authorizes STO to assist local governments with investing funds in excess of operating needs	18
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8-23-20; 8-23-30	Grants STO advice and approval authority over investments made by PEBA with respect to funds of Deferred Compensation Program	28
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11-37-230	Authorizes STO to invest funds of S.C. Resources Authority	81
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46-40-40(A)	Directs STO to administer investment of Grain Dealers Guaranty Fund	264
46-41-230	Directs STO to administer investment of Grain Producers Guaranty Fund	268
48-2-30	Establishes within STO account known as S.C. Environmental Protection Fund; authorizes STO to invest monies in fund for benefit of fund	272
59-18-1550(B)	Authorizes STO to invest monies in public school assistance fund	336
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59-125-620	Proceeds of all Winthrop University Athletic Bonds must be delivered to STO or its corporate trust designee; STO authorized to make temporary investments of proceeds of bonds	377
59-127-410	Proceeds of all S.C. State University special obligation bonds must be delivered to STO and retained in special fund; authorizes STO to make temporary investments of proceeds of bonds	379
59-127-450	Directs STO to deposit revenues derived from S.C. State University special obligation bonds in the Debt Service Fund and to utilize proceeds for payment of principal and interest on bonds; authorizes STO to invest monies in fund	380
59-133-320	Proceeds of all Francis Marion University athletic facilities revenue bonds must be delivered to STO or its corporate trust designee; STO authorized to make temporary investments of such funds	382
Title 11, Chapter 43	Grants STO various powers and responsibilities with respect to S.C. Transportation Infrastructure Bank, including responsibility of holding bank accounts in trust, withholding State funds from government entities that fail to remit funds due the bank, power to invest funds of bank, power to issue bonds of bank, responsibility of securing the bank's bonds, and authority to use tax revenues to pay principal and interest on bank's bonds	89

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	O4.1.3
Description	Meet or exceed the General Fund budget projection for investment earnings each year.
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	2013-2014 Actual \$19.53mm vs. \$19mm (Goal)

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	Utilize the capital markets and existing relationships with the broker/dealers to facilitate the proper management of all investment funds for the State and its agencies, as well as the Local Government Investment Pool.
Higher Goal Strategy Supports:	Investments: Manage all investment programs in accordance with State law as applicable, in an effective manner while preserving capital, maintaining liquidity and obtaining the best return within the appropriate risk parameters.

RESPONSIBLE LEAD

Name	West Summers
Length of Time (individual has been responsible for goal, strategy or objective) in months	5 months
Position	Program Manager III
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201

Dept/Divison	<i>Investment Management</i>
Dept/Division Summary	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.

MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners
Jamison, Eaton & Woods (JE&W)	JE&W serves as the Investment Advisor providing market insight, sector and security analysis to the State Treasurer's Office.

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
Net accrued income	1-2 years	Total accrued income generated from all portfolios	N/A	N/A	N/A	N/A	#####	N/A	STO will update once 2014/15 Accountability Report is completed.	TBD	5

Cash income	1-2 years	Total cash income in all portfolios	N/A	N/A	N/A	N/A	#####	N/A	STO will update once 2014/15 Accountability Report is completed.	TBD	6
Average daily balance	1-2 years	Average balance of portfolios under STO management	N/A	N/A	N/A	N/A	#####	N/A	STO will update once 2014/15 Accountability Report is completed.	TBD	7
Investment Balances	1-2 years	Total Bank Balance plus CD Balance	N/A	N/A	N/A	N/A	#####	N/A	STO will update once 2014/15 Accountability Report is completed.	TBD	12

Questions Related to Performance Measure Net accrued income

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	A measurement of "best return possible within the prescribed parameters..." and Cash Flow
Reasoning for 2014-15 Target Value?	NA
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Reasoning for missing 2014-15 Target Value, if missed?	NA
Work being done to improve deficiencies?	NA
Reasoning for 2015-16 Target Value?	NA
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	West Summers, Tonia Morris
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	West Summers, Tonia Morris
Making any changes needed to ensure the target value is reached	West Summers, Tonia Morris
Names and Titles of individuals who set this as a performance measure	Shakun Talihani, Diana Raven

Questions Related to Performance Measure Cash Income

Why was this performance measure chosen as a gauge of whether the objective had been	A measurement of "best return possible within the prescribed parameters..." and Cash Flow
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Reasoning for 2014-15 Target Value?	NA
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Reasoning for missing 2014-15 Target Value, if missed?	NA
Work being done to improve deficiencies?	NA
Reasoning for 2015-16 Target Value?	NA
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	West Summers, Tonia Morris
Determination of whether corrective action is necessary (key objectives of correction are: (1) to	West Summers, Tonia Morris
Making any changes needed to ensure the target value is reached	West Summers, Tonia Morris
Names and Titles of individuals who set this as a performance measure	Shakun Talihani, Diana Raven

Questions Related to Performance Measure Average Daily Balance

Why was this performance measure chosen as a gauge of whether the objective had been	A measurement of "best return possible within the prescribed parameters..." and Cash Flow
Reasoning for 2014-15 Target Value?	NA
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Reasoning for missing 2014-15 Target Value, if missed?	NA
Work being done to improve deficiencies?	NA
Reasoning for 2015-16 Target Value?	NA
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	West Summers, Tonia Morris
Determination of whether corrective action is necessary (key objectives of correction are: (1) to	West Summers, Tonia Morris
Making any changes needed to ensure the target value is reached	West Summers, Tonia Morris
Names and Titles of individuals who set this as a performance measure	Shakun Talihani, Diana Raven

Questions Related to Performance Measure Investment Balance

Why was this performance measure chosen as a gauge of whether the objective had been	A measurement of "best return possible within the prescribed parameters..." and Cash Flow
Reasoning for 2014-15 Target Value?	NA
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Reasoning for missing 2014-15 Target Value, if missed?	NA
Work being done to improve deficiencies?	NA
Reasoning for 2015-16 Target Value?	NA
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	West Summers, Tonia Morris
Determination of whether corrective action is necessary (key objectives of correction are: (1) to	West Summers, Tonia Morris
Making any changes needed to ensure the target value is reached	West Summers, Tonia Morris
Names and Titles of individuals who set this as a performance measure	Shakun Talihani, Diana Raven

REVIEWS/AUDITS

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Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2010	6/30/2011
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2011	6/30/2012
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2012	6/30/2013
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2013	6/30/2014
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

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Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
N/A - It wouldn't impact the public.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
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59-125-620	Proceeds of all Winthrop University Athletic Bonds must be delivered to STO or its corporate trust designee; STO authorized to make temporary investments of proceeds of bonds	377
59-127-410	Proceeds of all S.C. State University special obligation bonds must be delivered to STO and retained in special fund; authorizes STO to make temporary investments of proceeds of bonds	379
59-127-450	Directs STO to deposit revenues derived from S.C. State University special obligation bonds in the Debt Service Fund and to utilize proceeds for payment of principal and interest on bonds; authorizes STO to invest monies in fund	380
59-133-320	Proceeds of all Francis Marion University athletic facilities revenue bonds must be delivered to STO or its corporate trust designee; STO authorized to make temporary investments of such funds	382

Title 11, Chapter 43	Grants STO various powers and responsibilities with respect to S.C. Transportation Infrastructure Bank, including responsibility of holding bank accounts in trust, withholding State funds from government entities that fail to remit funds due the bank, power to invest funds of bank, power to issue bonds of bank, responsibility of securing the bank's bonds, and authority to use tax revenues to pay principal and interest on bank's bonds	89
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Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	O5.1.1
Description	<i>Upon selection of the SAP Enterprise Resource Planning system by the Treasurer, the goal is to be off the nearly three decade old legacy system and fully implemented on the SAP system by July 1, 2015.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>SCEIS was selected to replace the legacy Investment Management System and when implemented it will lead to additional efficiencies.</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Evaluate multiple Enterprise Resource Planning systems to determine the best one for the STO Investment Management needs and begin implementation.</i>
Higher Goal Strategy Supports:	<i>Investments: Transition from the legacy Investment Management System (IMS) to a SCEIS compatible system.</i>

RESPONSIBLE LEAD

Name	West Summers
Length of Time (individual has been responsible for goal, strategy or objective) in months	5 months
Position	Program Manager III
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201

Dept/Divison	<i>Investment Management</i>
Dept/Division Summary	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.

MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions : The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners
SCEIS Staff	<i>Communication between all partner entities to ensure that system design and business requirements are met.</i>
Bloomberg	<i>Communication between all partner entities to ensure that system design and business requirements are met.</i>

PERFORMANCE MEASURES

Instructions : The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
N/A											

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	
Reasoning for 2014-15 Target Value?	
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Reasoning for missing 2014-15 Target Value, if missed?	
Work being done to improve deficiencies?	
Reasoning for 2015-16 Target Value?	
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	

Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	
Making any changes needed to ensure the target value is reached	
Names and Titles of individuals who set this as a performance measure	

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2010	6/30/2011
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2011	6/30/2012
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2012	6/30/2013
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2013	6/30/2014
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
Continued reliance on legacy systems could put the agency statewide investment functions at risk.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
11-9-660	Grants State Treasurer full power to invest and reinvest all funds of the State, prescribes types of investments State Treasurer can make, and expressly authorizes State Treasurer to contract to lend securities pursuant to this section
§ 11-13-30	Grants State Treasurer exclusive authority within state government to invest and deposit funds, such funds to draw best rate of interest obtainable
Proviso 98.2, 2015-16 Appropriations Act	Decisions relating to Statewide Accounting and Reporting System (STARS) and the South Carolina Enterprise Information System (SCEIS) which involve the State Treasurer's Banking Operations and other functions of the State Treasurer's Office shall require the approval of the State Treasurer

LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
6-5-15(E)(1)(b)	Allows qualified public depository to secure uninsured funds under Pooling Method where pool of collateral is established under direction of STO; STO required to determine requirements and operating procedures for pool; STO responsible for monitoring and ensuring depository's compliance and providing monthly reports to each local entity in pool	17		
11-13-30	Grants STO exclusive authority in State government to invest and deposit public funds	66		
11-9-660	Grants STO full power to invest all funds of State and authorizes STO to contract to lend securities	61		

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	05.1.2
Description	Complete blueprinting sessions by October1, 2014.
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	SCEIS was selected to replace the legacy Investment Management System and when implemented it will lead to additional efficiencies.

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	Evaluate multiple Enterprise Resource Planning systems to determine the best one for the STO Investment Management needs and begin implementation.
Higher Goal Strategy Supports:	Investments: Transition from the legacy Investment Management System (IMS) to a SCEIS compatible system.

RESPONSIBLE LEAD

Name	West Summers
Length of Time (individual has been responsible for goal, strategy or objective) in months	5 months
Position	Program Manager III
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	Investment Management

Dept/Division Summary	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners
SCEIS Staff	<i>Communication between all partner entities to ensure that system design and business requirements are met.</i>
Bloomberg	<i>Communication between all partner entities to ensure that system design and business requirements are met.</i>

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Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
N/A											

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	
Reasoning for 2014-15 Target Value?	
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Reasoning for missing 2014-15 Target Value, if missed?	
Work being done to improve deficiencies?	
Reasoning for 2015-16 Target Value?	
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Provide the names of employees who are responsible for...	

Comparison of actual performance to target value	
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	
Making any changes needed to ensure the target value is reached	
Names and Titles of individuals who set this as a performance measure	

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY)
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Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
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Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2010	6/30/2011
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2011	6/30/2012
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2012	6/30/2013
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2013	6/30/2014
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
Continued reliance on legacy systems could put the agency statewide investment functions at risk.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
11-9-660	Grants State Treasurer full power to invest and reinvest all funds of the State, prescribes types of investments State Treasurer can make, and expressly authorizes State Treasurer to contract to lend securities pursuant to this section
§ 11-13-30	Grants State Treasurer exclusive authority within state government to invest and deposit funds, such funds to draw best rate of interest obtainable
Proviso 98.2, 2015-16 Appropriations Act	Decisions relating to Statewide Accounting and Reporting System (STARS) and the South Carolina Enterprise Information System (SCEIS) which involve the State Treasurer's Banking Operations and other functions of the State Treasurer's Office shall require the approval of the State Treasurer

LAWS TO FURTHER EVALUATE

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Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
6-5-15(E)(1)(b)	Allows qualified public depository to secure uninsured funds under Pooling Method where pool of collateral is established under direction of STO; STO required to determine requirements and operating procedures for pool; STO responsible for monitoring and ensuring depository's compliance and providing monthly reports to each local entity in pool	17		
11-13-30	Grants STO exclusive authority in State government to invest and deposit public funds	66		
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Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	O5.1.3
Description	Work with internal STO staff, SCEIS personnel and SAP consultants to convert, integrate and improve all functionality and processes of the Investment Management Division.
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	SCEIS was selected to replace the legacy Investment Management System and when implemented it will lead to additional efficiencies.

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	Evaluate multiple Enterprise Resource Planning systems to determine the best one for the STO Investment Management needs and begin implementation.
Higher Goal Strategy Supports:	Investments: Transition from the legacy Investment Management System (IMS) to a SCEIS compatible system.

RESPONSIBLE LEAD

Name	West Summers
Length of Time (individual has been responsible for goal, strategy or objective) in months	5 months
Position	Program Manager III
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201

Dept/Divison	<i>Investment Management</i>
Dept/Division Summary	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.

MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

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PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
Number of trades	5(+) years	Total investment trades made per year	1,943	2,663	3,570	3,755	4,516	N/A	STO will update once 2014/15 Accountability Report is completed.	TBD	8

Questions Related to Performance Measure Net number of Trades

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	A measurement of output
Reasoning for 2014-15 Target Value?	NA
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Reasoning for missing 2014-15 Target Value, if missed?	NA
Work being done to improve deficiencies?	NA
Reasoning for 2015-16 Target Value?	NA
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	West Summers, Tonia Morris
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	West Summers, Tonia Morris
Making any changes needed to ensure the target value is reached	West Summers, Tonia Morris
Names and Titles of individuals who set this as a performance measure	Shakun Talihani, Diana Raven

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

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Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2013	6/30/2014
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
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POTENTIAL NEGATIVE IMPACT

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Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
Continued reliance on legacy systems could put the agency statewide investment functions at risk.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

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LAWS TO FURTHER EVALUATE

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Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
6-5-15(E)(1)(b)	Allows qualified public depository to secure uninsured funds under Pooling Method where pool of collateral is established under direction of STO; STO required to determine requirements and operating procedures for pool; STO responsible for monitoring and ensuring depository's compliance and providing monthly reports to each local entity in pool	17		

11-13-30	Grants STO exclusive authority in State government to invest and deposit public funds	66		
11-9-660	Grants STO full power to invest all funds of State and authorizes STO to contract to lend securities	61		

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	06.1.1
Description	<i>Reduce the outstanding liability.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	Realized losses were \$14mm. Unrealized losses are \$5mm. Once paid, the debt of the State will be eliminated. AAA States do not carry unnecessary debt. Unrealized losses from 2008/2009 should be paid.

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Continually monitor and work with the custodian, Bank of New York Mellon, to resolve the realized losses from securities lending.</i>
Higher Goal Strategy Supports:	<i>Investments: Reduce the roughly \$15 million liability to the Bank of New York Mellon which resulted from the Lehman Brothers bankruptcy in securities lending.</i>

RESPONSIBLE LEAD

Name	<i>West Summers</i>
Length of Time (individual has been responsible for goal, strategy or objective) in months	5 months
Position	<i>Program Manager III</i>
Office Address	<i>Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201</i>
Dept/Divison	<i>Investment Management</i>

Dept/Division Summary	The Investment Management Division manages, according to SC Code 11-9-660, approximately \$13.1 billion in assets for both the State of South Carolina and local governments.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners
Various Entities	Communicates, coordinates and interacts with partner to achieve goal.

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
Securities lending income	1-2 years	Income generated through the securities lending program	N/A	N/A	N/A	N/A	\$186,963	N/A	STO will update once 2014/15 Accountability Report is completed.	TBD	9

Questions Related to Performance Measure Securities Lending Income

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	An outcome measurement
Reasoning for 2014-15 Target Value?	NA
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA

Reasoning for missing 2014-15 Target Value, if missed?	NA
Work being done to improve deficiencies?	NA
Reasoning for 2015-16 Target Value?	NA
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	NA
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	West Summers, Tonia Morris
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	West Summers, Tonia Morris
Making any changes needed to ensure the target value is reached	West Summers, Tonia Morris
Names and Titles of individuals who set this as a performance measure	Shakun Talihani, Diana Raven

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2010	6/30/2011
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2011	6/30/2012
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2012	6/30/2013
Pooled Investment Fund	Internal Policy	External	The Hobbs Group, PA	7/1/2013	6/30/2014
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.

AAA States do not carry unnecessary debt. Unrealized losses from 2008/2009 should be paid.	Authorize the reduction of liability.	N/A	N/A	N/A
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LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
11-9-660	Grants State Treasurer full power to invest and reinvest all funds of the State, and expressly authorizes State Treasurer to contract to lend securities pursuant to this section

LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
11-13-30	Grants STO exclusive authority in State government to invest and deposit public funds	66		
11-9-660	Grants STO full power to invest all funds of State and authorizes STO to contract to lend securities	61		

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

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STRATEGY OR OBJECTIVE

Strategy or Objective #	O7.1.1
Description	<i>Ensure the State and its agencies, institutions and authorities receive proper guidance for the management and structure of debt issuances and programs.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>Through proper guidance and consultation, the State Treasurer's Office limits the risks that a borrower will default on a debt by failing to make required payments. Such a default would result in a greater financial need from the public.</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Provide guidance to the State, its institutions, agencies and authorities for the management and structure of their debt issuances and programs.</i>
Higher Goal Strategy Supports:	<i>Debt: Manage the debt for the State, its agencies, institutions and authorities, optimizing the debt structure and ensuring timely repayment of debt when it is due.</i>

RESPONSIBLE LEAD

Name	Kevin Kibler
Length of Time (individual has been responsible for goal, strategy or objective) in months	6 Months
Position	Program Manager II
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	Debt Management

Dept/Division Summary	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy.The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

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Current Partner Entities	Ways Agency works with Current Partners
State Agencies	Coordinates and interacts with partners to achieve objective.
Bond Counsel (various)	Coordinates and interacts with partners to achieve objective.
Financial Advisor (various)	Coordinates and interacts with partners to achieve objective.
Credit Rating Agencies (various)	Coordinates and interacts with partners to achieve objective.
Underwriter (various)	Coordinates and interacts with partners to achieve goal.
BLX Group (Arbitrage Consultant)	Coordinates and interacts with partners to achieve goal.
Paying Agent/Regisistrar (various)	Coordinates and interacts with partners to achieve goal.

PERFORMANCE MEASURES

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Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
Total Debt Issuance	1-2 years	Amount of debt issued in a fiscal year	N/A	N/A	N/A	N/A	\$363 million	N/A	STO will update once 2014/15 Accountability Report is completed.	TBD	14
Cost of debt issuance	5(+) years	Municipal Market Data/interest rate on debt payments	\$161.2 million	\$87.3 million	\$19.1 million	N/A	1.57%	At or below market rates for equivalently rated debt	STO will update once 2014/15 Accountability Report is completed.	TBD	15
Debt issuance & refinancing savings	5 years	Municipal Market Data	N/A	\$24million	Approximately \$50million	Approximately \$160 million	\$9 million	Maximize savings generated by timing the market	STO will update once 2014/15 Accountability Report is completed.	TBD	16
State's credit rating	1-2 years	Credit rating agencies determination after analyzing multiple criteria and financial data	N/A	N/A	N/A	N/A	AAA/Aaa/AA+	AAA/Aaa/AAA	STO will update once 2014/15 Accountability Report is completed.	TBD	17

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	These performance measures were chosen to best correspond with the success of the objective based the benchmarks and standards of the public indebtedness industry.
Reasoning for 2014-15 Target Value?	To exceed or fall below benchmarks and standards for the industry.
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Standard target.
Reasoning for missing 2014-15 Target Value, if missed?	-

Work being done to improve deficiencies?	-
Reasoning for 2015-16 Target Value?	TBD
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Standard target.
Provide the names of employees who are responsible for...	Kevin Kibler, Assistant State Treasurer
Comparison of actual performance to target value	-
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	-
Making any changes needed to ensure the target value is reached	-
Names and Titles of individuals who set this as a performance measure	Kevin Kibler, Assistant State Treasurer

REVIEWS/AUDITS

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Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015
Tobacco Settlement Revenue Management Authority	Internal Policy	External	Hobbs Group, PA	7/1/2010	6/30/2011
Tobacco Settlement Revenue Management Authority	Internal Policy	External	Hobbs Group, PA	7/1/2011	6/30/2012
Tobacco Settlement Revenue Management Authority	Internal Policy	External	Hobbs Group, PA	7/1/2012	6/30/2013
Tobacco Settlement Revenue Management Authority	Internal Policy	External	Hobbs Group, PA	7/1/2013	6/30/2014
SC Resources Authority	Internal Policy	External	Hobbs Group, PA	7/1/2010	6/30/2011
SC Resources Authority	Internal Policy	External	Hobbs Group, PA	7/1/2011	6/30/2012
SC Resources Authority	Internal Policy	External	Hobbs Group, PA	7/1/2012	6/30/2013

POTENTIAL NEGATIVE IMPACT

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Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
An increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

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Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
Proviso 98.6, 2015-16	State Treasurer may charge actual costs associated with administration and management of indebtedness of the State, its agencies and institutions
S.C. Const. Art. X, § 13(4)	If payment due as the principal of or interest on any general obligation debt shall not be paid as and when the same become due and payable, the State Comptroller General shall forthwith levy and the State Treasurer shall collect an ad valorem tax upon all taxable property in the State sufficient to meet the payment of principal and interest of such general obligation then due.

§ 11-29-10	<p>With respect to the issuance of additional state capital improvement bonds pursuant to the provisions of Act 1377 of 1968 and with respect to the issuance of state school bonds pursuant to Article 5, Chapter 71, Title 59 of the 1976 Code, which bonds are henceforth to be secured solely by a pledge of the full faith, credit and taxing power of the State, the State Treasurer is hereby directed to set aside from the tax revenues received in each fiscal year in which the interest on and principal of state capital improvement bonds or state school bonds will become due so much of such tax revenues as may be necessary in order to pay the principal of and interest on all state capital improvement bonds and all state school bonds due and falling due in such fiscal year and the State Treasurer shall thereafter apply such monies to the punctual payment of such principal and interest as the same respectively fall due.</p>
§ 11-29-20	<p>With respect to the issuance of additional state highway bonds pursuant to the provisions of Article 3, Chapter 11, Title 57 of the 1976 Code, which bonds are to be henceforth secured by a pledge of the full faith, credit and taxing power of the State and are to be additionally secured by a pledge of the revenues derived from the “sources of revenue” as such term is defined in subparagraph (a), paragraph (6), Section 13, Article X of the Constitution, the State Treasurer is hereby directed to set aside from the revenues derived from such sources of revenue received in each fiscal year in which the interest on and principal of state highway bonds are due or will become due so much of the revenues derived from such “sources of revenue” as may be necessary in order to pay the interest on and principal of all state highway bonds due and falling due in such fiscal year and the State Treasurer shall thereafter apply such monies to the punctual payment of such principal and interest as the same respectively fall due. In the event that the revenues derived from such sources of revenues so pledged prove insufficient to meet the payments of the interest on principal of such state highway bonds in such fiscal year, then the State Treasurer is authorized and directed to set aside from the general tax revenues received in such fiscal year so much of such general tax revenues as become needed for such purpose and to apply such revenues to the punctual payment of the interest on and principal of all state highway bonds due or to become due in such fiscal year.</p>

§ 11-29-30	<p>With respect to the issuance of additional state institution bonds pursuant to the provisions of Chapter 107, Title 59 of the 1976 Code, which bonds are to be henceforth secured by a pledge of the full faith, credit and taxing power of the State and are to be additionally secured by a pledge of the revenues derived from the tuition fees received by the particular state institution of higher learning for which such state institution bonds are issued, the State Treasurer is hereby directed to set aside from such tuition fees received in each fiscal year in which the interest on and principal of state institution bonds for such state institution are due or will become due so much of the revenues derived from such tuition fees as may be necessary in order to pay the interest on and principal of all state institution bonds for such institution due and falling due in such fiscal year and the State Treasurer shall thereafter apply such monies to the punctual payment of such principal and interest as the same respectively fall due. In the event that the revenues derived from the tuition fees so pledged prove insufficient to meet the payments of the interest on and principal of such state institution bonds in such fiscal year, then the State Treasurer is authorized and directed to set aside from the general tax revenues received in such fiscal year so much of such general tax revenues as become needed for such purpose and to apply the same to the punctual payment of the interest on and principal of such state institution bonds due or to become due in such fiscal year.</p>
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11-38-20	<p>(A) The State Fiscal Accountability Authority is authorized to provide for the issuance of capital improvement bonds in denominations of less than one thousand dollars.(B) Capital improvement bonds in denominations of less than \$1,000 are authorized to be sold at a price less than par, plus accrued interest from their date to the date of their delivery.(C) For the purpose of computing the principal amount of capital improvement bonds issued, the amount of capital improvement bonds issued in denominations less than \$1,000 must be determined by the amount the State receives from the issuance of such bonds, rather than the par amount of such bonds.(D) Capital improvement bonds issued in denominations of less than \$1,000 shall mature in such amounts and at such times as the State Treasurer shall determine.(E) The requirement that capital improvement bonds be sold upon sealed proposals, after publication of notice of sale one or more times at least ten days before such sale, in a newspaper of general circulation in the State and also a financial paper published in New York City, shall not apply to the sale of capital improvement bonds in denominations of less than \$1,000. The State Treasurer is authorized to develop and implement a program for the sale of capital improvement bonds in denominations of less than \$1,000, including the advertisement of it, that will ensure an opportunity for citizens of the State to purchase these capital improvement bonds.(F) The State Treasurer shall determine the rate or rates of interest that capital improvement bonds issued in denominations of less than \$1,000 shall bear.</p>
11-41-140	<p>[State General Obligation Economic Development} Bonds must be sold by the Governor and the State Treasurer upon sealed proposals, after publication of notice of the sale one or more times at least seven days before the sale, in a financial paper published in New York City which regularly publishes notices of sale of state or municipal bonds. The bonds may be awarded upon the terms and in the manner as prescribed by the State Treasurer. The right is reserved to reject all bids and to readvertise the bonds for sale. For the purpose of bringing about successful sales of the bonds, the State Treasurer may do all things ordinarily and customarily done in connection with the sale of state or municipal bonds. All expenses incident to the sale of the bonds must be paid from the proceeds of the sale of the bonds.</p>

11-41-150	The proceeds of the sale of [State General Obligation Economic] bonds must be received by the State Treasurer and applied by the State Treasurer to the purposes for which issued, except that the accrued interest, if any, may be used to discharge in part the first interest to become due on the bonds, but the purchasers of the bonds in no way are liable for the proper application of the proceeds to the purposes for which they are intended.
11-43-620	Transportation infrastructure bonds must be sold by the Governor and the State Treasurer upon sealed proposals, after publication of notice of such sale one or more times at least seven days before such sale, in a newspaper of general circulation in the State and also in a financial paper published in New York City which regularly publishes notices of sale of state or municipal bonds. The bonds must be awarded to the bidder offering to purchase the transportation infrastructure bonds at the lowest net interest cost to the State at a price of not less than ninety-nine percent of par and accrued interest to the date of delivery, but the right is reserved to reject all bids and to readvertise the bonds for sale and to waive technicalities in the bidding.
§ 11-9-90	Whenever Governor and State Treasurer are empowered by law to issue general obligation bonds of State, they may receive bids on more than one issue at same time, and may impose condition to bidding a requirement that proposals submitted for all bonds offered are submitted as though bonds constituted a single issue. Under such circumstances, fact that more than one issue of bonds is offered, and maturity schedules of issues are dissimilar, shall be disregarded and bonds shall be awarded to bidder whose bid prescribes lowest interest cost, calculated on basis that all bonds issued constituted single issue of bonds.

§ 11-9-90	Whenever Governor and State Treasurer are empowered by law to issue general obligation bonds of State, they may receive bids on more than one issue at same time, and may impose condition to bidding a requirement that proposals submitted for all bonds offered are submitted as though bonds constituted a single issue. Under such circumstances, fact that more than one issue of bonds is offered, and maturity schedules of issues are dissimilar, shall be disregarded and bonds shall be awarded to bidder whose bid prescribes lowest interest cost, calculated on basis that all bonds issued constituted single issue of bonds.
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LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
59-53-162	Proceeds of bonds issued by State Board of Technical and Comprehensive Education must be delivered to STO and retained by STO in special fund or funds applied to purpose for which bonds issued; authorizes STO to make temporary investments of funds derived from proceeds of bonds	346		
59-119-1020	Proceeds of all Clemson University athletic facilities revenue bonds must be delivered to STO or corporate trust designee; STO is authorized to make temporary investments of such funds	371		
59-121-420	Proceeds of all Citadel athletic facilities bonds must be delivered to STO or corporate trust designee; STO is authorized to make temporary investments of funds derived from proceeds of bonds	373		
59-125-620	Proceeds of all Winthrop University Athletic Bonds must be delivered to STO or its corporate trust designee; STO authorized to make temporary investments of proceeds of bonds	377		
59-127-410	Proceeds of all S.C. State University special obligation bonds must be delivered to STO and retained in special fund; authorizes STO to make temporary investments of proceeds of bonds	379		
59-127-450	Directs STO to deposit revenues derived from S.C. State University special obligation bonds in the Debt Service Fund and to utilize proceeds for payment of principal and interest on bonds; authorizes STO to invest monies in fund	380		

59-133-320	Proceeds of all Francis Marion University athletic facilities revenue bonds must be delivered to STO or its corporate trust designee; STO authorized to make temporary investments of such funds	382		
Title 11, Chapter 43	Grants STO various powers and responsibilities with respect to S.C. Transportation Infrastructure Bank, including responsibility of holding bank accounts in trust, withholding State funds from government entities that fail to remit funds due the bank, power to invest funds of bank, power to issue bonds of bank, responsibility of securing the bank's bonds, and authority to use tax revenues to pay principal and interest on bank's bonds	89		
44-20-1170(A)	S.C. Commission on Disabilities and Special Needs must remit revenues to STO for credit to a special fund which must be applied to meet sums due by Commission under its obligations; monies from special fund also must be applied by STO to payment of principal and interest on outstanding state capital improvement bonds	235		
55-11-340(20)(f)	Directs STO, upon receipt of ad valorem taxes collected by Richland and Lexington county treasurers for purpose of paying principal and interest on bonds issued by Richland-Lexington Airport Commission, to set funds apart in special fund and apply them solely to payment of principal and interest of bonds; when all outstanding principal and interest bond payments have been made, STO is directed to transfer balance in special fund to general fund of the Airport Commission	306		
59-53-165	Authorizes STO to accept custody of revenues derived from any special student fee, to deposit them in applicable debt service fund and bond reserve fund for payment of principal and interest	347		
59-123-95	If MUSC borrows money to acquire diagnostic and therapeutical equipment by issuing notes payable from charges for service or use rendered by such equipment, charges must be remitted to STO and deposited in special fund to be applied to payment of principal and interest on notes	376		
59-131-25	Proceeds of bonds issued College of Charleston pursuant to this Chapter shall be deposited with STO and used to defray cost of acquiring and constructing parking facilities as well as to defray cost of issuing bonds	381		
11-37-260	Authorizes STO to withhold State funds from local governments that fail to pay principal or interest on its obligations	82		

11-40-200	Authorizes STO to withhold State funds from local governments that fail to collect and remit in full amounts due S.C. Infrastructure Facilities Authority	86		
11-50-120	Authorizes STO to withhold State funds from eligible entity that fails to remit amounts due to S.C. Rural Infrastructure Authority	94		
59-146-140	Proceeds of sale of state school facilities bonds must be received by STO and applied to purposes for which issued	386		
S.C. Const. Art. X, § 13	Authorizes STO to collect ad valorem tax levied by Comptroller General for purpose of paying principal or interest payments on State's general obligation bonds which have become due and for which sufficient revenues have not been allocated by General Assembly	3		
S.C. Const. Art. X, § 14(5)	Directs STO to withhold state appropriations from political subdivision which fails to effect punctual payment of principal or interest on its general obligation debt	4		
S.C. Const. Art. X, § 15(4)	Directs STO to withhold state appropriations from school district which fails to effect punctual payment of principal or interest on its general obligation debt	5		
11-15-100	Directs STO to make available to political subdivisions blank forms upon which they can report information required to be filed with STO before incurring general obligation or revenue obligation debt; directs STO to annually survey general obligation and revenue obligation debt of political subdivisions and maintain current record of such; directs STO to publish statement of obligations of all subdivisions on or before March 31 each year	73		
11-27-100	Upon ratification of "New Article X" of State Constitution, authorizes STO to promulgate regulations implementing certain provisions	75		
11-29-10	Directs STO to set aside tax revenues necessary to pay principal and interest on certain state capital improvement bonds or state school bonds due in such year, and to use such funds to pay principal and interest as they become due	76		
11-29-20	Directs STO to set aside revenues necessary to pay interest and principal on certain state highway bonds due in such year, and to use such funds to pay principal and interest as they become due; if revenues insufficient, STO authorized to set aside general tax revenues	77		

11-29-30	Directs STO to set aside revenues derived from tuition fees necessary to pay interest and principal on state institution bonds due in such year, and to use such funds to pay principal and interest as they become due; if revenues insufficient, STO authorized to set aside general tax revenues	78		
11-31-40	STO responsible for establishing registry for fully registered bonds and to pay interest due	79		
11-37-100 to 11-37-110	STO responsible for issuing bonds of S.C. Resources Authority	80		
11-38-20	Authorizes STO to develop and implement program for sale of capital improvement bonds in denominations of less than \$1,000, and to determine rates of interest such bonds shall bear	83		
11-40-130	Authorizes STO, or bank designated by STO, to serve as corporate trustee for purpose of securing bonds issued by S.C. Infrastructure Facilities Authority	85		
Title 11, Chapter 41	Grants STO various powers and responsibilities with respect to issuance of bonds pursuant to State General Obligation Economic Development Act and the application of the proceeds of such bonds	88		
11-51-160	General obligation debt issued pursuant to S.C. Research University Infrastructure Act must be sold by Governor and State Treasurer upon sealed proposals and after advertisement; STO responsible for determining terms and manner of awarding debt; STO authorized to do all things ordinarily and customarily done in connection with sale of state or municipal bonds toward purpose of bringing about successful bond sales	97		
11-51-170	STO responsible for receiving proceeds of general obligation debt and applying to purpose for which issued	98		
13-1-710	Deputy director of Division of Savannah Valley Development required to file with STO report on bonds issued within 30 days of issuance	121		
13-19-110	Midlands Authority required to file description of financial obligations with STO within 30 days of issuance	126		
13-21-120	Edisto Development Authority must file description of financial obligations with STO within 30 days of issuance	128		
46-15-20	State Treasurer must countersign all bonds issued by Agriculture Commission	259		
48-5-110(B)	Bonds of S.C. Water Quality Revolving Fund Authority may be secured by trust indenture between Authority and corporate trustee which may be STO or S.C. bank or trust company designated by STO	273		

55-11-360	All excess revenues derived by Richland-Lexington Airport Commission must be paid over to STO and held for payment of interest and principal of general obligation bonds of the district	307		
57-5-1410	Requires that all turnpike bonds be signed by Governor and State Treasurer	325		
57-5-1460	Empowers Governor and State Treasurer to effect delivery of turnpike bonds upon receipt of resolution	326		
57-11-290	Authorizes Governor and State Treasurer to issue state highway bonds in accordance with provisions of resolution from Budget and Control Board	328		
57-11-360	Authorizes Governor and State Treasurer to sell state highway bonds	329		
57-11-380	Provides that proceeds of sale of state highway bonds must be received by STO and applied by STO to purpose for which issued	330		
59-71-55	Directs STO to transfer to county treasurer from general fund of State amount needed to enable county treasurer to make payment of principal and interest coming due if county treasurer does not have sufficient funds on hand; directs STO to withhold from operating school unit distributions of revenue sufficient to reimburse general fund	353		
59-71-310	If principal and interest of school district general obligation bonds are not paid when due and school district board provides by resolution that holder of bonds may present them to STO, then STO is authorized to effect payment and charge such payments to school district's account and diminish payments otherwise to be made to school district	354		
59-71-320	Authorizes STO to approve resolution of school district board concerning bonds in default if STO makes certain determinations	355		
59-71-330	Authorizes STO to prescribe rules and regulations concerning school bonds in default	356		
59-71-340	Directs STO to collect ad valorem tax levied by Comptroller General on all taxable property in school district when school district does not levy adequate millage for that year to provide debt service to become due on bonds	357		
Title 59, Chapter 71, Article 5	Authorizes Governor and STO to issue State schools bonds under conditions prescribed in this article	358		
Title 59, Chapter 107	Empowers Governor and State Treasurer to provide for issuance of state institution bonds in accordance with provisions in this chapter	363		
59-146-110	Provides that all state school facilities bonds must be signed by Governor and State Treasurer	384		

59-146-130	Governor and State Treasurer responsible for sale of state school facilities bonds	385		
Proviso 98.6, 2015-16 Appropriations Act	Authorizes STO to charge actual costs associated with administration and management of indebtedness of State and its agencies and institutions	405		
1-1-1020	Authorizes STO to provide financing arrangements under master lease program on behalf of entities of state government	7		
11-51-140	General obligation debt issued pursuant to S.C. Research University Infrastructure Act must be signed by State Treasurer	96		

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	07.2.1
Description	<i>Ensure the lowest borrowing cost is achieved with each debt issuance.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>Through effective market analysis, debt structuring, and management, the State Treasurer's Office limits the market risks that could result in a borrower's default. Such a default would result in a greater financial need from the public.</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Analyze the capital markets to ensure the lowest borrowing cost is achieved under circumstances prevailing at the time the funds are needed.</i>
Higher Goal Strategy Supports:	<i>Debt: Manage the debt for the State, its agencies, institutions and authorities, optimizing the debt structure and ensuring timely repayment of debt when it is due.</i>

RESPONSIBLE LEAD

Name	<i>Kevin Kibler</i>
Length of Time (individual has been responsible for goal, strategy or objective) in months	6 Months
Position	<i>Program Manager II</i>
Office Address	<i>Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201</i>
Dept/Divison	<i>Debt Management</i>

Dept/Division Summary	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy.The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners
State Agencies	Coordinates and interacts with partners to achieve objective.
Bond Counsel (various)	Coordinates and interacts with partners to achieve objective.
Financial Advisor (various)	Coordinates and interacts with partners to achieve objective.
Credit Rating Agencies (various)	Coordinates and interacts with partners to achieve objective.
Underwriter (various)	Coordinates and interacts with partners to achieve goal.
BLX Group (Arbitrage Consultant)	Coordinates and interacts with partners to achieve goal.
Paying Agent/Regisistrar (various)	Coordinates and interacts with partners to achieve goal.

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
Cost of debt issuance	5(+) years	Municipal Market Data/interest rate on debt payments	\$161.2 million	\$87.3 million	\$19.1 million	N/A	1.57%	At or below market rates for equivalently rated debt	STO will update once 2014/15 Accountability Report is completed.	TBD	15
Debt issuance & refinancing savings	5 years	Municipal Market Data	N/A	\$24million	Approximately \$50million	Approximately \$160 million	\$9 million	Maximize savings generated by timing the market	STO will update once 2014/15 Accountability Report is completed.	TBD	16

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	These performance measures were chosen to best correspond with the success of the objective based the benchmarks and standards of the public indebtedednss industry.
Reasoning for 2014-15 Target Value?	To exceed or fall below benchmarks and standards for the industry.
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Standard target.
Reasoning for missing 2014-15 Target Value, if missed?	-
Work being done to improve deficiencies?	-
Reasoning for 2015-16 Target Value?	TBD
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Standard target.
Provide the names of employees who are responsible for...	Kevin Kibler, Assistant State Treasurer
Comparison of actual performance to target value	-
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself).	-
Making any changes needed to ensure the target value is reached	-
Names and Titles of individuals who set this as a performance measure	Kevin Kibler, Assistant State Treasurer

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
An increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
Proviso 98.6, 2015-16	State Treasurer may charge actual costs associated with administration and management of indebtedness of the State, its agencies and institutions
S.C. Const. Art. X, § 13(4)	If payment due as the principal of or interest on any general obligation debt shall not be paid as and when the same become due and payable, the State Comptroller General shall forthwith levy and the State Treasurer shall collect an ad valorem tax upon all taxable property in the State sufficient to meet the payment of principal and interest of such general obligation then due.

§ 11-29-10	<p>With respect to the issuance of additional state capital improvement bonds pursuant to the provisions of Act 1377 of 1968 and with respect to the issuance of state school bonds pursuant to Article 5, Chapter 71, Title 59 of the 1976 Code, which bonds are henceforth to be secured solely by a pledge of the full faith, credit and taxing power of the State, the State Treasurer is hereby directed to set aside from the tax revenues received in each fiscal year in which the interest on and principal of state capital improvement bonds or state school bonds will become due so much of such tax revenues as may be necessary in order to pay the principal of and interest on all state capital improvement bonds and all state school bonds due and falling due in such fiscal year and the State Treasurer shall thereafter apply such monies to the punctual payment of such principal and interest as the same respectively fall due.</p>
§ 11-29-20	<p>With respect to the issuance of additional state highway bonds pursuant to the provisions of Article 3, Chapter 11, Title 57 of the 1976 Code, which bonds are to be henceforth secured by a pledge of the full faith, credit and taxing power of the State and are to be additionally secured by a pledge of the revenues derived from the “sources of revenue” as such term is defined in subparagraph (a), paragraph (6), Section 13, Article X of the Constitution, the State Treasurer is hereby directed to set aside from the revenues derived from such sources of revenue received in each fiscal year in which the interest on and principal of state highway bonds are due or will become due so much of the revenues derived from such “sources of revenue” as may be necessary in order to pay the interest on and principal of all state highway bonds due and falling due in such fiscal year and the State Treasurer shall thereafter apply such monies to the punctual payment of such principal and interest as the same respectively fall due. In the event that the revenues derived from such sources of revenues so pledged prove insufficient to meet the payments of the interest on principal of such state highway bonds in such fiscal year, then the State Treasurer is authorized and directed to set aside from the general tax revenues received in such fiscal year so much of such general tax revenues as become needed for such purpose and to apply such revenues to the punctual payment of the interest on and principal of all state highway bonds due or to become due in such fiscal year.</p>

§ 11-29-30	<p>With respect to the issuance of additional state institution bonds pursuant to the provisions of Chapter 107, Title 59 of the 1976 Code, which bonds are to be henceforth secured by a pledge of the full faith, credit and taxing power of the State and are to be additionally secured by a pledge of the revenues derived from the tuition fees received by the particular state institution of higher learning for which such state institution bonds are issued, the State Treasurer is hereby directed to set aside from such tuition fees received in each fiscal year in which the interest on and principal of state institution bonds for such state institution are due or will become due so much of the revenues derived from such tuition fees as may be necessary in order to pay the interest on and principal of all state institution bonds for such institution due and falling due in such fiscal year and the State Treasurer shall thereafter apply such monies to the punctual payment of such principal and interest as the same respectively fall due. In the event that the revenues derived from the tuition fees so pledged prove insufficient to meet the payments of the interest on and principal of such state institution bonds in such fiscal year, then the State Treasurer is authorized and directed to set aside from the general tax revenues received in such fiscal year so much of such general tax revenues as become needed for such purpose and to apply the same to the punctual payment of the interest on and principal of such state institution bonds due or to become due in such fiscal year.</p>
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11-38-20	<p>(A) The State Fiscal Accountability Authority is authorized to provide for the issuance of capital improvement bonds in denominations of less than one thousand dollars.(B) Capital improvement bonds in denominations of less than \$1,000 are authorized to be sold at a price less than par, plus accrued interest from their date to the date of their delivery.(C) For the purpose of computing the principal amount of capital improvement bonds issued, the amount of capital improvement bonds issued in denominations less than \$1,000 must be determined by the amount the State receives from the issuance of such bonds, rather than the par amount of such bonds.(D) Capital improvement bonds issued in denominations of less than \$1,000 shall mature in such amounts and at such times as the State Treasurer shall determine.(E) The requirement that capital improvement bonds be sold upon sealed proposals, after publication of notice of sale one or more times at least ten days before such sale, in a newspaper of general circulation in the State and also a financial paper published in New York City, shall not apply to the sale of capital improvement bonds in denominations of less than \$1,000. The State Treasurer is authorized to develop and implement a program for the sale of capital improvement bonds in denominations of less than \$1,000, including the advertisement of it, that will ensure an opportunity for citizens of the State to purchase these capital improvement bonds.(F) The State Treasurer shall determine the rate or rates of interest that capital improvement bonds issued in denominations of less than \$1,000 shall bear.</p>
11-41-140	<p>[State General Obligation Economic Development} Bonds must be sold by the Governor and the State Treasurer upon sealed proposals, after publication of notice of the sale one or more times at least seven days before the sale, in a financial paper published in New York City which regularly publishes notices of sale of state or municipal bonds. The bonds may be awarded upon the terms and in the manner as prescribed by the State Treasurer. The right is reserved to reject all bids and to readvertise the bonds for sale. For the purpose of bringing about successful sales of the bonds, the State Treasurer may do all things ordinarily and customarily done in connection with the sale of state or municipal bonds. All expenses incident to the sale of the bonds must be paid from the proceeds of the sale of the bonds.</p>

11-41-150	The proceeds of the sale of [State General Obligation Economic] bonds must be received by the State Treasurer and applied by the State Treasurer to the purposes for which issued, except that the accrued interest, if any, may be used to discharge in part the first interest to become due on the bonds, but the purchasers of the bonds in no way are liable for the proper application of the proceeds to the purposes for which they are intended.
11-43-620	Transportation infrastructure bonds must be sold by the Governor and the State Treasurer upon sealed proposals, after publication of notice of such sale one or more times at least seven days before such sale, in a newspaper of general circulation in the State and also in a financial paper published in New York City which regularly publishes notices of sale of state or municipal bonds. The bonds must be awarded to the bidder offering to purchase the transportation infrastructure bonds at the lowest net interest cost to the State at a price of not less than ninety-nine percent of par and accrued interest to the date of delivery, but the right is reserved to reject all bids and to readvertise the bonds for sale and to waive technicalities in the bidding.
§ 11-9-90	Whenever Governor and State Treasurer are empowered by law to issue general obligation bonds of State, they may receive bids on more than one issue at same time, and may impose condition to bidding a requirement that proposals submitted for all bonds offered are submitted as though bonds constituted a single issue. Under such circumstances, fact that more than one issue of bonds is offered, and maturity schedules of issues are dissimilar, shall be disregarded and bonds shall be awarded to bidder whose bid prescribes lowest interest cost, calculated on basis that all bonds issued constituted single issue of bonds.

§ 11-9-90	Whenever Governor and State Treasurer are empowered by law to issue general obligation bonds of State, they may receive bids on more than one issue at same time, and may impose condition to bidding a requirement that proposals submitted for all bonds offered are submitted as though bonds constituted a single issue. Under such circumstances, fact that more than one issue of bonds is offered, and maturity schedules of issues are dissimilar, shall be disregarded and bonds shall be awarded to bidder whose bid prescribes lowest interest cost, calculated on basis that all bonds issued constituted single issue of bonds.
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LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
59-53-162	Proceeds of bonds issued by State Board of Technical and Comprehensive Education must be delivered to STO and retained by STO in special fund or funds applied to purpose for which bonds issued; authorizes STO to make temporary investments of funds derived from proceeds of bonds	346		
59-119-1020	Proceeds of all Clemson University athletic facilities revenue bonds must be delivered to STO or corporate trust designee; STO is authorized to make temporary investments of such funds	371		
59-121-420	Proceeds of all Citadel athletic facilities bonds must be delivered to STO or corporate trust designee; STO is authorized to make temporary investments of funds derived from proceeds of bonds	373		
59-125-620	Proceeds of all Winthrop University Athletic Bonds must be delivered to STO or its corporate trust designee; STO authorized to make temporary investments of proceeds of bonds	377		
59-127-410	Proceeds of all S.C. State University special obligation bonds must be delivered to STO and retained in special fund; authorizes STO to make temporary investments of proceeds of bonds	379		
59-127-450	Directs STO to deposit revenues derived from S.C. State University special obligation bonds in the Debt Service Fund and to utilize proceeds for payment of principal and interest on bonds; authorizes STO to invest monies in fund	380		

59-133-320	Proceeds of all Francis Marion University athletic facilities revenue bonds must be delivered to STO or its corporate trust designee; STO authorized to make temporary investments of such funds	382		
Title 11, Chapter 43	Grants STO various powers and responsibilities with respect to S.C. Transportation Infrastructure Bank, including responsibility of holding bank accounts in trust, withholding State funds from government entities that fail to remit funds due the bank, power to invest funds of bank, power to issue bonds of bank, responsibility of securing the bank's bonds, and authority to use tax revenues to pay principal and interest on bank's bonds	89		
44-20-1170(A)	S.C. Commission on Disabilities and Special Needs must remit revenues to STO for credit to a special fund which must be applied to meet sums due by Commission under its obligations; monies from special fund also must be applied by STO to payment of principal and interest on outstanding state capital improvement bonds	235		
55-11-340(20)(f)	Directs STO, upon receipt of ad valorem taxes collected by Richland and Lexington county treasurers for purpose of paying principal and interest on bonds issued by Richland-Lexington Airport Commission, to set funds apart in special fund and apply them solely to payment of principal and interest of bonds; when all outstanding principal and interest bond payments have been made, STO is directed to transfer balance in special fund to general fund of the Airport Commission	306		
59-53-165	Authorizes STO to accept custody of revenues derived from any special student fee, to deposit them in applicable debt service fund and bond reserve fund for payment of principal and interest	347		
59-123-95	If MUSC borrows money to acquire diagnostic and therapeutical equipment by issuing notes payable from charges for service or use rendered by such equipment, charges must be remitted to STO and deposited in special fund to be applied to payment of principal and interest on notes	376		
59-131-25	Proceeds of bonds issued College of Charleston pursuant to this Chapter shall be deposited with STO and used to defray cost of acquiring and constructing parking facilities as well as to defray cost of issuing bonds	381		
11-37-260	Authorizes STO to withhold State funds from local governments that fail to pay principal or interest on its obligations	82		

11-40-200	Authorizes STO to withhold State funds from local governments that fail to collect and remit in full amounts due S.C. Infrastructure Facilities Authority	86		
11-50-120	Authorizes STO to withhold State funds from eligible entity that fails to remit amounts due to S.C. Rural Infrastructure Authority	94		
59-146-140	Proceeds of sale of state school facilities bonds must be received by STO and applied to purposes for which issued	386		
S.C. Const. Art. X, § 13	Authorizes STO to collect ad valorem tax levied by Comptroller General for purpose of paying principal or interest payments on State's general obligation bonds which have become due and for which sufficient revenues have not been allocated by General Assembly	3		
S.C. Const. Art. X, § 14(5)	Directs STO to withhold state appropriations from political subdivision which fails to effect punctual payment of principal or interest on its general obligation debt	4		
S.C. Const. Art. X, § 15(4)	Directs STO to withhold state appropriations from school district which fails to effect punctual payment of principal or interest on its general obligation debt	5		
11-15-100	Directs STO to make available to political subdivisions blank forms upon which they can report information required to be filed with STO before incurring general obligation or revenue obligation debt; directs STO to annually survey general obligation and revenue obligation debt of political subdivisions and maintain current record of such; directs STO to publish statement of obligations of all subdivisions on or before March 31 each year	73		
11-27-100	Upon ratification of "New Article X" of State Constitution, authorizes STO to promulgate regulations implementing certain provisions	75		
11-29-10	Directs STO to set aside tax revenues necessary to pay principal and interest on certain state capital improvement bonds or state school bonds due in such year, and to use such funds to pay principal and interest as they become due	76		
11-29-20	Directs STO to set aside revenues necessary to pay interest and principal on certain state highway bonds due in such year, and to use such funds to pay principal and interest as they become due; if revenues insufficient, STO authorized to set aside general tax revenues	77		

11-29-30	Directs STO to set aside revenues derived from tuition fees necessary to pay interest and principal on state institution bonds due in such year, and to use such funds to pay principal and interest as they become due; if revenues insufficient, STO authorized to set aside general tax revenues	78		
11-31-40	STO responsible for establishing registry for fully registered bonds and to pay interest due	79		
11-37-100 to 11-37-110	STO responsible for issuing bonds of S.C. Resources Authority	80		
11-38-20	Authorizes STO to develop and implement program for sale of capital improvement bonds in denominations of less than \$1,000, and to determine rates of interest such bonds shall bear	83		
11-40-130	Authorizes STO, or bank designated by STO, to serve as corporate trustee for purpose of securing bonds issued by S.C. Infrastructure Facilities Authority	85		
Title 11, Chapter 41	Grants STO various powers and responsibilities with respect to issuance of bonds pursuant to State General Obligation Economic Development Act and the application of the proceeds of such bonds	88		
11-51-160	General obligation debt issued pursuant to S.C. Research University Infrastructure Act must be sold by Governor and State Treasurer upon sealed proposals and after advertisement; STO responsible for determining terms and manner of awarding debt; STO authorized to do all things ordinarily and customarily done in connection with sale of state or municipal bonds toward purpose of bringing about successful bond sales	97		
11-51-170	STO responsible for receiving proceeds of general obligation debt and applying to purpose for which issued	98		
13-1-710	Deputy director of Division of Savannah Valley Development required to file with STO report on bonds issued within 30 days of issuance	121		
13-19-110	Midlands Authority required to file description of financial obligations with STO within 30 days of issuance	126		
13-21-120	Edisto Development Authority must file description of financial obligations with STO within 30 days of issuance	128		
46-15-20	State Treasurer must countersign all bonds issued by Agriculture Commission	259		
48-5-110(B)	Bonds of S.C. Water Quality Revolving Fund Authority may be secured by trust indenture between Authority and corporate trustee which may be STO or S.C. bank or trust company designated by STO	273		

55-11-360	All excess revenues derived by Richland-Lexington Airport Commission must be paid over to STO and held for payment of interest and principal of general obligation bonds of the district	307		
57-5-1410	Requires that all turnpike bonds be signed by Governor and State Treasurer	325		
57-5-1460	Empowers Governor and State Treasurer to effect delivery of turnpike bonds upon receipt of resolution	326		
57-11-290	Authorizes Governor and State Treasurer to issue state highway bonds in accordance with provisions of resolution from Budget and Control Board	328		
57-11-360	Authorizes Governor and State Treasurer to sell state highway bonds	329		
57-11-380	Provides that proceeds of sale of state highway bonds must be received by STO and applied by STO to purpose for which issued	330		
59-71-55	Directs STO to transfer to county treasurer from general fund of State amount needed to enable county treasurer to make payment of principal and interest coming due if county treasurer does not have sufficient funds on hand; directs STO to withhold from operating school unit distributions of revenue sufficient to reimburse general fund	353		
59-71-310	If principal and interest of school district general obligation bonds are not paid when due and school district board provides by resolution that holder of bonds may present them to STO, then STO is authorized to effect payment and charge such payments to school district's account and diminish payments otherwise to be made to school district	354		
59-71-320	Authorizes STO to approve resolution of school district board concerning bonds in default if STO makes certain determinations	355		
59-71-330	Authorizes STO to prescribe rules and regulations concerning school bonds in default	356		
59-71-340	Directs STO to collect ad valorem tax levied by Comptroller General on all taxable property in school district when school district does not levy adequate millage for that year to provide debt service to become due on bonds	357		
Title 59, Chapter 71, Article 5	Authorizes Governor and STO to issue State schools bonds under conditions prescribed in this article	358		
Title 59, Chapter 107	Empowers Governor and State Treasurer to provide for issuance of state institution bonds in accordance with provisions in this chapter	363		
59-146-110	Provides that all state school facilities bonds must be signed by Governor and State Treasurer	384		

59-146-130	Governor and State Treasurer responsible for sale of state school facilities bonds	385		
Proviso 98.6, 2015-16 Appropriations Act	Authorizes STO to charge actual costs associated with administration and management of indebtedness of State and its agencies and institutions	405		
1-1-1020	Authorizes STO to provide financing arrangements under master lease program on behalf of entities of state government	7		
11-51-140	General obligation debt issued pursuant to S.C. Research University Infrastructure Act must be signed by State Treasurer	96		



Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	O7.3.1
Description	Maximize market exposure of debt offerings.
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	Through effective market analysis, the State Treasurer's Office gains enhanced market exposure for the State's borrowers. In doing so, the State Treasurer's Office limits the risks, impacts, and costs associated with higher effective interest rates and other borrowing costs. Lower borrowing costs result in less financial need from the public.

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	Maximize, to the greatest extent possible, market exposure of debt offerings.
Higher Goal Strategy Supports:	Debt: Manage the debt for the State, its agencies, institutions and authorities, optimizing the debt structure and ensuring timely repayment of debt when it is due.

RESPONSIBLE LEAD

Name	Kevin Kibler
Length of Time (individual has been responsible for goal, strategy or objective) in months	6 Months
Position	Program Manager II
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	Debt Management

Dept/Division Summary	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy.The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions : The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners
State Agencies	Coordinates and interacts with partners to achieve objective.
Bond Counsel (various)	Coordinates and interacts with partners to achieve objective.
Financial Advisor (various)	Coordinates and interacts with partners to achieve objective.
Credit Rating Agencies (various)	Coordinates and interacts with partners to achieve objective.
Underwriter (various)	Coordinates and interacts with partners to achieve goal.
BLX Group (Arbitrage Consultant)	Coordinates and interacts with partners to achieve goal.
Paying Agent/Regisistrar (various)	Coordinates and interacts with partners to achieve goal.

PERFORMANCE MEASURES

Instructions : The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
Cost of debt issuance	5(+) years	Municipal Market Data/interest rate on debt payments	\$161.2 million	\$87.3 million	\$19.1 million	N/A	1.57%	At or below market rates for equivalently rated debt	STO will update once 2014/15 Accountability Report is completed.	TBD	15
Debt issuance & refinancing savings	5 years	Municipal Market Data	N/A	\$24million	Approximately \$50million	Approximately \$160 million	\$9 million	Maximize savings generated by timing the market	STO will update once 2014/15 Accountability Report is completed.	TBD	16

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	These performance measures were chosen to best correspond with the success of the objective based the benchmarks and standards of the public indebtedednss industry.
Reasoning for 2014-15 Target Value?	To exceed or fall below benchmarks and standards for the industry.
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Standard target.
Reasoning for missing 2014-15 Target Value, if missed?	-
Work being done to improve deficiencies?	-
Reasoning for 2015-16 Target Value?	TBD
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Standard target.
Provide the names of employees who are responsible for...	Kevin Kibler, Assistant State Treasurer
Comparison of actual performance to target value	-
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself).	-
Making any changes needed to ensure the target value is reached	-
Names and Titles of individuals who set this as a performance measure	Kevin Kibler, Assistant State Treasurer

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
An increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
Proviso 98.6, 2015-16	State Treasurer may charge actual costs associated with administration and management of indebtedness of the State, its agencies and institutions
S.C. Const. Art. X, § 13(4)	If payment due as the principal of or interest on any general obligation debt shall not be paid as and when the same become due and payable, the State Comptroller General shall forthwith levy and the State Treasurer shall collect an ad valorem tax upon all taxable property in the State sufficient to meet the payment of principal and interest of such general obligation then due.

§ 11-29-10	With respect to the issuance of additional state capital improvement bonds pursuant to the provisions of Act 1377 of 1968 and with respect to the issuance of state school bonds pursuant to Article 5, Chapter 71, Title 59 of the 1976 Code, which bonds are henceforth to be secured solely by a pledge of the full faith, credit and taxing power of the State, the State Treasurer is hereby directed to set aside from the tax revenues received in each fiscal year in which the interest on and principal of state capital improvement bonds or state school bonds will become due so much of such tax revenues as may be necessary in order to pay the principal of and interest on all state capital improvement bonds and all state school bonds due and falling due in such fiscal year and the State Treasurer shall thereafter apply such monies to the punctual payment of such principal and interest as the same respectively fall due.
§ 11-29-20	With respect to the issuance of additional state highway bonds pursuant to the provisions of Article 3, Chapter 11, Title 57 of the 1976 Code, which bonds are to be henceforth secured by a pledge of the full faith, credit and taxing power of the State and are to be additionally secured by a pledge of the revenues derived from the “sources of revenue” as such term is defined in subparagraph (a), paragraph (6), Section 13, Article X of the Constitution, the State Treasurer is hereby directed to set aside from the revenues derived from such sources of revenue received in each fiscal year in which the interest on and principal of state highway bonds are due or will become due so much of the revenues derived from such “sources of revenue” as may be necessary in order to pay the interest on and principal of all state highway bonds due and falling due in such fiscal year and the State Treasurer shall thereafter apply such monies to the punctual payment of such principal and interest as the same respectively fall due. In the event that the revenues derived from such sources of revenues so pledged prove insufficient to meet the payments of the interest on principal of such state highway bonds in such fiscal year, then the State Treasurer is authorized and directed to set aside from the general tax revenues received in such fiscal year so much of such general tax revenues as become needed for such purpose and to apply such revenues to the punctual payment of the interest on and principal of all state highway bonds due or to become due in such fiscal year.

§ 11-29-30	<p>With respect to the issuance of additional state institution bonds pursuant to the provisions of Chapter 107, Title 59 of the 1976 Code, which bonds are to be henceforth secured by a pledge of the full faith, credit and taxing power of the State and are to be additionally secured by a pledge of the revenues derived from the tuition fees received by the particular state institution of higher learning for which such state institution bonds are issued, the State Treasurer is hereby directed to set aside from such tuition fees received in each fiscal year in which the interest on and principal of state institution bonds for such state institution are due or will become due so much of the revenues derived from such tuition fees as may be necessary in order to pay the interest on and principal of all state institution bonds for such institution due and falling due in such fiscal year and the State Treasurer shall thereafter apply such monies to the punctual payment of such principal and interest as the same respectively fall due. In the event that the revenues derived from the tuition fees so pledged prove insufficient to meet the payments of the interest on and principal of such state institution bonds in such fiscal year, then the State Treasurer is authorized and directed to set aside from the general tax revenues received in such fiscal year so much of such general tax revenues as become needed for such purpose and to apply the same to the punctual payment of the interest on and principal of such state institution bonds due or to become due in such fiscal year.</p>
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11-38-20	<p>(A) The State Fiscal Accountability Authority is authorized to provide for the issuance of capital improvement bonds in denominations of less than one thousand dollars.(B) Capital improvement bonds in denominations of less than \$1,000 are authorized to be sold at a price less than par, plus accrued interest from their date to the date of their delivery.(C) For the purpose of computing the principal amount of capital improvement bonds issued, the amount of capital improvement bonds issued in denominations less than \$1,000 must be determined by the amount the State receives from the issuance of such bonds, rather than the par amount of such bonds.(D) Capital improvement bonds issued in denominations of less than \$1,000 shall mature in such amounts and at such times as the State Treasurer shall determine.(E) The requirement that capital improvement bonds be sold upon sealed proposals, after publication of notice of sale one or more times at least ten days before such sale, in a newspaper of general circulation in the State and also a financial paper published in New York City, shall not apply to the sale of capital improvement bonds in denominations of less than \$1,000. The State Treasurer is authorized to develop and implement a program for the sale of capital improvement bonds in denominations of less than \$1,000, including the advertisement of it, that will ensure an opportunity for citizens of the State to purchase these capital improvement bonds.(F) The State Treasurer shall determine the rate or rates of interest that capital improvement bonds issued in denominations of less than \$1,000 shall bear.</p>
11-41-140	<p>[State General Obligation Economic Development} Bonds must be sold by the Governor and the State Treasurer upon sealed proposals, after publication of notice of the sale one or more times at least seven days before the sale, in a financial paper published in New York City which regularly publishes notices of sale of state or municipal bonds. The bonds may be awarded upon the terms and in the manner as prescribed by the State Treasurer. The right is reserved to reject all bids and to readvertise the bonds for sale. For the purpose of bringing about successful sales of the bonds, the State Treasurer may do all things ordinarily and customarily done in connection with the sale of state or municipal bonds. All expenses incident to the sale of the bonds must be paid from the proceeds of the sale of the bonds.</p>

11-41-150	The proceeds of the sale of [State General Obligation Economic] bonds must be received by the State Treasurer and applied by the State Treasurer to the purposes for which issued, except that the accrued interest, if any, may be used to discharge in part the first interest to become due on the bonds, but the purchasers of the bonds in no way are liable for the proper application of the proceeds to the purposes for which they are intended.
11-43-620	Transportation infrastructure bonds must be sold by the Governor and the State Treasurer upon sealed proposals, after publication of notice of such sale one or more times at least seven days before such sale, in a newspaper of general circulation in the State and also in a financial paper published in New York City which regularly publishes notices of sale of state or municipal bonds. The bonds must be awarded to the bidder offering to purchase the transportation infrastructure bonds at the lowest net interest cost to the State at a price of not less than ninety-nine percent of par and accrued interest to the date of delivery, but the right is reserved to reject all bids and to readvertise the bonds for sale and to waive technicalities in the bidding.
§ 11-9-90	Whenever Governor and State Treasurer are empowered by law to issue general obligation bonds of State, they may receive bids on more than one issue at same time, and may impose condition to bidding a requirement that proposals submitted for all bonds offered are submitted as though bonds constituted a single issue. Under such circumstances, fact that more than one issue of bonds is offered, and maturity schedules of issues are dissimilar, shall be disregarded and bonds shall be awarded to bidder whose bid prescribes lowest interest cost, calculated on basis that all bonds issued constituted single issue of bonds.

§ 11-9-90	Whenever Governor and State Treasurer are empowered by law to issue general obligation bonds of State, they may receive bids on more than one issue at same time, and may impose condition to bidding a requirement that proposals submitted for all bonds offered are submitted as though bonds constituted a single issue. Under such circumstances, fact that more than one issue of bonds is offered, and maturity schedules of issues are dissimilar, shall be disregarded and bonds shall be awarded to bidder whose bid prescribes lowest interest cost, calculated on basis that all bonds issued constituted single issue of bonds.
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LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
59-53-162	Proceeds of bonds issued by State Board of Technical and Comprehensive Education must be delivered to STO and retained by STO in special fund or funds applied to purpose for which bonds issued; authorizes STO to make temporary investments of funds derived from proceeds of bonds	346		
59-119-1020	Proceeds of all Clemson University athletic facilities revenue bonds must be delivered to STO or corporate trust designee; STO is authorized to make temporary investments of such funds	371		
59-121-420	Proceeds of all Citadel athletic facilities bonds must be delivered to STO or corporate trust designee; STO is authorized to make temporary investments of funds derived from proceeds of bonds	373		
59-125-620	Proceeds of all Winthrop University Athletic Bonds must be delivered to STO or its corporate trust designee; STO authorized to make temporary investments of proceeds of bonds	377		
59-127-410	Proceeds of all S.C. State University special obligation bonds must be delivered to STO and retained in special fund; authorizes STO to make temporary investments of proceeds of bonds	379		
59-127-450	Directs STO to deposit revenues derived from S.C. State University special obligation bonds in the Debt Service Fund and to utilize proceeds for payment of principal and interest on bonds; authorizes STO to invest monies in fund	380		

59-133-320	Proceeds of all Francis Marion University athletic facilities revenue bonds must be delivered to STO or its corporate trust designee; STO authorized to make temporary investments of such funds	382		
Title 11, Chapter 43	Grants STO various powers and responsibilities with respect to S.C. Transportation Infrastructure Bank, including responsibility of holding bank accounts in trust, withholding State funds from government entities that fail to remit funds due the bank, power to invest funds of bank, power to issue bonds of bank, responsibility of securing the bank's bonds, and authority to use tax revenues to pay principal and interest on bank's bonds	89		
44-20-1170(A)	S.C. Commission on Disabilities and Special Needs must remit revenues to STO for credit to a special fund which must be applied to meet sums due by Commission under its obligations; monies from special fund also must be applied by STO to payment of principal and interest on outstanding state capital improvement bonds	235		
55-11-340(20)(f)	Directs STO, upon receipt of ad valorem taxes collected by Richland and Lexington county treasurers for purpose of paying principal and interest on bonds issued by Richland-Lexington Airport Commission, to set funds apart in special fund and apply them solely to payment of principal and interest of bonds; when all outstanding principal and interest bond payments have been made, STO is directed to transfer balance in special fund to general fund of the Airport Commission	306		
59-53-165	Authorizes STO to accept custody of revenues derived from any special student fee, to deposit them in applicable debt service fund and bond reserve fund for payment of principal and interest	347		
59-123-95	If MUSC borrows money to acquire diagnostic and therapeutical equipment by issuing notes payable from charges for service or use rendered by such equipment, charges must be remitted to STO and deposited in special fund to be applied to payment of principal and interest on notes	376		
59-131-25	Proceeds of bonds issued College of Charleston pursuant to this Chapter shall be deposited with STO and used to defray cost of acquiring and constructing parking facilities as well as to defray cost of issuing bonds	381		
11-37-260	Authorizes STO to withhold State funds from local governments that fail to pay principal or interest on its obligations	82		

11-40-200	Authorizes STO to withhold State funds from local governments that fail to collect and remit in full amounts due S.C. Infrastructure Facilities Authority	86		
11-50-120	Authorizes STO to withhold State funds from eligible entity that fails to remit amounts due to S.C. Rural Infrastructure Authority	94		
59-146-140	Proceeds of sale of state school facilities bonds must be received by STO and applied to purposes for which issued	386		
S.C. Const. Art. X, § 13	Authorizes STO to collect ad valorem tax levied by Comptroller General for purpose of paying principal or interest payments on State's general obligation bonds which have become due and for which sufficient revenues have not been allocated by General Assembly	3		
S.C. Const. Art. X, § 14(5)	Directs STO to withhold state appropriations from political subdivision which fails to effect punctual payment of principal or interest on its general obligation debt	4		
S.C. Const. Art. X, § 15(4)	Directs STO to withhold state appropriations from school district which fails to effect punctual payment of principal or interest on its general obligation debt	5		
11-15-100	Directs STO to make available to political subdivisions blank forms upon which they can report information required to be filed with STO before incurring general obligation or revenue obligation debt; directs STO to annually survey general obligation and revenue obligation debt of political subdivisions and maintain current record of such; directs STO to publish statement of obligations of all subdivisions on or before March 31 each year	73		
11-27-100	Upon ratification of "New Article X" of State Constitution, authorizes STO to promulgate regulations implementing certain provisions	75		
11-29-10	Directs STO to set aside tax revenues necessary to pay principal and interest on certain state capital improvement bonds or state school bonds due in such year, and to use such funds to pay principal and interest as they become due	76		
11-29-20	Directs STO to set aside revenues necessary to pay interest and principal on certain state highway bonds due in such year, and to use such funds to pay principal and interest as they become due; if revenues insufficient, STO authorized to set aside general tax revenues	77		

11-29-30	Directs STO to set aside revenues derived from tuition fees necessary to pay interest and principal on state institution bonds due in such year, and to use such funds to pay principal and interest as they become due; if revenues insufficient, STO authorized to set aside general tax revenues	78		
11-31-40	STO responsible for establishing registry for fully registered bonds and to pay interest due	79		
11-37-100 to 11-37-110	STO responsible for issuing bonds of S.C. Resources Authority	80		
11-38-20	Authorizes STO to develop and implement program for sale of capital improvement bonds in denominations of less than \$1,000, and to determine rates of interest such bonds shall bear	83		
11-40-130	Authorizes STO, or bank designated by STO, to serve as corporate trustee for purpose of securing bonds issued by S.C. Infrastructure Facilities Authority	85		
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11-51-170	STO responsible for receiving proceeds of general obligation debt and applying to purpose for which issued	98		
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13-21-120	Edisto Development Authority must file description of financial obligations with STO within 30 days of issuance	128		
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48-5-110(B)	Bonds of S.C. Water Quality Revolving Fund Authority may be secured by trust indenture between Authority and corporate trustee which may be STO or S.C. bank or trust company designated by STO	273		

55-11-360	All excess revenues derived by Richland-Lexington Airport Commission must be paid over to STO and held for payment of interest and principal of general obligation bonds of the district	307		
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57-5-1460	Empowers Governor and State Treasurer to effect delivery of turnpike bonds upon receipt of resolution	326		
57-11-290	Authorizes Governor and State Treasurer to issue state highway bonds in accordance with provisions of resolution from Budget and Control Board	328		
57-11-360	Authorizes Governor and State Treasurer to sell state highway bonds	329		
57-11-380	Provides that proceeds of sale of state highway bonds must be received by STO and applied by STO to purpose for which issued	330		
59-71-55	Directs STO to transfer to county treasurer from general fund of State amount needed to enable county treasurer to make payment of principal and interest coming due if county treasurer does not have sufficient funds on hand; directs STO to withhold from operating school unit distributions of revenue sufficient to reimburse general fund	353		
59-71-310	If principal and interest of school district general obligation bonds are not paid when due and school district board provides by resolution that holder of bonds may present them to STO, then STO is authorized to effect payment and charge such payments to school district's account and diminish payments otherwise to be made to school district	354		
59-71-320	Authorizes STO to approve resolution of school district board concerning bonds in default if STO makes certain determinations	355		
59-71-330	Authorizes STO to prescribe rules and regulations concerning school bonds in default	356		
59-71-340	Directs STO to collect ad valorem tax levied by Comptroller General on all taxable property in school district when school district does not levy adequate millage for that year to provide debt service to become due on bonds	357		
Title 59, Chapter 71, Article 5	Authorizes Governor and STO to issue State schools bonds under conditions prescribed in this article	358		
Title 59, Chapter 107	Empowers Governor and State Treasurer to provide for issuance of state institution bonds in accordance with provisions in this chapter	363		
59-146-110	Provides that all state school facilities bonds must be signed by Governor and State Treasurer	384		

59-146-130	Governor and State Treasurer responsible for sale of state school facilities bonds	385		
Proviso 98.6, 2015-16 Appropriations Act	Authorizes STO to charge actual costs associated with administration and management of indebtedness of State and its agencies and institutions	405		
1-1-1020	Authorizes STO to provide financing arrangements under master lease program on behalf of entities of state government	7		
11-51-140	General obligation debt issued pursuant to S.C. Research University Infrastructure Act must be signed by State Treasurer	96		



Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	<i>O7.4.1</i>
Description	<i>Maintain and enhance technical systems to ensure timely and accurate calculation and execution of debt payments.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>Eliminating the 30 year old legacy Debt Management System will allow for the effective debt management of existing credits thereby limiting the risks that a borrower will default on a debt by failing to make required payments. Such a default would result in a greater financial need from the public.</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Ensure timely repayment of debt when due.</i>
Higher Goal Strategy Supports:	<i>Debt: Manage the debt for the State, its agencies, institutions and authorities, optimizing the debt structure and ensuring timely repayment of debt when it is due.</i>

RESPONSIBLE LEAD

Name	<i>Kevin Kibler</i>
Length of Time (individual has been responsible for goal, strategy or objective) in months	6 Months
Position	<i>Program Manager II</i>
Office Address	<i>Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201</i>
Dept/Divison	<i>Debt Management</i>

Dept/Division Summary	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy. The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners
Paying Agent/Registrar (various)	Coordinates and interacts with partners to achieve objective.
SCEIS Staff	Coordinates and interacts with partners to achieve objective.

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
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State's credit rating	1-2 years	Credit rating agencies determination after analyzing multiple criteria and financial data	N/A	N/A	N/A	N/A	AAA/Aaa/AA+	AAA/Aaa/AAA	STO will update once 2014/15 Accountability Report is completed.	TBD	17
Total annual debt service requirement	1-2 years	Required annual debt payments to bondholders/STO Debt Management V04 appropriation	N/A	N/A	N/A	N/A	As required by the Constitution and statute	\$182,135,964	STO will update once 2014/15 Accountability Report is completed.	TBD	18

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	These performance measures were chosen to best correspond with the success of the objective based the benchmarks and standards of the public indebteddnss industry.
Reasoning for 2014-15 Target Value?	To exceed or fall below benchmarks and standards for the industry.
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Standard target.
Reasoning for missing 2014-15 Target Value, if missed?	-
Work being done to improve deficiencies?	-
Reasoning for 2015-16 Target Value?	TBD
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Standard target.
Provide the names of employees who are responsible for...	Kevin Kibler, Assistant State Treasurer
Comparison of actual performance to target value	-
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	-
Making any changes needed to ensure the target value is reached	-
Names and Titles of individuals who set this as a performance measure	Kevin Kibler, Assistant State Treasurer

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YYYY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

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Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
An increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
Proviso 98.6, 2015-16	State Treasurer may charge actual costs associated with administration and management of indebtedness of the State, its agencies and institutions
S.C. Const. Art. X, § 13(4)	If payment due as the principal of or interest on any general obligation debt shall not be paid as and when the same become due and payable, the State Comptroller General shall forthwith levy and the State Treasurer shall collect an ad valorem tax upon all taxable property in the State sufficient to meet the payment of principal and interest of such general obligation then due.

§ 11-29-10	<p>With respect to the issuance of additional state capital improvement bonds pursuant to the provisions of Act 1377 of 1968 and with respect to the issuance of state school bonds pursuant to Article 5, Chapter 71, Title 59 of the 1976 Code, which bonds are henceforth to be secured solely by a pledge of the full faith, credit and taxing power of the State, the State Treasurer is hereby directed to set aside from the tax revenues received in each fiscal year in which the interest on and principal of state capital improvement bonds or state school bonds will become due so much of such tax revenues as may be necessary in order to pay the principal of and interest on all state capital improvement bonds and all state school bonds due and falling due in such fiscal year and the State Treasurer shall thereafter apply such monies to the punctual payment of such principal and interest as the same respectively fall due.</p>
§ 11-29-20	<p>With respect to the issuance of additional state highway bonds pursuant to the provisions of Article 3, Chapter 11, Title 57 of the 1976 Code, which bonds are to be henceforth secured by a pledge of the full faith, credit and taxing power of the State and are to be additionally secured by a pledge of the revenues derived from the “sources of revenue” as such term is defined in subparagraph (a), paragraph (6), Section 13, Article X of the Constitution, the State Treasurer is hereby directed to set aside from the revenues derived from such sources of revenue received in each fiscal year in which the interest on and principal of state highway bonds are due or will become due so much of the revenues derived from such “sources of revenue” as may be necessary in order to pay the interest on and principal of all state highway bonds due and falling due in such fiscal year and the State Treasurer shall thereafter apply such monies to the punctual payment of such principal and interest as the same respectively fall due. In the event that the revenues derived from such sources of revenues so pledged prove insufficient to meet the payments of the interest on principal of such state highway bonds in such fiscal year, then the State Treasurer is authorized and directed to set aside from the general tax revenues received in such fiscal year so much of such general tax revenues as become needed for such purpose and to apply such revenues to the punctual payment of the interest on and principal of all state highway bonds due or to become due in such</p>

§ 11-29-30	<p>With respect to the issuance of additional state institution bonds pursuant to the provisions of Chapter 107, Title 59 of the 1976 Code, which bonds are to be henceforth secured by a pledge of the full faith, credit and taxing power of the State and are to be additionally secured by a pledge of the revenues derived from the tuition fees received by the particular state institution of higher learning for which such state institution bonds are issued, the State Treasurer is hereby directed to set aside from such tuition fees received in each fiscal year in which the interest on and principal of state institution bonds for such state institution are due or will become due so much of the revenues derived from such tuition fees as may be necessary in order to pay the interest on and principal of all state institution bonds for such institution due and falling due in such fiscal year and the State Treasurer shall thereafter apply such monies to the punctual payment of such principal and interest as the same respectively fall due. In the event that the revenues derived from the tuition fees so pledged prove insufficient to meet the payments of the interest on and principal of such state institution bonds in such fiscal year, then the State Treasurer is authorized and directed to set aside from the general tax revenues received in such fiscal year so much of such general tax revenues as become needed for such purpose and to apply the same to the punctual payment of the interest on and principal of such state institution bonds due or to become due in such fiscal year.</p>
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11-38-20	(A) The State Fiscal Accountability Authority is authorized to provide for the issuance of capital improvement bonds in denominations of less than one thousand dollars.(B) Capital improvement bonds in denominations of less than \$1,000 are authorized to be sold at a price less than par, plus accrued interest from their date to the date of their delivery.(C) For the purpose of computing the principal amount of capital improvement bonds issued, the amount of capital improvement bonds issued in denominations less than \$1,000 must be determined by the amount the State receives from the issuance of such bonds, rather than the par amount of such bonds.(D) Capital improvement bonds issued in denominations of less than \$1,000 shall mature in such amounts and at such times as the State Treasurer shall determine.(E) The requirement that capital improvement bonds be sold upon sealed proposals, after publication of notice of sale one or more times at least ten days before such sale, in a newspaper of general circulation in the State and also a financial paper published in New York City, shall not apply to the sale of capital improvement bonds in denominations of less than \$1,000. The State Treasurer is authorized to develop and implement a program for the sale of capital improvement bonds in denominations of less than \$1,000, including the advertisement of it, that will ensure an opportunity for citizens of the State to purchase these capital improvement bonds.(F) The State Treasurer shall determine the rate or rates of interest that capital
11-41-140	[State General Obligation Economic Development} Bonds must be sold by the Governor and the State Treasurer upon sealed proposals, after publication of notice of the sale one or more times at least seven days before the sale, in a financial paper published in New York City which regularly publishes notices of sale of state or municipal bonds. The bonds may be awarded upon the terms and in the manner as prescribed by the State Treasurer. The right is reserved to reject all bids and to readvertise the bonds for sale. For the purpose of bringing about successful sales of the bonds, the State Treasurer may do all things ordinarily and customarily done in connection with the sale of state or municipal bonds. All expenses incident to the sale of the bonds must be paid from the proceeds of the sale of the bonds.

11-41-150	The proceeds of the sale of [State General Obligation Economic] bonds must be received by the State Treasurer and applied by the State Treasurer to the purposes for which issued, except that the accrued interest, if any, may be used to discharge in part the first interest to become due on the bonds, but the purchasers of the bonds in no way are liable for the proper application of the proceeds to the purposes for which they are intended.
11-43-620	Transportation infrastructure bonds must be sold by the Governor and the State Treasurer upon sealed proposals, after publication of notice of such sale one or more times at least seven days before such sale, in a newspaper of general circulation in the State and also in a financial paper published in New York City which regularly publishes notices of sale of state or municipal bonds. The bonds must be awarded to the bidder offering to purchase the transportation infrastructure bonds at the lowest net interest cost to the State at a price of not less than ninety-nine percent of par and accrued interest to the date of delivery, but the right is reserved to reject all bids and to readvertise the bonds for sale and to waive technicalities in the bidding.
§ 11-9-90	Whenever Governor and State Treasurer are empowered by law to issue general obligation bonds of State, they may receive bids on more than one issue at same time, and may impose condition to bidding a requirement that proposals submitted for all bonds offered are submitted as though bonds constituted a single issue. Under such circumstances, fact that more than one issue of bonds is offered, and maturity schedules of issues are dissimilar, shall be disregarded and bonds shall be awarded to bidder whose bid prescribes lowest interest cost, calculated on basis that all bonds issued constituted single issue of bonds.
§ 11-9-90	Whenever Governor and State Treasurer are empowered by law to issue general obligation bonds of State, they may receive bids on more than one issue at same time, and may impose condition to bidding a requirement that proposals submitted for all bonds offered are submitted as though bonds constituted a single issue. Under such circumstances, fact that more than one issue of bonds is offered, and maturity schedules of issues are dissimilar, shall be disregarded and bonds shall be awarded to bidder whose bid prescribes lowest interest cost, calculated on basis that all bonds issued constituted single issue of bonds.

LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
59-53-162	Proceeds of bonds issued by State Board of Technical and Comprehensive Education must be delivered to STO and retained by STO in special fund or funds applied to purpose for which bonds issued; authorizes STO to make temporary investments of funds derived from proceeds of bonds	346		
59-119-1020	Proceeds of all Clemson University athletic facilities revenue bonds must be delivered to STO or corporate trust designee; STO is authorized to make temporary investments of such funds	371		
59-121-420	Proceeds of all Citadel athletic facilities bonds must be delivered to STO or corporate trust designee; STO is authorized to make temporary investments of funds derived from proceeds of bonds	373		
59-125-620	Proceeds of all Winthrop University Athletic Bonds must be delivered to STO or its corporate trust designee; STO authorized to make temporary investments of proceeds of bonds	377		
59-127-410	Proceeds of all S.C. State University special obligation bonds must be delivered to STO and retained in special fund; authorizes STO to make temporary investments of proceeds of bonds	379		
59-127-450	Directs STO to deposit revenues derived from S.C. State University special obligation bonds in the Debt Service Fund and to utilize proceeds for payment of principal and interest on bonds; authorizes STO to invest monies in fund	380		
59-133-320	Proceeds of all Francis Marion University athletic facilities revenue bonds must be delivered to STO or its corporate trust designee; STO authorized to make temporary investments of such funds	382		
Title 11, Chapter 43	Grants STO various powers and responsibilities with respect to S.C. Transportation Infrastructure Bank, including responsibility of holding bank accounts in trust, withholding State funds from government entities that fail to remit funds due the bank, power to invest funds of bank, power to issue bonds of bank, responsibility of securing the bank's bonds, and authority to use tax revenues to pay principal and interest on bank's bonds	89		

44-20-1170(A)	S.C. Commission on Disabilities and Special Needs must remit revenues to STO for credit to a special fund which must be applied to meet sums due by Commission under its obligations; monies from special fund also must be applied by STO to payment of principal and interest on outstanding state capital improvement bonds	235		
55-11-340(20)(f)	Directs STO, upon receipt of ad valorem taxes collected by Richland and Lexington county treasurers for purpose of paying principal and interest on bonds issued by Richland-Lexington Airport Commission, to set funds apart in special fund and apply them solely to payment of principal and interest of bonds; when all outstanding principal and interest bond payments have been made, STO is directed to transfer balance in special fund to general fund of the Airport Commission	306		
59-53-165	Authorizes STO to accept custody of revenues derived from any special student fee, to deposit them in applicable debt service fund and bond reserve fund for payment of principal and interest	347		
59-123-95	If MUSC borrows money to acquire diagnostic and therapeutical equipment by issuing notes payable from charges for service or use rendered by such equipment, charges must be remitted to STO and deposited in special fund to be applied to payment of principal and interest on notes	376		
59-131-25	Proceeds of bonds issued College of Charleston pursuant to this Chapter shall be deposited with STO and used to defray cost of acquiring and constructing parking facilities as well as to defray cost of issuing bonds	381		
11-37-260	Authorizes STO to withhold State funds from local governments that fail to pay principal or interest on its obligations	82		
11-40-200	Authorizes STO to withhold State funds from local governments that fail to collect and remit in full amounts due S.C. Infrastructure Facilities Authority	86		
11-50-120	Authorizes STO to withhold State funds from eligible entity that fails to remit amounts due to S.C. Rural Infrastructure Authority	94		
59-146-140	Proceeds of sale of state school facilities bonds must be received by STO and applied to purposes for which issued	386		
S.C. Const. Art. X, § 13	Authorizes STO to collect ad valorem tax levied by Comptroller General for purpose of paying principal or interest payments on State's general obligation bonds which have become due and for which sufficient revenues have not been allocated by General Assembly	3		

S.C. Const. Art. X, § 14(5)	Directs STO to withhold state appropriations from political subdivision which fails to effect punctual payment of principal or interest on its general obligation debt	4		
S.C. Const. Art. X, § 15(4)	Directs STO to withhold state appropriations from school district which fails to effect punctual payment of principal or interest on its general obligation debt	5		
11-15-100	Directs STO to make available to political subdivisions blank forms upon which they can report information required to be filed with STO before incurring general obligation or revenue obligation debt; directs STO to annually survey general obligation and revenue obligation debt of political subdivisions and maintain current record of such; directs STO to publish statement of obligations of all subdivisions on or before March 31 each year	73		
11-27-100	Upon ratification of "New Article X" of State Constitution, authorizes STO to promulgate regulations implementing certain provisions	75		
11-29-10	Directs STO to set aside tax revenues necessary to pay principal and interest on certain state capital improvement bonds or state school bonds due in such year, and to use such funds to pay principal and interest as they become due	76		
11-29-20	Directs STO to set aside revenues necessary to pay interest and principal on certain state highway bonds due in such year, and to use such funds to pay principal and interest as they become due; if revenues insufficient, STO authorized to set aside general tax revenues	77		
11-29-30	Directs STO to set aside revenues derived from tuition fees necessary to pay interest and principal on state institution bonds due in such year, and to use such funds to pay principal and interest as they become due; if revenues insufficient, STO authorized to set aside general tax revenues	78		
11-31-40	STO responsible for establishing registry for fully registered bonds and to pay interest due	79		
11-37-100 to 11-37-110	STO responsible for issuing bonds of S.C. Resources Authority	80		
11-38-20	Authorizes STO to develop and implement program for sale of capital improvement bonds in denominations of less than \$1,000, and to determine rates of interest such bonds shall bear	83		
11-40-130	Authorizes STO, or bank designated by STO, to serve as corporate trustee for purpose of securing bonds issued by S.C. Infrastructure Facilities Authority	85		

Title 11, Chapter 41	Grants STO various powers and responsibilities with respect to issuance of bonds pursuant to State General Obligation Economic Development Act and the application of the proceeds of such bonds	88		
11-51-160	General obligation debt issued pursuant to S.C. Research University Infrastructure Act must be sold by Governor and State Treasurer upon sealed proposals and after advertisement; STO responsible for determining terms and manner of awarding debt; STO authorized to do all things ordinarily and customarily done in connection with sale of state or municipal bonds toward purpose of bringing about successful bond sales	97		
11-51-170	STO responsible for receiving proceeds of general obligation debt and applying to purpose for which issued	98		
13-1-710	Deputy director of Division of Savannah Valley Development required to file with STO report on bonds issued within 30 days of issuance	121		
13-19-110	Midlands Authority required to file description of financial obligations with STO within 30 days of issuance	126		
13-21-120	Edisto Development Authority must file description of financial obligations with STO within 30 days of issuance	128		
46-15-20	State Treasurer must countersign all bonds issued by Agriculture Commission	259		
48-5-110(B)	Bonds of S.C. Water Quality Revolving Fund Authority may be secured by trust indenture between Authority and corporate trustee which may be STO or S.C. bank or trust company designated by STO	273		
55-11-360	All excess revenues derived by Richland-Lexington Airport Commission must be paid over to STO and held for payment of interest and principal of general obligation bonds of the district	307		
57-5-1410	Requires that all turnpike bonds be signed by Governor and State Treasurer	325		
57-5-1460	Empowers Governor and State Treasurer to effect delivery of turnpike bonds upon receipt of resolution	326		
57-11-290	Authorizes Governor and State Treasurer to issue state highway bonds in accordance with provisions of resolution from Budget and Control Board	328		
57-11-360	Authorizes Governor and State Treasurer to sell state highway bonds	329		
57-11-380	Provides that proceeds of sale of state highway bonds must be received by STO and applied by STO to purpose for which issued	330		

59-71-55	Directs STO to transfer to county treasurer from general fund of State amount needed to enable county treasurer to make payment of principal and interest coming due if county treasurer does not have sufficient funds on hand; directs STO to withhold from operating school unit distributions of revenue sufficient to reimburse general fund	353		
59-71-310	If principal and interest of school district general obligation bonds are not paid when due and school district board provides by resolution that holder of bonds may present them to STO, then STO is authorized to effect payment and charge such payments to school district's account and diminish payments otherwise to be made to school district	354		
59-71-320	Authorizes STO to approve resolution of school district board concerning bonds in default if STO makes certain determinations	355		
59-71-330	Authorizes STO to prescribe rules and regulations concerning school bonds in default	356		
59-71-340	Directs STO to collect ad valorem tax levied by Comptroller General on all taxable property in school district when school district does not levy adequate millage for that year to provide debt service to become due on bonds	357		
Title 59, Chapter 71, Article 5	Authorizes Governor and STO to issue State schools bonds under conditions prescribed in this article	358		
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59-146-110	Provides that all state school facilities bonds must be signed by Governor and State Treasurer	384		
59-146-130	Governor and State Treasurer responsible for sale of state school facilities bonds	385		
Proviso 98.6, 2015-16 Appropriations Act	Authorizes STO to charge actual costs associated with administration and management of indebtedness of State and its agencies and institutions	405		
1-1-1020	Authorizes STO to provide financing arrangements under master lease program on behalf of entities of state government	7		
11-51-140	General obligation debt issued pursuant to S.C. Research University Infrastructure Act must be signed by State Treasurer	96		

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
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Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	S8.1
Description	<i>Maintain regular contact and communication with the rating services through monthly reporting of revenue collections.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>Through an established relationship with the credit rating services, the State Treasurer's Office limits the risks, impacts, and costs associated with higher effective interest rates and other borrowing costs. Such an increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers; thus, potentially jeopardizing the mission of the issuers.</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Maintain regular contact and communication with the rating services through monthly reporting of revenue collections.</i>
Higher Goal Strategy Supports:	<i>Debt: Coordinate the relationship with the credit rating services so that the State's credit rating is maximized.</i>

RESPONSIBLE LEAD

Name	<i>Kevin Kibler</i>
Length of Time (individual has been responsible for goal, strategy or objective) in months	6 Months
Position	<i>Program Manager II</i>
Office Address	<i>Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201</i>
Dept/Divison	<i>Debt Management</i>

Dept/Division Summary	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy.The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners
N/A	

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
N/A											

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	
Reasoning for 2014-15 Target Value?	
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Reasoning for missing 2014-15 Target Value, if missed?	
Work being done to improve deficiencies?	
Reasoning for 2015-16 Target Value?	
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	

Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	
Making any changes needed to ensure the target value is reached	
Names and Titles of individuals who set this as a performance measure	

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
N/A				

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
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11-5-240	In order to maintain high credit rating of the State, State Treasurer shall furnish rating services, from time to time, such reports and data as may be required and he considers appropriate
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LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
N/A				



Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	S8.2
Description	<i>Periodic reporting of budgetary results and legislative developments that will potentially affect fiscal policy.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>The State Treasurer's Office periodically reports budgetary results and legislative developments that could potentially affect fiscal policy to the the credit rating services as part of its role in fulfilling the disclosure mode needed to maintain an effective relationship with these services. Such disclosure ultimately limits the risks, impacts, and costs associated with higher effective interest rates and other borrowing costs. Such an increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers; thus, potentially jeopardizing the mission of the issuers.</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Periodic reporting of budgetary results and legislative developments that will potentially affect fiscal policy.</i>
Higher Goal Strategy Supports:	<i>Debt: Coordinate the relationship with the credit rating services so that the State's credit rating is maximized.</i>

RESPONSIBLE LEAD

Name	<i>Kevin Kibler</i>
Length of Time (individual has been responsible for goal, strategy or objective) in months	6 Months
Position	<i>Program Manager II</i>

Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	Debt Management
Dept/Division Summary	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy.The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.

MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners
N/A	

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
N/A											

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	
Reasoning for 2014-15 Target Value?	
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Reasoning for missing 2014-15 Target Value, if missed?	

Work being done to improve deficiencies?	
Reasoning for 2015-16 Target Value?	
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	
Making any changes needed to ensure the target value is reached	
Names and Titles of individuals who set this as a performance measure	

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
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Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
N/A				

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
11-5-240	In order to maintain high credit rating of the State, State Treasurer shall furnish rating services, from time to time, such reports and data as may be required and he considers appropriate

LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
N/A				



Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	S8.3
Description	<i>Conduct an annual State review that contains State-specific information and peer group comparisons.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>The State Treasurer's Office conducts an annual State review that contains State-specific information and peer group comparisons in an effort to limit the risks, impacts, and costs associated with higher effective borrowing costs. Such an increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers; thus, potentially jeopardizing the mission of the issuers.</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Conduct an annual State review that contains State-specific information and peer group comparisons.</i>
Higher Goal Strategy Supports:	<i>Debt: Coordinate the relationship with the credit rating services so that the State's credit rating is maximized.</i>

RESPONSIBLE LEAD

Name	Kevin Kibler
Length of Time (individual has been responsible for goal, strategy or objective) in months	6 Months
Position	Program Manager II
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	Debt Management

Dept/Division Summary	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy.The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners
N/A	

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
N/A											

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	
Reasoning for 2014-15 Target Value?	
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Reasoning for missing 2014-15 Target Value, if missed?	
Work being done to improve deficiencies?	
Reasoning for 2015-16 Target Value?	
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	

Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	
Making any changes needed to ensure the target value is reached	
Names and Titles of individuals who set this as a performance measure	

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
N/A				

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
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11-5-240	In order to maintain high credit rating of the State, State Treasurer shall furnish rating services, from time to time, such reports and data as may be required and he considers appropriate
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LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
N/A				



Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	S8.4
Description	<i>Provide timely responses to requests for additional information.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>The State Treasurer's Office provides timely responses to requests for additional information as part of its role in fulfilling the disclosure mode needed by others to make important financial decisions. Such disclosure ultimately limits the risks, impacts, and costs associated with higher effective interest rates and other borrowing costs. Such an increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers; thus, potentially jeopardizing the mission of the issuers.</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Provide timely responses to requests for additional information.</i>
Higher Goal Strategy Supports:	<i>Debt: Coordinate the relationship with the credit rating services so that the State's credit rating is maximized.</i>

RESPONSIBLE LEAD

Name	Kevin Kibler
Length of Time (individual has been responsible for goal, strategy or objective) in months	6 Months
Position	Program Manager II
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201

Dept/Divison	<i>Debt Management</i>
Dept/Division Summary	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy.The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.

MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions : The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners
N/A	

PERFORMANCE MEASURES

Instructions : The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performanc e Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
N/A											

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	
Reasoning for 2014-15 Target Value?	
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Reasoning for missing 2014-15 Target Value, if missed?	
Work being done to improve deficiencies?	
Reasoning for 2015-16 Target Value?	

Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	
Making any changes needed to ensure the target value is reached	
Names and Titles of individuals who set this as a performance measure	

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

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Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
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IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
N/A				

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
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11-5-240	In order to maintain high credit rating of the State, State Treasurer shall furnish rating services, from time to time, such reports and data as may be required and he considers appropriate
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LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
N/A				



Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	O8.5.1
Description	<i>Maintain the State's AAA credit rating.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>The State Treasurer's Office provides advice and guidance to policymakers as part of its role in assisting with the State's important fiscal decisions and the maintenance of the State's credit rating. Such guidance ultimately limits the risks, impacts, and costs associated with higher effective interest rates and other borrowing costs. Such an increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers; thus, potentially jeopardizing the mission of the issuers.</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Provide advice and guidance to policymakers and perform impact analysis and special studies as requested or warranted by circumstances or developments having potential consequence on the State's rating.</i>
Higher Goal Strategy Supports:	<i>Debt: Coordinate the relationship with the credit rating services so that the State's credit rating is maximized.</i>

RESPONSIBLE LEAD

Name	Kevin Kibler
Length of Time (individual has been responsible for goal, strategy or objective) in months	6 Months
Position	Program Manager II

Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	Debt Management
Dept/Division Summary	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy.The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.

MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions : The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners
Various State Agencies	Coordinates and interacts with partners to achieve goal.
Bond Counsel (various)	Coordinates and interacts with partners to achieve goal.
Disclosure Counsel (various)	Coordinates and interacts with partners to achieve goal.
Issuer's Counsel (various)	Coordinates and interacts with partners to achieve goal.
Transaction Counsel (various)	Coordinates and interacts with partners to achieve goal.
Tax Counsel (various)	Coordinates and interacts with partners to achieve goal.
Financial Advisor (various)	Coordinates and interacts with partners to achieve goal.
Credit Rating Agencies (various)	Coordinates and interacts with partners to achieve goal.
Cash Flow Verification Agent (various)	Coordinates and interacts with partners to achieve goal.

Paying Agent/Registrar (various)	Coordinates and interacts with partners to achieve goal.
BLX Group (Arbitrage Consultant)	Coordinates and interacts with partners to achieve goal.
Underwriter (various)	Coordinates and interacts with partners to achieve goal.

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
State's credit rating	1-2 years	Credit rating agencies determination after analyzing multiple criteria and financial data	N/A	N/A	N/A	N/A	AAA/Aaa/AA+	AAA/Aaa/AAA	STO will update once 2014/15 Accountability Report is completed.	TBD	17

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	These performance measures were chosen to best correspond with the success of the objective based the benchmarks and standards of the public indebteddnss industry.
Reasoning for 2014-15 Target Value?	To exceed or fall below benchmarks and standards for the industry.
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Standard target.
Reasoning for missing 2014-15 Target Value, if missed?	-
Work being done to improve deficiencies?	-
Reasoning for 2015-16 Target Value?	TBD
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Standard target.
Provide the names of employees who are responsible for...	Kevin Kibler, Assistant State Treasurer
Comparison of actual performance to target value	-
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	-
Making any changes needed to ensure the target value is reached	-
Names and Titles of individuals who set this as a performance measure	Kevin Kibler, Assistant State Treasurer

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
An increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
11-5-240	In order to maintain high credit rating of the State, State Treasurer shall furnish rating services, from time to time, such reports and data as may be required and he considers appropriate

LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
N/A				



Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	O8.5.2
Description	<i>Maintain the STO's professional relationship with the three major credit rating agencies.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>Through an established relationship with the credit rating services, the State Treasurer's Office limits the risks, impacts, and costs associated with higher effective interest rates and other borrowing costs. Such an increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers; thus, potentially jeopardizing the mission of the issuers.</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Provide advice and guidance to policymakers and perform impact analysis and special studies as requested or warranted by circumstances or developments having potential consequence on the State's rating.</i>
Higher Goal Strategy Supports:	<i>Debt: Coordinate the relationship with the credit rating services so that the State's credit rating is maximized.</i>

RESPONSIBLE LEAD

Name	Kevin Kibler
Length of Time (individual has been responsible for goal, strategy or objective) in months	6 Months
Position	Program Manager II
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201

Dept/Divison	<i>Debt Management</i>
Dept/Division Summary	The Debt Management manages more than \$6.2 billion in debt obligations of the State, its agencies, authorities and institutions. Provides research and advice to the State Treasurer, the State Budget and Control Board, and the General Assembly in matters of debt management, legislation and fiscal policy.The Debt Division works diligently with credit rating agencies to maintain the State's AAA credit rating.

MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions : The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners
<i>Various State Agencies</i>	Coordinates and interacts with partners to achieve objective.
Bond Counsel (various)	Coordinates and interacts with partners to achieve objective.
Disclosure Counsel (various)	Coordinates and interacts with partners to achieve objective.
Issuer's Counsel (various)	Coordinates and interacts with partners to achieve objective.
Transaction Counsel (various)	Coordinates and interacts with partners to achieve objective.
Financial Advisor (various)	Coordinates and interacts with partners to achieve objective.
Credit Rating Agencies (various)	Coordinates and interacts with partners to achieve objective.
Structuring/Sizing Software (SS&C)	Coordinates and interacts with partners to achieve objective.

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
State's credit rating	1-2 years	Credit rating agencies determination after analyzing multiple criteria and financial data	N/A	N/A	N/A	N/A	AAA/Aaa/AA+	AAA/Aaa/AAA	STO will update once 2014/15 Accountability Report is completed.	TBD	17

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	These performance measures were chosen to best correspond with the success of the objective based the benchmarks and standards of the public indebtedednss industry.
Reasoning for 2014-15 Target Value?	To exceed or fall below benchmarks and standards for the industry.
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Standard target.
Reasoning for missing 2014-15 Target Value, if missed?	-
Work being done to improve deficiencies?	-
Reasoning for 2015-16 Target Value?	TBD
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Standard target.
Provide the names of employees who are responsible for...	Kevin Kibler, Assistant State Treasurer
Comparison of actual performance to target value	-
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	-
Making any changes needed to ensure the target value is reached	-
Names and Titles of individuals who set this as a performance measure	Kevin Kibler, Assistant State Treasurer

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011

Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
An increase in borrowing costs would either result in a greater financial need from the public or in a reduction in the capital items sought by issuers.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
11-5-240	In order to maintain high credit rating of the State, State Treasurer shall furnish rating services, from time to time, such reports and data as may be required and he considers appropriate

LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
N/A				

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	S9.1
Description	<i>Provide holder reporting training to various State associations.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>Increased awareness of holder reporting requirements ensure holders properly remit unclaimed funds to the State so that unclaimed property can be returned to their rightful owner(s).</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Provide holder reporting training to various State associations.</i>
Higher Goal Strategy Supports:	<i>UPP: Increase holder companies' compliance with reporting by providing them with more informational and educational opportunities.</i>

RESPONSIBLE LEAD

Name	<i>Dayle Delong</i>
Length of Time (individual has been responsible for goal, strategy or objective) in months	3 months
Position	<i>Program Manager III</i>
Office Address	<i>Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201</i>
Dept/Divison	<i>Palmetto Payback</i>

Dept/Division Summary	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners									
N/A										

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performanc e Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
N/A											

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	
Reasoning for 2014-15 Target Value?	
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Reasoning for missing 2014-15 Target Value, if missed?	
Work being done to improve deficiencies?	
Reasoning for 2015-16 Target Value?	

Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	
Making any changes needed to ensure the target value is reached	
Names and Titles of individuals who set this as a performance measure	

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
N/A				

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
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27-18-20	Identifies State Treasurer - or his agents or representatives - as Administrator of the Uniform Unclaimed Property Act
27-18-310	Authorizes STO: to require any person who has not filed a verified report stating whether or not he or she is holding unclaimed property to do so; to examine records of any person, at reasonable times and upon reasonable notice, to determine compliance with unclaimed property laws even if person believes he or she is not in possession of property subject to chapter; to give person determined to have property subject to chapter notice stating amount due plus interest and penalties which, if not paid or formally disputed, can result in further penalties or criminal prosecution; and to require person with insufficient records to pay amount reasonably estimated from records available.
27-18-340	Allows STO to enter into agreements with other states to exchange information to allow this State and other states to audit and determine unclaimed property this State or other state may be entitled to subject to a claim of custody.

LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
Title 27, Chapter 18	Establishes STO as administrator of Unclaimed Property Act responsible for locating, receiving and holding millions of unclaimed dollars for the benefit of rightful owners; STO is then responsible for paying funds to rightful owners who file a claim with the Office establishing their interest in unclaimed funds	159		
38-27-640	Upon liquidation of insurer, unclaimed funds must be deposited with STO and paid without interest to person entitled thereto upon proof satisfactory to STO; STO must advertise and dispose of unclaimed funds in accordance with Section 27-19-220; funds withheld under Section 38-27-560 must be deposited with STO and paid in accordance with Section 38-27-610, and remaining sums are transferred to STO and escheat to State	173		

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	S9.2
Description	<i>Develop a holder reporting webinar for the Unclaimed Property webpage.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>Increased awareness of holder reporting requirements ensure holders properly remit unclaimed funds to the State so that unclaimed property can be returned to their rightful owner(s).</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Develop a holder reporting webinar for the Unclaimed Property webpage.</i>
Higher Goal Strategy Supports:	<i>UPP: Increase holder companies' compliance with reporting by providing them with more informational and educational opportunities.</i>

RESPONSIBLE LEAD

Name	<i>Dayle Delong</i>
Length of Time (individual has been responsible for goal, strategy or objective) in months	3 months
Position	<i>Program Manager III</i>
Office Address	<i>Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201</i>
Dept/Divison	<i>Palmetto Payback</i>

Dept/Division Summary	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners									
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PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performanc e Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
N/A											

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	
Reasoning for 2014-15 Target Value?	
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Reasoning for missing 2014-15 Target Value, if missed?	
Work being done to improve deficiencies?	
Reasoning for 2015-16 Target Value?	

Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	
Making any changes needed to ensure the target value is reached	
Names and Titles of individuals who set this as a performance measure	

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
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Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
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Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
N/A				

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
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27-18-20	Identifies State Treasurer - or his agents or representatives - as Administrator of the Uniform Unclaimed Property Act
27-18-310	Authorizes STO: to require any person who has not filed a verified report stating whether or not he or she is holding unclaimed property to do so; to examine records of any person, at reasonable times and upon reasonable notice, to determine compliance with unclaimed property laws even if person believes he or she is not in possession of property subject to chapter; to give person determined to have property subject to chapter notice stating amount due plus interest and penalties which, if not paid or formally disputed, can result in further penalties or criminal prosecution; and to require person with insufficient records to pay amount reasonably estimated from records available.
27-18-340	Allows STO to enter into agreements with other states to exchange information to allow this State and other states to audit and determine unclaimed property this State or other state may be entitled to subject to a claim of custody.

LAWS TO FURTHER EVALUATE

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38-27-640	Upon liquidation of insurer, unclaimed funds must be deposited with STO and paid without interest to person entitled thereto upon proof satisfactory to STO; STO must advertise and dispose of unclaimed funds in accordance with Section 27-19-220; funds withheld under Section 38-27-560 must be deposited with STO and paid in accordance with Section 38-27-610, and remaining sums are transferred to STO and escheat to State	173		

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

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Question # of the Other Report:	N/A

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STRATEGY OR OBJECTIVE

Strategy or Objective #	S9.3
Description	<i>Modify reporting instructions on the webpage to make them simpler and easier to understand.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>Increased awareness of holder reporting requirements ensure holders properly remit unclaimed funds to the State so that unclaimed property can be returned to their rightful owner(s).</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Modify reporting instructions on the webpage to make them simpler and easier to understand.</i>
Higher Goal Strategy Supports:	<i>UPP: Increase holder companies' compliance with reporting by providing them with more informational and educational opportunities.</i>

RESPONSIBLE LEAD

Name	<i>Dayle Delong</i>
Length of Time (individual has been responsible for goal, strategy or objective) in months	3 months
Position	<i>Program Manager III</i>
Office Address	<i>Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201</i>
Dept/Divison	<i>Palmetto Payback</i>

Dept/Division Summary	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

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Current Partner Entities	Ways Agency works with Current Partners									
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PERFORMANCE MEASURES

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Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	
Reasoning for 2014-15 Target Value?	
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
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Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
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Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	
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REVIEWS/AUDITS

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Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
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27-18-20	Identifies State Treasurer - or his agents or representatives - as Administrator of the Uniform Unclaimed Property Act
27-18-310	Authorizes STO: to require any person who has not filed a verified report stating whether or not he or she is holding unclaimed property to do so; to examine records of any person, at reasonable times and upon reasonable notice, to determine compliance with unclaimed property laws even if person believes he or she is not in possession of property subject to chapter; to give person determined to have property subject to chapter notice stating amount due plus interest and penalties which, if not paid or formally disputed, can result in further penalties or criminal prosecution; and to require person with insufficient records to pay amount reasonably estimated from records available.
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Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

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# of Employees who worked on it	15

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STRATEGY OR OBJECTIVE

Strategy or Objective #	S9.4
Description	<i>Implement software to give holder companies the ability to report and pay electronically.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>Increased awareness of holder reporting requirements ensure holders properly remit unclaimed funds to the State so that unclaimed property can be returned to their rightful owner(s).</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Implement software to give holder companies the ability to report and pay electronically.</i>
Higher Goal Strategy Supports:	<i>UPP: Increase holder companies' compliance with reporting by providing them with more informational and educational opportunities.</i>

RESPONSIBLE LEAD

Name	<i>Dayle DeLong</i>
Length of Time (individual has been responsible for goal, strategy or objective) in months	3 months
Position	<i>Program Manager III</i>
Office Address	<i>Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201</i>
Dept/Divison	<i>Palmetto Payback</i>

Dept/Division Summary	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

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PERFORMANCE MEASURES

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Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

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Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	O9.5.1
Description	<i>To increase the number of companies that comply with the South Carolina Uniformed Unclaimed Property Act.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>Increased awareness of holder reporting requirements ensure holders properly remit unclaimed funds to the State so that unclaimed property can be returned to their rightful owner(s).</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Mail outreach letters to holder companies explaining the legal reporting requirements and how to file reports, as well as make onsite visits to provide hands-on assistance with reporting.</i>
Higher Goal Strategy Supports:	<i>UPP: Increase holder companies' compliance with reporting by providing them with more informational and educational opportunities.</i>

RESPONSIBLE LEAD

Name	Dayle Delong
Length of Time (individual has been responsible for goal, strategy or objective) in months	3 months
Position	Program Manager III
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	Palmetto Payback

Dept/Division Summary	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

<i>Instructions:</i> The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.	
Current Partner Entities	Ways Agency works with Current Partners
Holders (various)	Communicate and interact with partner entities to increase reporting of unclaimed property.

PERFORMANCE MEASURES

<i>Instructions:</i> The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.											
Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
UPP Number of accounts reported	1-2 years	Total number of accounts received from holder companies	N/A	N/A	N/A	N/A	464,431	Increase by 5%	STO will update once 2014/15 Accountability Report is completed.	TBD	29

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	The thought was the number of properties being reported would directly relate to the level of holder compliance/awareness.
Reasoning for 2014-15 Target Value?	The measures were established as a baseline pending further evaluation.
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Standard
Reasoning for missing 2014-15 Target Value, if missed?	TBD - STO will update once 2014/15 Accountability Report is completed.
Work being done to improve deficiencies?	Opportunities to improve outcomes will be identified once 2014/15 Accountability Report is
Reasoning for 2015-16 Target Value?	
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Provide the names of employees who are responsible for...	Dayle DeLong
Comparison of actual performance to target value	TBD - STO will update once 2014/15 Accountability Report is completed.
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	Opportunities to improve outcomes will be identified once 2014/15 Accountability Report is completed.
Making any changes needed to ensure the target value is reached	TBD - STO will update once 2014/15 Accountability Report is completed.
Names and Titles of individuals who set this as a performance measure	Linda Champion-Gamble, former director UPP division

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

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Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.

A decrease in the number of companies complying with the SC Uniform Unclaimed Property Act would result in a decrease of funds being returned to the rightful owner and a decrease in General Funds returned to the State each year.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A
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LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
27-18-20	Identifies State Treasurer - or his agents or representatives - as Administrator of the Uniform Unclaimed Property Act
27-18-310	Authorizes STO: to require any person who has not filed a verified report stating whether or not he or she is holding unclaimed property to do so; to examine records of any person, at reasonable times and upon reasonable notice, to determine compliance with unclaimed property laws even if person believes he or she is not in possession of property subject to chapter; to give person determined to have property subject to chapter notice stating amount due plus interest and penalties which, if not paid or formally disputed, can result in further penalties or criminal prosecution; and to require person with insufficient records to pay amount reasonably estimated from records available.
27-18-340	Allows STO to enter into agreements with other states to exchange information to allow this State and other states to audit and determine unclaimed property this State or other state may be entitled to subject to a claim of custody.

LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
Title 27, Chapter 18	Establishes STO as administrator of Unclaimed Property Act responsible for locating, receiving and holding millions of	159		

38-27-640	Upon liquidation of insurer, unclaimed funds must be deposited with STO and paid without interest to person entitled thereto upon proof satisfactory to STO; STO must advertise and dispose of unclaimed funds in accordance with Section 27-19-220; funds withheld under Section 38-27-560 must be deposited with STO and paid in accordance with Section 38-27-610, and remaining sums are transferred to STO and escheat to State	173		
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Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	O9.5.2
Description	<i>To increase the number of unclaimed property reports filed.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>Increased awareness of holder reporting requirements ensure holders properly remit unclaimed funds to the State so that unclaimed property can be returned to their rightful owner(s).</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Mail outreach letters to holder companies explaining the legal reporting requirements and how to file reports, as well as make onsite visits to provide hands-on assistance with reporting.</i>
Higher Goal Strategy Supports:	<i>UPP: Increase holder companies' compliance with reporting by providing them with more informational and educational opportunities.</i>

RESPONSIBLE LEAD

Name	<i>Dayle Delong</i>
Length of Time (individual has been responsible for goal, strategy or objective) in months	3 months
Position	<i>Program Manager III</i>
Office Address	<i>Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201</i>
Dept/Divison	<i>Palmetto Payback</i>

Dept/Division Summary	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

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Current Partner Entities	Ways Agency works with Current Partners
Holders (various)	Communicate and interact with partner entities to increase reporting of unclaimed property.

PERFORMANCE MEASURES

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Names and Titles of individuals who set this as a performance measure	Linda Champion-Gamble, former director UPP division

REVIEWS/AUDITS

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Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
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38-27-640	Upon liquidation of insurer, unclaimed funds must be deposited with STO and paid without interest to person entitled thereto upon proof satisfactory to STO; STO must advertise and dispose of unclaimed funds in accordance with Section 27-19-220; funds withheld under Section 38-27-560 must be deposited with STO and paid in accordance with Section 38-27-610, and remaining sums are transferred to STO and escheat to State	173		
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Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	S10.1
Description	Man phone banks on television stations throughout the State.
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	State Treasurer's Office holds the money in trust and it's the office's obligation to increase awareness of unclaimed property. Increased awareness of unclaimed property returns money to individuals who are unaware of funds available to them. Increased inquiries ultimately ensure funds are returned to their rightful owner which can ultimately stimulate SC's economy.

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	Man phone banks on television stations throughout the State.
Higher Goal Strategy Supports:	UPP: Increase citizens' awareness of the Unclaimed Property Program through promotion in various media outlets.

RESPONSIBLE LEAD

Name	Dayle Delong
Length of Time (individual has been responsible for goal, strategy or objective) in months	3 months
Position	Program Manager III
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	Palmetto Payback

Dept/Division Summary	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners									
N/A										

PERFORMANCE MEASURES

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Performance Measure	# of years agency has tracked the measure	Performanc e Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
N/A											

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	
Reasoning for 2014-15 Target Value?	
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Reasoning for missing 2014-15 Target Value, if missed?	
Work being done to improve deficiencies?	
Reasoning for 2015-16 Target Value?	

Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	
Making any changes needed to ensure the target value is reached	
Names and Titles of individuals who set this as a performance measure	

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

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Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
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POTENTIAL NEGATIVE IMPACT

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Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
N/A				

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
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27-18-190	STO is responsible for publishing notice of abandoned properties in newspapers of general circulation in form that, in judgment of STO, is likely to attract attention of apparent owners of unclaimed property
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LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
Title 27, Chapter 18	Establishes STO as administrator of Unclaimed Property Act	159		
27-19-220	responsible for locating, receiving and holding millions of STO responsible for advertising personal property which, if no claim for good title is made with 2 years, escheats to the State	160		

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	S10.2
Description	Partner with AARP to provide computerized name searches during Senior Day at the State Fair.
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	State Treasurer's Office holds the money in trust and it's the office's obligation to increase awareness of unclaimed property. Increased awareness of unclaimed property returns money to individuals who are unaware of funds available to them. Increased inquiries ultimately ensure funds are returned to their rightful owner which can ultimately stimulate SC's economy.

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	Partner with AARP to provide computerized name searches during Senior Day at the State Fair.
Higher Goal Strategy Supports:	UPP: Increase citizens' awareness of the Unclaimed Property Program through promotion in various media outlets.

RESPONSIBLE LEAD

Name	Dayle Delong
Length of Time (individual has been responsible for goal, strategy or objective) in months	3 months
Position	Program Manager III
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	Palmetto Payback

Dept/Division Summary	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners									
N/A										

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performanc e Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
N/A											

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	
Reasoning for 2014-15 Target Value?	
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Reasoning for missing 2014-15 Target Value, if missed?	
Work being done to improve deficiencies?	
Reasoning for 2015-16 Target Value?	

Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	
Making any changes needed to ensure the target value is reached	
Names and Titles of individuals who set this as a performance measure	

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
N/A				

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
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27-18-190	STO is responsible for publishing notice of abandoned properties in newspapers of general circulation in form that, in judgment of STO, is likely to attract attention of apparent owners of unclaimed property

LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
Title 27, Chapter 18	Establishes STO as administrator of Unclaimed Property Act responsible for locating, receiving and holding millions of	159		
27-19-220	STO responsible for advertising personal property which, if no claim for good title is made with 2 years, escheats to the State	160		



Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	S10.3
Description	Work with media outlets to air stories featuring the Program to encourage citizens to search for accounts in their names.
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	State Treasurer's Office holds the money in trust and it's the office's obligation to increase awareness of unclaimed property. Increased awareness of unclaimed property returns money to individuals who are unaware of funds available to them. Increased inquiries ultimately ensure funds are returned to their rightful owner which can ultimately stimulate SC's economy.

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	Work with media outlets to air stories featuring the Program to encourage citizens to search for accounts in their names.
Higher Goal Strategy Supports:	UPP: Increase citizens' awareness of the Unclaimed Property Program through promotion in various media outlets.

RESPONSIBLE LEAD

Name	Dayle Delong
Length of Time (individual has been responsible for goal, strategy or objective) in months	3 months
Position	Program Manager III
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	Palmetto Payback

Dept/Division Summary	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners									
N/A										

PERFORMANCE MEASURES

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Performance Measure	# of years agency has tracked the measure	Performanc e Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
N/A											

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	
Reasoning for 2014-15 Target Value?	
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Reasoning for missing 2014-15 Target Value, if missed?	
Work being done to improve deficiencies?	
Reasoning for 2015-16 Target Value?	

Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	
Making any changes needed to ensure the target value is reached	
Names and Titles of individuals who set this as a performance measure	

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
N/A				

LAWS AS BASIS

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Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
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27-18-190	STO is responsible for publishing notice of abandoned properties in newspapers of general circulation in form that, in judgment of STO, is likely to attract attention of apparent owners of unclaimed property
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LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
Title 27, Chapter 18	Establishes STO as administrator of Unclaimed Property Act	159		
27-19-220	responsible for locating, receiving and holding millions of STO responsible for advertising personal property which, if no claim for good title is made with 2 years, escheats to the State	160		



Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	O10.4.1
Description	Increase the number of rightful owners paid each fiscal year.
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	State Treasurer's Office holds the money in trust and it's the office's obligation to increase awareness of unclaimed property. Increased awareness of unclaimed property returns money to individuals who are unaware of funds available to them. Increased inquiries ultimately ensure funds are returned to their rightful owner which can ultimately stimulate SC's economy.

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	Devote staff time to searching for unclaimed property owners, particularly those who are owed large sums.
Higher Goal Strategy Supports:	UPP: Increase citizens' awareness of the Unclaimed Property Program through promotion in various media outlets.

RESPONSIBLE LEAD

Name	Dayle Delong
Length of Time (individual has been responsible for goal, strategy or objective) in months	3 months
Position	Program Manager III
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	Palmetto Payback

UPP Claims generated	1-2 years	Total claim forms requested by and sent to potential claimants	N/A	N/A	N/A	N/A	28,627	Increase by 5%	STO will update once 2014/15 Accountability Report is complete d.	TBD	21
UPP Funds paid through staff outreach	1-2 years	"Outreach" means staff identifying and locating claimants with unclaimed funds	N/A	N/A	N/A	N/A	\$ 3,375,017	Increase by 5%	STO will update once 2014/15 Accountability Report is complete d.	TBD	19
UPP Phone calls received	1-2 years	Volume of calls placed and connected to the Unclaimed Property Division	N/A	N/A	N/A	N/A	19,947	n/a	STO will update once 2014/15 Accountability Report is complete d.	TBD	20
UPP Claims received	1-2 years	Total claim forms sent to the Unclaimed Property Division	N/A	N/A	N/A	N/A	10,933	n/a	STO will update once 2014/15 Accountability Report is complete d.	TBD	22
UPP Number of accounts paid	1-2 years	Total number of accounts paid to claimants	N/A	N/A	N/A	N/A	26,768	Increase by 5%	STO will update once 2014/15 Accountability Report is complete d.	TBD	23

UPP Amount of accounts paid	1-2 years	Total funds returned to claimants	N/A	N/A	N/A	N/A	\$15,955,543	Increase by 5%	STO will update once 2014/15 Accountability Report is completed.	TBD	24
UPP Shares paid	1-2 years	Total shares sold for and paid to claimants	N/A	N/A	N/A	N/A	149,776	n/a	STO will update once 2014/15 Accountability Report is completed.	TBD	25
UPP Additional evidence requested	1-2 years	Total letters sent to claimants requesting additional evidence to verify ownership	N/A	N/A	N/A	N/A	2,164	n/a	STO will update once 2014/15 Accountability Report is completed.	TBD	26
UPP Claims denied	1-2 years	Total claims denied	N/A	N/A	N/A	N/A	946	n/a	STO will update once 2014/15 Accountability Report is completed.	TBD	27
Unclaimed property receipts	1-2 years	Total funds received from holder companies	N/A	N/A	N/A	N/A	\$50,643,694	n/a	STO will update once 2014/15 Accountability Report is completed.	TBD	28

UPP Number of accounts reported	1-2 years	Total number of accounts received from holder companies	N/A	N/A	N/A	N/A	464,431	Increase by 5%	STO will update once 2014/15 Accountability Report is completed.	TBD	29
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Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	These measures encompass all of the key components of the program including receipts, claims payments, customer service, outreach and compliance.
Reasoning for 2014-15 Target Value?	The measures were established as a baseline pending further evaluation.
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Standard
Reasoning for missing 2014-15 Target Value, if missed?	TBD - STO will update once 2014/15 Accountability Report is completed.
Work being done to improve deficiencies?	Opportunities to improve outcomes will be identified once 2014/15 Accountability Report is
Reasoning for 2015-16 Target Value?	
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Provide the names of employees who are responsible for...	Dayle DeLong
Comparison of actual performance to target value	TBD - STO will update once 2014/15 Accountability Report is completed.
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	Opportunities to improve outcomes will be identified once 2014/15 Accountability Report is completed.
Making any changes needed to ensure the target value is reached	TBD - STO will update once 2014/15 Accountability Report is completed.
Names and Titles of individuals who set this as a performance measure	Linda Champion-Gamble, former director UPP Division

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

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IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
A decrease in the number of funds returned would result in less funds ultimately being placed in the hands of the rightful owner.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
27-18-190	STO is responsible for publishing notice of abandoned properties in newspapers of general circulation in form that, in judgment of STO, is likely to attract attention of apparent owners of unclaimed property

LAWS TO FURTHER EVALUATE

Instructions: The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
Title 27, Chapter 18	Establishes STO as administrator of Unclaimed Property Act responsible for locating, receiving and holding millions of	159		
27-19-220	STO responsible for advertising personal property which, if no claim for good title is made with 2 years, escheats to the State	160		

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

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STRATEGY OR OBJECTIVE

Strategy or Objective #	S11.1
Description	Improve workflow by revising procedures for importing reports.
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	Provides an efficient and accurate method of capturing data so that information is made available to the public more quickly.

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	Improve workflow by revising procedures for importing reports.
Higher Goal Strategy Supports:	UPP: Increase Program efficiency through the use of technology by developing or acquiring various hardware and software systems.

RESPONSIBLE LEAD

Name	Dayle DeLong
Length of Time (individual has been responsible for goal, strategy or objective) in months	3 months
Position	Program Manager III
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	Palmetto Payback

Dept/Division Summary	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners									
N/A										

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
N/A											

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	
Reasoning for 2014-15 Target Value?	
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Reasoning for missing 2014-15 Target Value, if missed?	
Work being done to improve deficiencies?	
Reasoning for 2015-16 Target Value?	

Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	
Making any changes needed to ensure the target value is reached	
Names and Titles of individuals who set this as a performance measure	

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
N/A				

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
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27-18-20	Identifies State Treasurer - or his agents or representatives - as Administrator of the Uniform Unclaimed Property Act
27-18-240(B)	Before depositing unclaimed funds received under this chapter in general fund of the State, STO is authorized to deduct, among other things, mailing and publication costs associated with abandoned property, reasonable service charges, and costs incurred in examining records of holders and collecting property from holders.

LAWS TO FURTHER EVALUATE

<i>Instructions:</i> The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.				
Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
Title 27, Chapter 18	Establishes STO as administrator of Unclaimed Property Act responsible for locating, receiving and holding millions of	159		



Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	S11.2
Description	<i>Install new versions of the Wagers UPS2000 when available to improve system efficiency.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>Provides an efficient and accurate method of capturing data so that information is made available to the public more quickly.</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Install new versions of the Wagers UPS2000 when available to improve system efficiency.</i>
Higher Goal Strategy Supports:	<i>UPP: Increase Program efficiency through the use of technology by developing or acquiring various hardware and software systems.</i>

RESPONSIBLE LEAD

Name	Dayle Delong
Length of Time (individual has been responsible for goal, strategy or objective) in months	3 months
Position	Program Manager III
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	Palmetto Payback

Dept/Division Summary	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners									
N/A										

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performanc e Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
N/A											

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	
Reasoning for 2014-15 Target Value?	
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Reasoning for missing 2014-15 Target Value, if missed?	
Work being done to improve deficiencies?	
Reasoning for 2015-16 Target Value?	

Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	
Making any changes needed to ensure the target value is reached	
Names and Titles of individuals who set this as a performance measure	

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
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Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
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Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

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Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
N/A				

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
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27-18-20	Identifies State Treasurer - or his agents or representatives - as Administrator of the Uniform Unclaimed Property Act
27-18-240(B)	Before depositing unclaimed funds received under this chapter in general fund of the State, STO is authorized to deduct, among other things, mailing and publication costs associated with abandoned property, reasonable service charges, and costs incurred in examining records of holders and collecting property from holders.

LAWS TO FURTHER EVALUATE

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Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
Title 27, Chapter 18	Establishes STO as administrator of Unclaimed Property Act responsible for locating, receiving and holding millions of	159		



Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	S11.3
Description	<i>Install a state-of-the-art scanning system to more efficiently handle document scanning.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>Provides an efficient and accurate method of capturing data so that information is made available to the public more quickly.</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Install a state-of-the-art scanning system to more efficiently handle document scanning.</i>
Higher Goal Strategy Supports:	<i>UPP: Increase Program efficiency through the use of technology by developing or acquiring various hardware and software systems.</i>

RESPONSIBLE LEAD

Name	Dayle Delong
Length of Time (individual has been responsible for goal, strategy or objective) in months	3 months
Position	Program Manager III
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	Palmetto Payback

Dept/Division Summary	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

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N/A										

PERFORMANCE MEASURES

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Performance Measure	# of years agency has tracked the measure	Performanc e Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
N/A											

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	
Reasoning for 2014-15 Target Value?	
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Reasoning for missing 2014-15 Target Value, if missed?	
Work being done to improve deficiencies?	
Reasoning for 2015-16 Target Value?	

Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	
Making any changes needed to ensure the target value is reached	
Names and Titles of individuals who set this as a performance measure	

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

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Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
N/A				

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
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27-18-20	Identifies State Treasurer - or his agents or representatives - as Administrator of the Uniform Unclaimed Property Act
27-18-240(B)	Before depositing unclaimed funds received under this chapter in general fund of the State, STO is authorized to deduct, among other things, mailing and publication costs associated with abandoned property, reasonable service charges, and costs incurred in examining records of holders and collecting property from holders.

LAWS TO FURTHER EVALUATE

<i>Instructions:</i> The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.				
Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
Title 27, Chapter 18	Establishes STO as administrator of Unclaimed Property Act responsible for locating, receiving and holding millions of	159		



Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	O11.4.1
Description	Take advantage of new technological developments that assure operational efficiency
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	Provides an efficient and accurate method of capturing data so that information is made available to the public more quickly.

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	Implement UPEXpress allowing companies to file reports and remit funds electronically.
Higher Goal Strategy Supports:	UPP: Increase Program efficiency through the use of technology by developing or acquiring various hardware and software systems.

RESPONSIBLE LEAD

Name	Dayle Delong
Length of Time (individual has been responsible for goal, strategy or objective) in months	3 months
Position	Program Manager III
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	Palmetto Payback

UPP Claims generated	1-2 years	Total claim forms requested by and sent to potential claimants	N/A	N/A	N/A	N/A	28,627	Increase by 5%	STO will update once 2014/15 Accountability Report is completed.	TBD	21
UPP Claims received	1-2 years	Total claim forms sent to the Unclaimed Property Division	N/A	N/A	N/A	N/A	10,933	n/a	STO will update once 2014/15 Accountability Report is completed.	TBD	22
UPP Number of accounts paid	1-2 years	Total number of accounts paid to claimants	N/A	N/A	N/A	N/A	26,768	Increase by 5%	STO will update once 2014/15 Accountability Report is completed.	TBD	23
UPP Amount of accounts paid	1-2 years	Total funds returned to claimants	N/A	N/A	N/A	N/A	#####	Increase by 5%	STO will update once 2014/15 Accountability Report is completed.	TBD	24

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	These measures encompass all of the key components of the program including receipts, claims payments, customer service, outreach and compliance.
Reasoning for 2014-15 Target Value?	The measures were established as a baseline pending further evaluation.
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Standard
Reasoning for missing 2014-15 Target Value, if missed?	TBD - STO will update once 2014/15 Accountability Report is completed.
Work being done to improve deficiencies?	Opportunities to improve outcomes will be identified once 2014/15 Accountability Report is
Reasoning for 2015-16 Target Value?	
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Provide the names of employees who are responsible for...	Dayle DeLong
Comparison of actual performance to target value	TBD - STO will update once 2014/15 Accountability Report is completed.

Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	Opportunities to improve outcomes will be identified once 2014/15 Accountability Report is completed.
Making any changes needed to ensure the target value is reached	TBD - STO will update once 2014/15 Accountability Report is completed.
Names and Titles of individuals who set this as a performance measure	Linda Champion-Gamble, former director UPP Division

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

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POTENTIAL NEGATIVE IMPACT

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Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
Slower responses to companies and claimants.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
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27-18-240(B)	Before depositing unclaimed funds received under this chapter in general fund of the State, STO is authorized to deduct, among other things, mailing and publication costs associated with abandoned property, reasonable service charges, and costs incurred in examining records of holders and collecting property from holders.

LAWS TO FURTHER EVALUATE

<i>Instructions:</i> The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.				
Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
Title 27, Chapter 18	Establishes STO as administrator of Unclaimed Property Act responsible for locating, receiving and holding millions of	159		



Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	O11.4.2
Description	<i>Streamline operations in order to be more responsive to holder companies and claimants.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>Provides an efficient and accurate method of capturing data so that information is made available to the public more quickly.</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Implement UPEXpress allowing companies to file reports and remit funds electronically.</i>
Higher Goal Strategy Supports:	<i>UPP: Increase Program efficiency through the use of technology by developing or acquiring various hardware and software systems.</i>

RESPONSIBLE LEAD

Name	<i>Dayle Delong</i>
Length of Time (individual has been responsible for goal, strategy or objective) in months	3 months
Position	<i>Program Manager III</i>
Office Address	<i>Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201</i>
Dept/Divison	<i>Palmetto Payback</i>

Dept/Division Summary	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners									
N/A										

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performanc e Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
N/A											

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	
Reasoning for 2014-15 Target Value?	
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Reasoning for missing 2014-15 Target Value, if missed?	
Work being done to improve deficiencies?	
Reasoning for 2015-16 Target Value?	

Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	
Making any changes needed to ensure the target value is reached	
Names and Titles of individuals who set this as a performance measure	

REVIEWS/AUDITS

Instructions : The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions : The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
Slower responses to companies and claimants.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions : The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
27-18-20	Identifies State Treasurer - or his agents or representatives - as Administrator of the Uniform Unclaimed Property Act
27-18-240(B)	Before depositing unclaimed funds received under this chapter in general fund of the State, STO is authorized to deduct, among other things, mailing and publication costs associated with abandoned property, reasonable service charges, and costs incurred in examining records of holders and collecting property from holders.

LAWS TO FURTHER EVALUATE

<i>Instructions:</i> The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.				
Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
Title 27, Chapter 18	Establishes STO as administrator of Unclaimed Property Act responsible for locating, receiving and holding millions of	159		



Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	O11.4.3
Description	<i>Complete implementation of a paperless claim approval and payment process by June 2016.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>Provides an efficient and accurate method of capturing data so that information is made available to the public more quickly.</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Implement UPEXpress allowing companies to file reports and remit funds electronically.</i>
Higher Goal Strategy Supports:	<i>UPP: Increase Program efficiency through the use of technology by developing or acquiring various hardware and software systems.</i>

RESPONSIBLE LEAD

Name	<i>Dayle DeLong</i>
Length of Time (individual has been responsible for goal, strategy or objective) in months	3 months
Position	<i>Program Manager III</i>
Office Address	<i>Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201</i>
Dept/Divison	<i>Palmetto Payback</i>

Dept/Division Summary	Palmetto Payback returns unclaimed property to rightful owners. Unclaimed property includes, but is not limited to, bank accounts, wages or commissions, money orders, insurance proceeds, underlying shares, dividends, customer deposits, credit balances, layaways, and credit memos which have remained unclaimed by the rightful owner for a specified period of time. Palmetto Payback manages more than \$459 million in unclaimed property.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners									
N/A										

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performanc e Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
N/A											

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	
Reasoning for 2014-15 Target Value?	
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Reasoning for missing 2014-15 Target Value, if missed?	
Work being done to improve deficiencies?	
Reasoning for 2015-16 Target Value?	

Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	
Making any changes needed to ensure the target value is reached	
Names and Titles of individuals who set this as a performance measure	

REVIEWS/AUDITS

Instructions : The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Performance Audit	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2010	6/30/2011
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2011	6/30/2012
Financial Statements	Internal Policy	External	Elliot Davis	7/1/2012	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	5/1/2013	6/30/2013
IT Security Assessment	Internal Policy	External	Hewlett-Packard	6/1/2015	6/30/2015

POTENTIAL NEGATIVE IMPACT

Instructions : The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
Slower responses to companies and claimants.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions : The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
27-18-20	Identifies State Treasurer - or his agents or representatives - as Administrator of the Uniform Unclaimed Property Act
27-18-240(B)	Before depositing unclaimed funds received under this chapter in general fund of the State, STO is authorized to deduct, among other things, mailing and publication costs associated with abandoned property, reasonable service charges, and costs incurred in examining records of holders and collecting property from holders.

LAWS TO FURTHER EVALUATE

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Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
Title 27, Chapter 18	Establishes STO as administrator of Unclaimed Property Act responsible for locating, receiving and holding millions of	159		



Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	S12.1
Description	Review the grassroots marketing plan for the year and make changes as necessary.
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	Marketing the program in an effective manner ensures the public is made aware of an easy, tax-advantaged way to save for the cost of future college expenses.

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	Review the grassroots marketing plan for the year and make changes as necessary.
Higher Goal Strategy Supports:	Future Scholar: Promote the importance of saving for college with the Future Scholar 529 Plan to South Carolina residents.

RESPONSIBLE LEAD

Name	Jenny McGill
Length of Time (individual has been responsible for goal, strategy or objective) in months	90 months
Position	Program Director
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	College Savings Program

Dept/Division Summary	SC Future Scholar 529 College Savings Plan is a tax advantaged education savings vehicle designed to help make saving for college easier allowing students to achieve college degrees with less debt. The \$2.3 billion program allows SC to use Future Scholar funds can be used to pay for tuition and qualified higher education expenses at eligible two and four-year public and private colleges, and graduate, professional and certain vocational programs assets.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners
Columbia Management	Columbia Management provides program management, investment, marketing and distribution services to the Agency.

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
N/A											

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	
Reasoning for 2014-15 Target Value?	
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Reasoning for missing 2014-15 Target Value, if missed?	

Work being done to improve deficiencies?	
Reasoning for 2015-16 Target Value?	
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	
Making any changes needed to ensure the target value is reached	
Names and Titles of individuals who set this as a performance measure	

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Tuition Prepayment Program Audit	Internal Policy	External	McGladrey LLP	7/1/2013	6/30/2014

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
N/A				

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
59-2-40	STO responsible for South Carolina College Investment Program

59-2-50	Grants STO powers necessary to carry out purposes and provisions of chapter and purposes and objectives of trust including, but not limited to: executing contracts and other necessary instruments; contracting for necessary goods and services; employing necessary personnel; engaging services of consultants and other qualified persons and entities for administrative and technical assistance in carrying out responsibilities of trust funds under terms and conditions State Treasurer deems reasonable; establish agreements or other transactions with federal, state, and local agencies, including state institutions of higher learning; contract for marketing of program; delegate responsibility for administration of comprehensive investment plan to contractors or consultants State Treasurer determines to be qualified; make all necessary and appropriate arrangements with colleges and universities or other entities to fulfill obligations under trust agreements; and establish other policies, procedures, and criteria necessary to implement and administer chapter.
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LAWS TO FURTHER EVALUATE

<i>Instructions:</i> The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.				
Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
S.C. Code Title 59, Chapter 2; 26 U.S.C.A. § 529	Establishes STO's responsibility for administering SC College Investment Program in accordance with this chapter and Section 529 of Internal Revenue Code	334		



Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	O12.2.1
Description	Increase overall new accounts in the Future Scholar 529 Plan by 6% over the previous fiscal year.
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	Increase the number of South Carolina families actively saving for the cost of a loved ones education and in turn means that more South Carolinians will be attending college with less debt.

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	Add value to in-state marketing efforts based on a market research study performed on the previous fiscal year's efforts.
Higher Goal Strategy Supports:	Future Scholar: Promote the importance of saving for college with the Future Scholar 529 Plan to South Carolina residents.

RESPONSIBLE LEAD

Name	Jenny McGill
Length of Time (individual has been responsible for goal, strategy or objective) in months	90 months
Position	Program Director
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	College Savings Program

Dept/Division Summary	SC Future Scholar 529 College Savings Plan is a tax advantaged education savings vehicle designed to help make saving for college easier allowing students to achieve college degrees with less debt. The \$2.3 billion program allows SC to use Future Scholar funds can be used to pay for tuition and qualified higher education expenses at eligible two and four-year public and private colleges, and graduate, professional and certain vocational programs assets.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

Instructions: The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Current Partner Entities	Ways Agency works with Current Partners
Columbia Management	Columbia Management provides program management, investment, marketing and distribution services to the Agency.

PERFORMANCE MEASURES

Instructions: The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.

Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
Future Scholar 529 Plan Assets	1-2 years	Total assets in the Future Scholar Plan	N/A	N/A	N/A	N/A	\$2,143,699,062	Maximize growth baed on market value and incoming contributions	STO will update once 2014/15 Accounta bility Report is complete d.	TBD	30

Future Scholar 529 Accounts	5(+) years	Total number of Future Scholar accounts	84,568	89,528	92,700	99,317	10846400%	Increase by 6%	STO will update once 2014/15 Accountability Report is complete d.	TBD	31
Future Scholar 529 Average Account Size	1-2 years	Total assets divided by total number of accounts	N/A	N/A	N/A	N/A	\$19,764	At or above industry average	STO will update once 2014/15 Accountability Report is complete d.	TBD	32
Future Scholar 529 Sales	1-2 years	Total funds deposited into Future Scholar accounts	N/A	N/A	N/A	N/A	\$154,696,578	Increase by 8%	STO will update once 2014/15 Accountability Report is complete d.	TBD	33

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	To measure that the Future Scholar 529 program was and continues to reach more South Carolina families and help them save for the costs of higher education.
Reasoning for 2014-15 Target Value?	Various factors affect the performance measures, but after reviewing industry standard and past
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Standard Target
Reasoning for missing 2014-15 Target Value, if missed?	N/A
Work being done to improve deficiencies?	The program is constantly reviewing practices for promoting the 529 program and helping SC families save
Reasoning for 2015-16 Target Value?	TBD
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Standard Target
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	Jenny McGill
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	Jenny McGill makes recommendations as necessary to executive management.
Making any changes needed to ensure the target value is reached	Jenny McGill
Names and Titles of individuals who set this as a performance measure	Jenny McGill, Program Director with review of executive mangagement

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YYYY)
Tuition Prepayment Program Audit	Internal Policy	External	McGladrey LLP	7/1/2013	6/30/2014

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
A decrease in participants would reduce savings for college and increase debt required to attend college.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
59-2-40	STO responsible for South Carolina College Investment Program

59-2-50	Grants STO powers necessary to carry out purposes and provisions of chapter and purposes and objectives of trust including, but not limited to: executing contracts and other necessary instruments; contracting for necessary goods and services; employing necessary personnel; engaging services of consultants and other qualified persons and entities for administrative and technical assistance in carrying out responsibilities of trust funds under terms and conditions State Treasurer deems reasonable; establish agreements or other transactions with federal, state, and local agencies, including state instutions of higher learning; contract for marketing of program; delegate responsibility for administration of comprehensive investment plan to contractors or consultants State Treasurer determines to be qualified; make all necessary and appropriate arrangements with colleges and universities or other entities to fulfill obligations under trust agreements;and establish other policies, procedures, and criteria necessary to implement and administer chapter.
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LAWS TO FURTHER EVALUATE

<i>Instructions:</i> The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.				
Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
S.C. Code Title 59, Chapter 2; 26 U.S.C.A. § 529	Establishes STO's responsibility for administering SC College Investment Program in accordance with this chapter and Section 529 of Internal Revenue Code	334		



Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	O13.1.1
Description	Increase overall accounts opened online by 5% over the previous fiscal year.
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	Increase the number of South Carolina families actively saving for the cost of a loved ones education and in turn means that more South Carolinians will be attending college with less debt.

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	Implement a social media and web-based marketing plan to target existing participants as well as potential participants.
Higher Goal Strategy Supports:	Future Scholar: Increase utilization of web-based marketing to promote the Future Scholar 529 Plan.

RESPONSIBLE LEAD

Name	Jenny McGill
Length of Time (individual has been responsible for goal, strategy or objective) in months	90 months
Position	Program Director
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	College Savings Program

Future Scholar 529 Plan Assets	1-2 years	Total assets in the Future Scholar Plan	N/A	N/A	N/A	N/A	#####	Maximize growth baed on market value and incoming contributions	STO will update once 2014/15 Accounta bility Report is complete d.	TBD	30
Future Scholar 529 Accounts	5(+) years	Total number of Future Scholar accounts	84,568	89,528	92,700	99,317	#####	Increase by 6%	STO will update once 2014/15 Accounta bility Report is complete d.	TBD	31
Future Scholar 529 accounts opened online	1-2 years	Total accounts opened on the Future Scholar website	N/A	N/A	N/A	N/A	483200%	Increase by 5%	STO will update once 2014/15 Accounta bility Report is complete d.	TBD	34

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	To measure that the Future Scholar 529 program was and continues to reach more South Carolina families and help them save for the costs of higher education.
Reasoning for 2014-15 Target Value?	Various factors affect the performance measures, but after reviewing industry standard and past
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Standard Target
Reasoning for missing 2014-15 Target Value, if missed?	N/A
Work being done to improve deficiencies?	The program is constantly reviewing practices for promoting the 529 program and helping SC
Reasoning for 2015-16 Target Value?	TBD
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	Standard Target
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	Jenny McGill
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	Jenny McGill makes recommendations as necessary to executive management.
Making any changes needed to ensure the target value is reached	Jenny McGill
Names and Titles of individuals who set this as a performance measure	Jenny McGill, Program Director with review of executive mangagement

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Tuition Prepayment Program Audit	Internal Policy	External	McGladrey LLP	7/1/2013	6/30/2014

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
A decrease in participants would reduce savings for college and increase debt required to attend college.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
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59-2-50	Grants STO powers necessary to carry out purposes and provisions of chapter and purposes and objectives of trust including, but not limited to: executing contracts and other necessary instruments; contracting for necessary goods and services; employing necessary personnel; engaging services of consultants and other qualified persons and entities for administrative and technical assistance in carrying out responsibilities of trust funds under terms and conditions State Treasurer deems reasonable; establish agreements or other transactions with federal, state, and local agencies, including state institutions of higher learning; contract for marketing of program; delegate responsibility for administration of comprehensive investment plan to contractors or consultants State Treasurer determines to be qualified; make all necessary and appropriate arrangements with colleges and universities or other entities to fulfill obligations under trust agreements; and establish other policies, procedures, and criteria necessary to implement and administer chapter.
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LAWS TO FURTHER EVALUATE

<i>Instructions:</i> The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.				
Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
S.C. Code Title 59, Chapter 2; 26 U.S.C.A. § 529	Establishes STO's responsibility for administering SC College Investment Program in accordance with this chapter and Section 529 of Internal Revenue Code	334		



Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	S14.1
Description	Work with existing service providers to create an online portal through which data can be captured.
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	Provides a more efficient method of capturing data so that information is made available to the public more quickly.

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	Work with existing service providers to create an online portal through which data can be captured.
Higher Goal Strategy Supports:	Future Scholar: Implement a web-based and systemic tool for capturing in-state tuition caps for the Tuition Prepayment Program.

RESPONSIBLE LEAD

Name	Jenny McGill
Length of Time (individual has been responsible for goal, strategy or objective) in months	90 months
Position	Program Director
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	College Savings Program

Dept/Division Summary	SC Future Scholar 529 College Savings Plan is a tax advantaged education savings vehicle designed to help make saving for college easier allowing students to achieve college degrees with less debt. The \$2.3 billion program allows SC to use Future Scholar funds can be used to pay for tuition and qualified higher education expenses at eligible two and four-year public and private colleges, and graduate, professional and certain vocational programs assets.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

<i>Instructions:</i> The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.	
Current Partner Entities	Ways Agency works with Current Partners
Columbia Management	Columbia Management provides program management, investment, marketing and distribution services to the Agency.

PERFORMANCE MEASURES

<i>Instructions:</i> The agency already listed the Performance Measures for each objective in the Performance Measures Explained Chart so it knows if there is one or multiple Performance Measures which apply to this objective. Please complete the template below for each Performance Measure that applies to this objective.											
Performance Measure	# of years agency has tracked the measure	Performanc e Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
N/A	Copy and Paste from Performance Measures Details Chart										

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	
Reasoning for 2014-15 Target Value?	
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	

Reasoning for missing 2014-15 Target Value, if missed?	
Work being done to improve deficiencies?	
Reasoning for 2015-16 Target Value?	
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	
Making any changes needed to ensure the target value is reached	
Names and Titles of individuals who set this as a performance measure	

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Tuition Prepayment Program Audit	Internal Policy	External	McGladrey LLP	7/1/2013	6/30/2014

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
N/A				

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
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59-2-50	Grants STO powers necessary to carry out purposes and provisions of chapter and purposes and objectives of trust including, but not limited to: executing contracts and other necessary instruments; contracting for necessary goods and services; employing necessary personnel; engaging services of consultants and other qualified persons and entities for administrative and technical assistance in carrying out responsibilities of trust funds under terms and conditions State Treasurer deems reasonable; establish agreements or other transactions with federal, state, and local agencies, including state instutions of higher learning; contract for marketing of program; delegate responsibility for administration of comprehensive investment plan to contractors or consultants State Treasurer determines to be qualified; make all necessary and appropriate arrangements with colleges and universities or other entities to fulfill obligations under trust agreements;and establish other policies, procedures, and criteria necessary to implement and administer chapter.
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LAWS TO FURTHER EVALUATE

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Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
Title 59, Chapter 4	Establishes STO's responsibility for administering SC Tuition Prepayment Program;	335		



Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested	Information Requested below is also requested in...
Other Report:	Some information pulled from 2013-14 Accountability Report and the Restructuring and Seven-Year Plan Report
Question # of the Other Report:	N/A

Instructions: Below is a template to use for each Objective (and Strategy if there are no objectives listed under a Strategy) listed in the Strategically Planned Public Benefits Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs or into a separate excel workbook, while it is still blank. The agency will then have a blank version to complete for each separate Objective, and when necessary, Strategy. Please save the information related to each Strategy and Objective as a separate excel worksheet. Label the Tab, "G__, S__, O__" and insert the applicable numbers in the blanks after each goal, strategy and objective (For example "G1, S1.1, O1.1.1"). Most of the subsets of questions below are self-explanatory and the information may be copied from other Charts in this Report. However, some, like Performance Measures, have additional questions. **NOTE: Call the Committee Staff for assistance in how to filter the other charts completed by the agency in this report so the agency can see which ones it identified as relating to each of the strategies/objectives and easily copy and paste that information into this chart.**

STRATEGY OR OBJECTIVE

Strategy or Objective #	O14.2.1
Description	<i>Streamline the process of capturing in-state school tuition data and capped tuition increases so that the data is captured more quickly and the process is more cross-trainable.</i>
Public Benefit (Type of public benefit provided or public harm prevented by accomplishment of the goal, strategy or objective (i.e. tangible benefit realized by citizens))	<i>Provides a more efficient method of capturing data so that information is made available to the public more quickly.</i>

STRATEGIC PLAN CONTEXT

Higher Strategy Objective Supports:	<i>Conduct outreach to other State agencies to capture similar data.</i>
Higher Goal Strategy Supports:	<i>Future Scholar: Implement a web-based and systemic tool for capturing in-state tuition caps for the Tuition Prepayment Program.</i>

RESPONSIBLE LEAD

Name	Jenny McGill
Length of Time (individual has been responsible for goal, strategy or objective) in months	90 months
Position	Program Director
Office Address	Wade Hampton Office Building, 1200 Senate Street, Columbia, SC 29201
Dept/Divison	College Savings Program

Dept/Division Summary	SC Future Scholar 529 College Savings Plan is a tax advantaged education savings vehicle designed to help make saving for college easier allowing students to achieve college degrees with less debt. The \$2.3 billion program allows SC to use Future Scholar funds can be used to pay for tuition and qualified higher education expenses at eligible two and four-year public and private colleges, and graduate, professional and certain vocational programs assets.
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MONEY SPENT

Type of Cost	Cost Includes	2013-14 Actual	2014-15 Planned	2014-15 Actual	2015-16 Planned
Support Costs Apportioned	N/A				
Direct Costs of Results	N/A				
Total Costs of Results	N/A				

By Major Program Area in 2014-15	N/A
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PARTNERS

<i>Instructions:</i> The agency already listed the partner entities which relate to each strategy and objective in the Strategically Planned Partners. Please sort that Chart by Strategy or Objective # and copy and paste the partner entities connected with this strategy or objective. Call the Committee Staff for assistance in how to sort the partner entities in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.	
Current Partner Entities	Ways Agency works with Current Partners
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PERFORMANCE MEASURES

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Performance Measure	# of years agency has tracked the measure	Performance Measure Description	2009-10 Results	2010-11 Actual Results	2011-12 Actual Results	2012-13 Actual Results	2013-14 Actual Results	2014-15 Targets	2014-15 Actual Results	2015-16 Targets	PM Item #
N/A	Copy and Paste from Performance Measures Details Chart										

Questions Related to Performance Measure

Why was this performance measure chosen as a gauge of whether the objective had been accomplished?	
Reasoning for 2014-15 Target Value?	
Was 2014-15 Target a standard target, moderate challenge or a stretch challenge?	

Reasoning for missing 2014-15 Target Value, if missed?	
Work being done to improve deficiencies?	
Reasoning for 2015-16 Target Value?	
Is the 2014-15 Target a standard target, moderate challenge or a stretch challenge?	
Provide the names of employees who are responsible for...	
Comparison of actual performance to target value	
Determination of whether corrective action is necessary (key objectives of correction are: (1) to remove defects, in many cases this is worker-controllable; (2) to remove the causes of defects, this may be worker or management controllable; (3) to attain a new state of process performance, one that will prevent defects from happening; and (4) to maintain or enhance the efficiency and effectiveness of the process, which is an essential condition for continuing process improvement and ultimately increasing the competitiveness and profitability of the business itself)	
Making any changes needed to ensure the target value is reached	
Names and Titles of individuals who set this as a performance measure	

REVIEWS/AUDITS

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Was Reviewing Entity External or Internal?	Entity Performing the Review	Date Review Began (MM/DD/YYYY)	Date Review Ended (MM/DD/YY YY)
Tuition Prepayment Program Audit	Internal Policy	External	McGladrey LLP	7/1/2013	6/30/2014

POTENTIAL NEGATIVE IMPACT

Instructions: The agency already listed the potential negative impacts which relate to each strategy and objective in the Potential Negative Impact Chart. Please sort that Chart by Strategy or Objective # and copy and paste the negative impacts which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the negative impacts in the other chart so the agency can see which ones it has identified as relating to each of the agency's strategies and objectives and easily copy and paste it into this chart.

Most Potential Negative Impact	3 G.A. Options	Level Requires Outside Help	Outside Help to Request	Level Requires Inform G.A.
Slower response time for parents, students and universities.	Continue to authorize State and Other Fund appropriations for agency operations.	N/A	N/A	N/A

LAWS AS BASIS

Instructions: The agency already listed the Laws which support each strategy and objective in the Strategic Plan-Laws as Basis Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.

Statute, Regulation, Proviso	Summary of Statutory Requirement and/or Authority Granted
------------------------------	---

59-2-50	Grants STO powers necessary to carry out purposes and provisions of chapter and purposes and objectives of trust including, but not limited to: executing contracts and other necessary instruments; contracting for necessary goods and services; employing necessary personnel; engaging services of consultants and other qualified persons and entities for administrative and technical assistance in carrying out responsibilities of trust funds under terms and conditions State Treasurer deems reasonable; establish agreements or other transactions with federal, state, and local agencies, including state instutions of higher learning; contract for marketing of program; delegate responsibility for administration of comprehensive investment plan to contractors or consultants State Treasurer determines to be qualified; make all necessary and appropriate arrangements with colleges and universities or other entities to fulfill obligations under trust agreements;and establish other policies, procedures, and criteria necessary to implement and administer chapter.
---------	---

LAWS TO FURTHER EVALUATE

<i>Instructions:</i> The agency already listed the Laws to further evaluate in the Laws to Further Evaluate Chart. Please sort that Chart by Strategy or Objective # and copy and paste the laws which relate or impact this strategy or objective which the agency recommended the Committee further evaluate. Call the Committee Staff for assistance in how to sort the laws in the other chart so the agency can see which ones it has identified as relating to or impacting each of the agency's strategies and objectives and easily copy and paste it into this chart.				
Statute/Regulation/Provisos	Summary of Statutory Requirement and/or Authority Granted	Law Item #	Recommend Further Evaluation (Yes or leave blank)	Basis for Further Evaluation
Title 59, Chapter 4	Establishes STO's responsibility for administering SC Tuition Prepayment Program;	335		



Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested Information Requested below is also requested in...

Other Report:	N/A
Question # of the Other Report:	N/A

Instructions: Please list the types of searches the agency can perform within the electronic version(s) of its budget, maintained at the agency (i.e. budget by year, office, department, program, etc.), and the information the search would provide. NOTE: Responses are not limited to the number of rows below that have borders around them, please list all

Search Available to Perform	Information Search would Provide
SCEIS - ECC - FMAVCR01	Budget by year, fund and funded program - consumable, consumed and available
SCEIS - ECC - FMRP_RW_BUDCON	Budget by year, fund and general ledger code - adjusted, consumed and available
SCEIS - BEX - FM Budget vs Actual	Budget by year, fund and general ledger code - original, adjusted, current, MTD Exp, YTD Exp, commitments and remaining balance

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested Information Requested below is also requested in...

Other Report:	N/A
Question # of the Other Report:	N/A

Instructions: Please answer the questions below to provide feedback to the Committee on this Program Evaluation Report.

Please list changes to the Report questions, format, etc. the agency recommends to Committee.	The State Treasurer's Office is committed to transparency and accountability and was more than happy to utilize the provided format. It seems the Performance Measurers - Explained and Performance Measurers - Results charts could be combined together.
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Please list 2-3 benefits the agency sees in the public having access to the information requested in the report, in the format it was requested.	Further Transparency. Further Accountability. A deep dive into the details of the agency, housed in one document.
---	---

Please list 2-3 benefits in performing a study of the agency that the agency sees the Committee having by having the information requested in this report available and in this format.	It should provide the honorable members and staff of the Committee with more information to help guide them in this process. Having the information in one place will be very handy for quick reference and access.
--	---

Now that the agency has completed the report, please list 2-3 things the agency could do differently next time (or it could advise other agencies to do) to complete the report in less time and at a lower cost to the agency.	Splicing out the report into the varying departments was beneficial for our agency. Being able to present an individual employee their responsible area greatly helped.
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Please add any other feedback the agency would like to provide (add as many additional rows as necessary)	The State Treasurer's Office is committed to being an excellent partner with the SC House Oversight Committee and happy to provide any further information.
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Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Instructions: Please list the contact information for the Current Head of the Agency first. Next, please provide the contact information for individuals at the agency the Legislative Oversight Committee should contact regarding the Oversight Study. Any correspondence from LOC about meeting or hearing dates and times, questions from the Committee, etc. will go to the Primary Contact and, if unavailable, the Secondary Contact. If the individuals serving as primary or secondary contact change or if the contact information for the primary or secondary contact change, the agency is responsible for informing LOC. If the agency wants to have the Current Head of the Agency serve as the Primary or Secondary Contact, please include that individuals information next to "Current Head of Agency" and then again next to Primary or Secondary Contact.

	Current Head of Agency	Primary Contact	Secondary Contact
Date of Hire	1/1/2015 (second term as Treasurer)	Jan-15	Jan-15
Name	Curtis M. Loftis, Jr.	Edward Frazier	Jessica Franklin
Phone	(803) 734-2016	(803) 734-2016	(803) 734-9811
Email	curtis.loftis@sto.sc.gov	edward.frazier@sto.sc.gov	jessica.franklin@sto.sc.gov
Asst. email (if applicable)	edward.frazier@sto.sc.gov		
Mailing Address			
Street	1200 Senate Street	1200 Senate Street	1200 Senate Street
City, State	Columbia,SC	Columbia,SC	Columbia,SC
Zip Code	29201	29201	29201

Agency Responding	Treasurer, S.C. Office of the
Date of Submission	7/27/2015

Resources utilized to Complete Chart

Cost in Employee Time	\$5,347.26
Total Employee Time	142 Hours
# of Employees who worked on it	15

Similar Information Requested Information Requested below is also requested in...

Other Report:	Previous Accountability Reports
Question # of the Other Report:	

Instructions: Please list the terms, phrases or acronyms the agency uses which the Committee or general public may not know, along with the meaning of the term, phrase or acronym. NOTE: Responses are not limited to the number of rows below that have borders around them, please list all that are applicable.

Term, Phrase or Acronym	Meaning of the Term, Phrase or Acronym
AIP	Annual Investment Plan
CAFR	Comprehensive Annual Financial Report
CD	Certificate of Deposit
CMIA	Cash Management Improvement Act
CSPN	College Savings Plan Network -Division of NAST
DEBT	Project to Convert DEBT Management System to SAP
DIS	State's Division of Information Security
DMS	Debt Management System
DTO	State's Division of Technology Operations
EPMS	Employee Performance Management System
ETM	Eagle Technology Management
FMS	Agency's Financial Management System
FOIA	Freedom of Information Act
FTE	Full Time Equivalent
GAAP	Generally Accepted Accounting Principles
HPEM	Hewlett Packard Enterprise Services
IMS	Investment Management System
IPAS	Internet Participation Access System
JE&W	Jamison, Eaton & Woods
LGIP	Local Government Investment Pool
MMD	Municipal Market Data
MSRB	Municipal Securities Rulemaking Board
NACHA	National Electronic Payment Association

NASACT	National Association of State Auditors, Controllers, and Treasurers
NAST	National Association of State Treasurers
NQR	National Quality Review
NVEST	Project to Convert Investment Management System to SAP
OHR	Office of Human Resources
OSHA	Occupational Safety and Health Administration
PCA	Pension Consulting Alliance
RFP	Request for Proposal
SAP	Systems, Applications, and Products in Data Processing (SAP's Enterprise Resource Planning Software)
SCATT	South Carolina Association of Auditors, Treasurers and Tax Collectors
SCEIS	South Carolina Enterprise Information System
SCTPP	South Carolina Tuition Prepayment Program
STARS	State's Legacy Account System
STO	State Treasurer's Office
UPP	Unclaimed Property Program

Transportation Infrastructure Bank, State
Deaf and the Blind, School for the
Blind, Commission for the
Public Safety, Department of
Treasurer, S.C. Office of the

By practice the requirements in the law are no longer performed
by the agency
The requirements in the law are performed by another agency

The Committee should consider adding to the law standard
qualifications for certain positions within the agency
The law prohibits or makes it more difficult to implement ideas or
plans which may decrease administrative costs, increase
efficiency, allow the agency to focus more on its mission, etc.

Other

Types of Partner Entities (PartnerEntityType)

Non-Profit
Federal Agency
State Agency
Private Company
Individual
Board
Committee
Other

Types of Measures

Outcome Measure

Efficiency Measure
Output Measure
Input/Explanatory Measure

Legislative Oversight Committee
South Carolina House of Representatives
Post Office Box 11867
Columbia, South Carolina 29211
Telephone: (803) 212-6810 • Fax: (803) 212-6811



Program Evaluation Report Signature Pages

SC Office of the State Treasurer

Date of Submission: *July 27, 2015*

On the following pages are spaces for the signature of the Head of the Agency, Board/Commission Chair (if applicable) and all agency personnel who can verify the information utilized when answering the questions in this report.

Please read the information at the top of both pages prior to signing.

After all individuals have signed the document, please scan and send it to the Committee with the rest of the agency's Program Evaluation Report.

Also, please include documents with the original signatures in the complete Program Evaluation Report the agency submits in hard copy.

The signature pages are included on these separate pages because the Committee does not intend to publish the signature pages on the Committee website in an effort to avoid anyone copying the signatures of the individuals listed herein.

PROGRAM EVALUATION REPORT - SIGNATURE PAGES

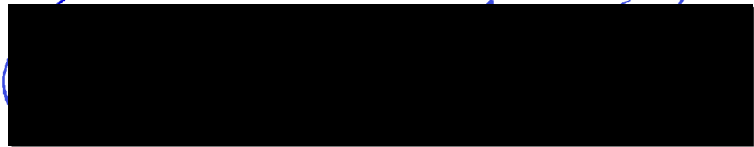
Testimony Provided in Effort to Build Greater Confidence in State Government

In an effort to build greater confidence in state government, I am signing my name below to affirm that I have reviewed and approve this report and the information contained in it. In addition, I affirm I am wilfully submitting the information in this report as testimony before the Committee, as those terms are used in S.C Code Section 2-2-100. I understand that providing false, materially misleading, or materially incomplete information is a criminal offense.

In addition, by way of their signature on the attached Personnel Involved Chart, each person listed on that sheet(s) affirms they are wilfully submitting the information, which appears in response to the question which is typed by their name in the Personnel Involved Chart, as testimony before the Committee, as those terms are used in S.C Code Section 2-2-100. Each person understands that providing false, materially misleading, or materially incomplete information is a criminal offense.

Current Agency Director
(Sign/Date):

(Type/Print Name):


Curtis M. Loftis, Jr.

**If applicable,
Board/Commission Chair**
(Sign/Date):

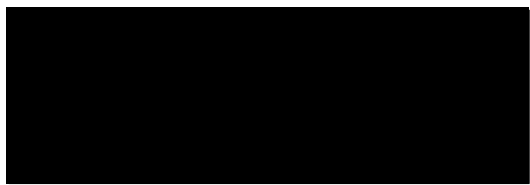
(Type/Print Name):


PROGRAM EVALUATION REPORT - SIGNATURE PAGES

Please list the name of all personnel at the agency who can verify the information utilized when answering the questions in this report, their title, the specific question they affirm the answer to which is wilfully submitted by them as testimony before the Committee, as those terms are used in S.C. Code Section 2-2-100 and the individual's handsiged signature.


To avoid the agency needing to pass around the same sheet to multiple individuals who may be in separate offices, the Committee allows signatures to appear on multiple sheets, provided all necessary information is included.


NOTE: Please add as many boxes as needed so all applicable personnel can sign.


Name	Paul Jarvis
Phone	8037342654
Email	Paul.Jarvis@sto.sc.gov
Department/Division	Treasury Management
Title	Program Manager III
Question	Treasury Management Inquiries
Individual's Signature which indicates the individual understands he/she is affirming the answer(s) provided to the specific question(s) listed above their name, are wilfully being submitted by them as testimony before the Committee, as those terms are used in S.C. Code Section 2-2-100	

Name	West Summers
Phone	8037342641
Email	West.Summers@sto.sc.gov
Department/Division	Investment Management
Title	Program Manager III
Question	Investment Management Inquiries
Individual's Signature which indicates the individual understands he/she is affirming the answer(s) provided to the specific question(s) listed above their name, are wilfully being submitted by them as testimony before the Committee, as those terms are used in S.C. Code Section 2-2-100	

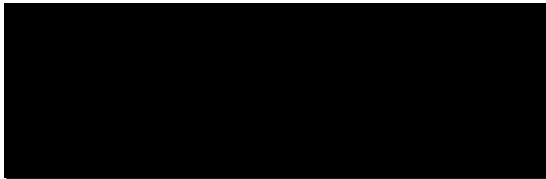
PROGRAM EVALUATION REPORT - SIGNATURE PAGES

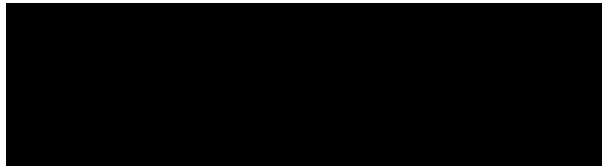
Name	Kevin Kibler
Phone	8037341391
Email	Kevin.Kibler@sto.sc.gov
Department/Division	Debt Management
Title	Program Manager II
Question	Debt Management Inquiries
Individual's Signature which indicates the individual understands he/she is affirming the answer(s) provided to the specific question(s) listed above their name, are wilfully being submitted by them as testimony before the Committee, as those terms are used in S.C. Code Section 2-2-100	

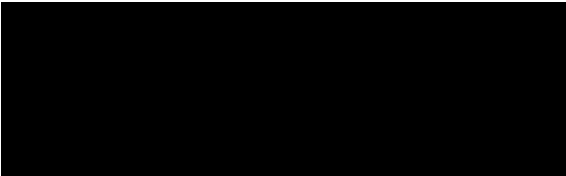
Name	Dayle DeLong
Phone	8037342683
Email	Dayle.Delong@sto.sc.gov
Department/Division	Palmetto Payback
Title	Program Manager III
Question	Palmetto Payback Inquiries
Individual's Signature which indicates the individual understands he/she is affirming the answer(s) provided to the specific question(s) listed above their name, are wilfully being submitted by them as testimony before the Committee, as those terms are used in S.C. Code Section 2-2-100	

Name	Jenny McGill
Phone	8037376808
Email	Jenny.McGill@sto.sc.gov
Department/Division	College Savings Program
Title	Program Director
Question	College Savings Program Inquiries
Individual's Signature which indicates the individual understands he/she is affirming the answer(s) provided to the specific question(s) listed above their name, are wilfully being submitted by them as testimony before the Committee, as those terms are used in S.C. Code Section 2-2-100	

PROGRAM EVALUATION REPORT - SIGNATURE PAGES

Name	Karen Wicker
Phone	8037349871
Email	Karen.Wicker@sto.sc.gov
Department/Division	Administration
Title	Program Manager III
Question	Administration Inquiries
Individual's Signature which indicates the individual understands he/she is affirming the answer(s) provided to the specific question(s) listed above their name, are wilfully being submitted by them as testimony before the Committee, as those terms are used in S.C. Code Section 2-2-100	

Name	Clarissa Adams
Phone	8037342522
Email	Clarissa.Adams@sto.sc.gov
Department/Division	State Treasurer's Office
Title	Chief of Staff
Question	Various Inquiries
Individual's Signature which indicates the individual understands he/she is affirming the answer(s) provided to the specific question(s) listed above their name, are wilfully being submitted by them as testimony before the Committee, as those terms are used in S.C. Code Section 2-2-100	

Name	Tonia Morris
Phone	8037342695
Email	Tonia.Morris@sto.sc.gov
Department/Division	State Treasurer's Office
Title	Deputy State Treasurer
Question	Various Inquiries in regards to Debt, Treasury and Investments
Individual's Signature which indicates the individual understands he/she is affirming the answer(s) provided to the specific question(s) listed above their name, are wilfully being submitted by them as testimony before the Committee, as those terms are used in S.C. Code Section 2-2-100	

PROGRAM EVALUATION REPORT - SIGNATURE PAGES

Name	Cynthia Dannels
Phone	8037342655
Email	Cynthia.Dannels@sto.sc.gov
Department/Division	State Treasurer's Office
Title	Deputy State Treasurer
Question	Various Inquiries in regards to College Savings Program, Administration and Palmetto Payback
Individual's Signature which indicates the individual understands he/she is affirming the answer(s) provided to the specific question(s) listed above their name, are wilfully being submitted by them as testimony before the Committee, as those terms are used in S.C. Code Section 2-2-100	